

# Using Response Times to Improve Cognitive Models

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*Image generated with OpenAI's DALL·E via ChatGPT (October 2025)*

# response times are **information**

- Decision-makers can infer preferences from response times<sup>1,2</sup>
- Decision-makers use opponents' response times to plan and infer private information<sup>3-5</sup>
- eBay buyers and sellers use response times to negotiate prices<sup>6</sup>

**PNAS**

RESEARCH ARTICLE

PSYCHOLOGICAL AND COGNITIVE SCIENCES

 OPEN ACCESS



## Deliberation during online bargaining reveals strategic information

Miruna Cotet<sup>a</sup> , Wenjia Joyce Zhao<sup>b</sup>, and Ian Krajbich<sup>a,c,d,1</sup> 

Edited by Jennifer S. Trueblood, Indiana University, Bloomington, IN; received May 31, 2024; accepted January 7, 2025 by Editorial Board Member Timothy D. Wilson

1. Gates, Callaway, Ho, Griffiths (2021) *Cognition*
2. Bavard, Stuchly, Konovalov, Gluth (2024) *PLoS Biology*
3. Frydman, Krajbich (2022) *Management Science*
4. Konovalov, Krajbich (2023) *The Economic Journal*
5. Konovalov, Krajbich (2023) *Judgment & Decision Making*
6. Cotet, Zhao, Krajbich (2025) *PNAS*

# Outline

- Introduction to the Diffusion Decision Model (DDM)
- Literature Incorporating RTs into Model Estimation
- Modeling Social Exchange with the Ultimatum Game
- Clinical Translation in Borderline Personality Disorder

how to understand the decision-making process?



which way are the dots moving?

how to understand the decision-making process?

You	Other
\$7	\$13
<input type="checkbox"/> accept	reject

should I accept this offer?

# what is a cognitive model

- Generative: Approximate the algorithms the brain uses to *produce* behavior
- Measurement: *Quantify* unobservable cognitive processes
- Selective influence: parameter describe *unique* latent constructs with *distinct* behavioral outputs

## Example

learning rate → integration of new information → temporal evolution of behavior

# the diffusion decision model (DDM)

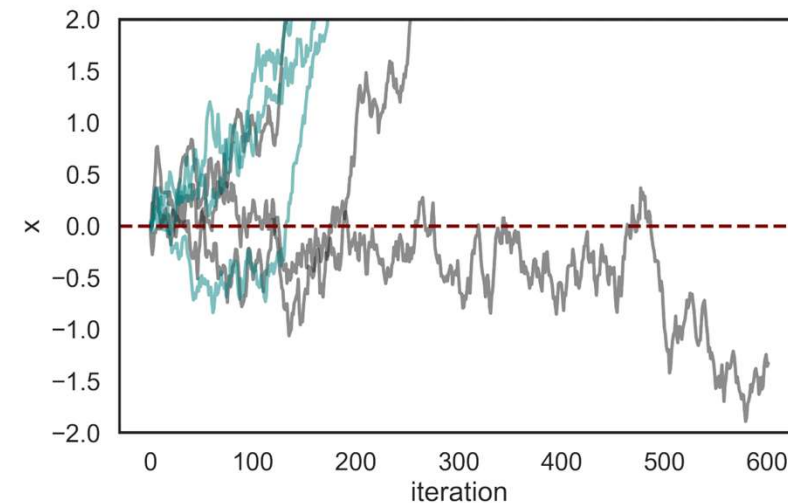
- process terminates at fixed **thresholds**
- process **drifts** towards positive or negative values
- process can be **biased** *a priori*
- constant **non-decision time** is added to total time to threshold
- parameters can **vary across trials**

## Psychological Review

VOLUME 85 NUMBER 2 MARCH 1978

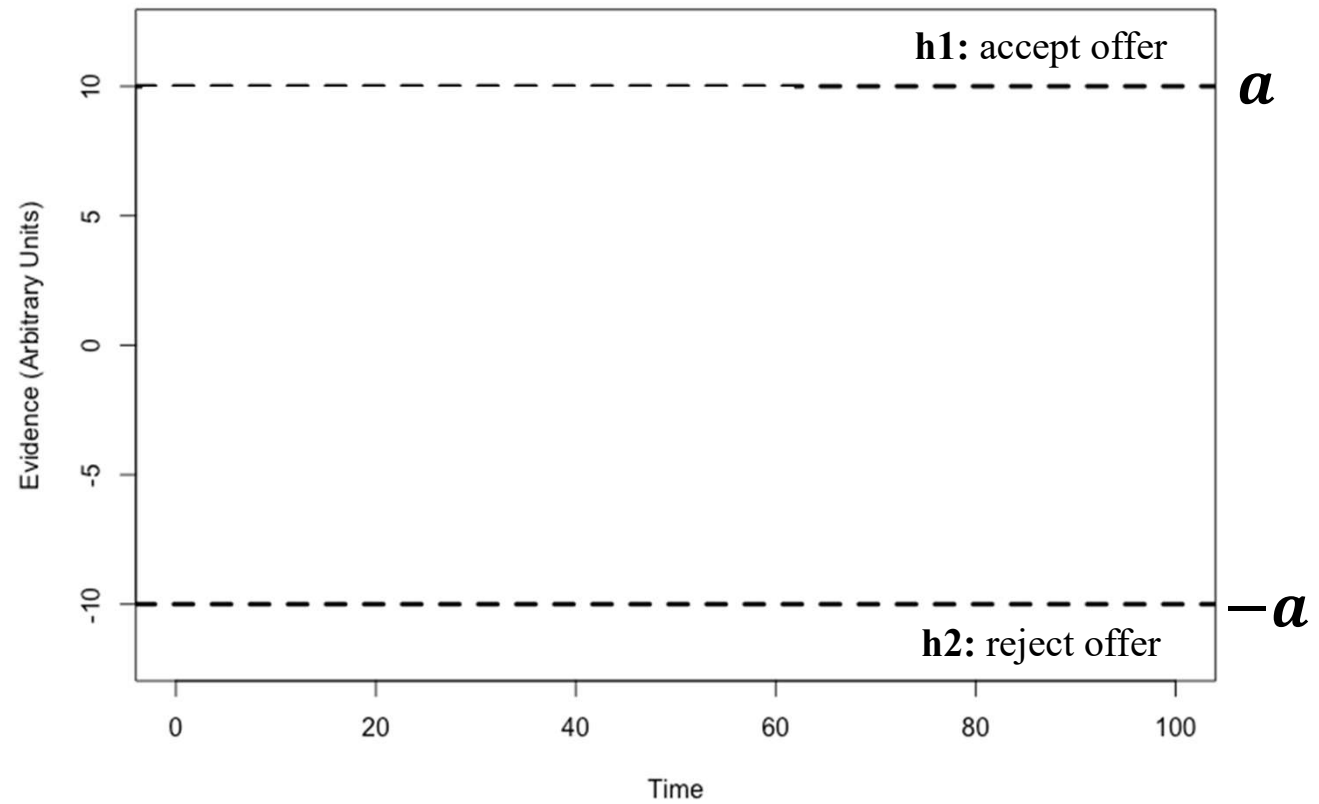
A Theory of Memory Retrieval

Roger Ratcliff  
University of Toronto, Ontario, Canada

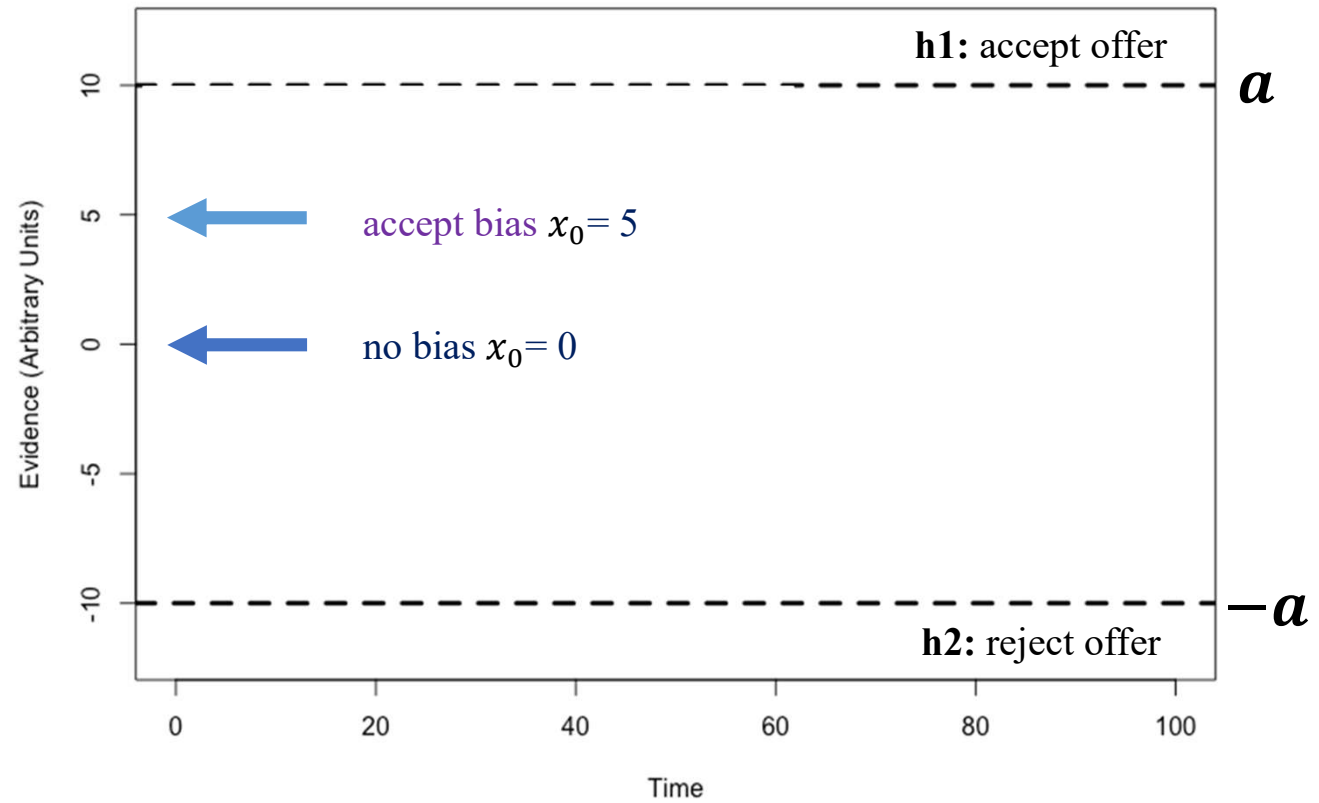
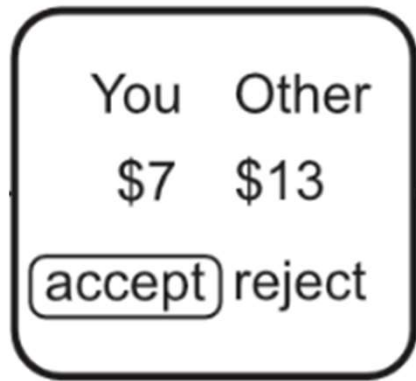


# decision thresholds

You	Other
\$7	\$13
<input checked="" type="button" value="accept"/>	reject



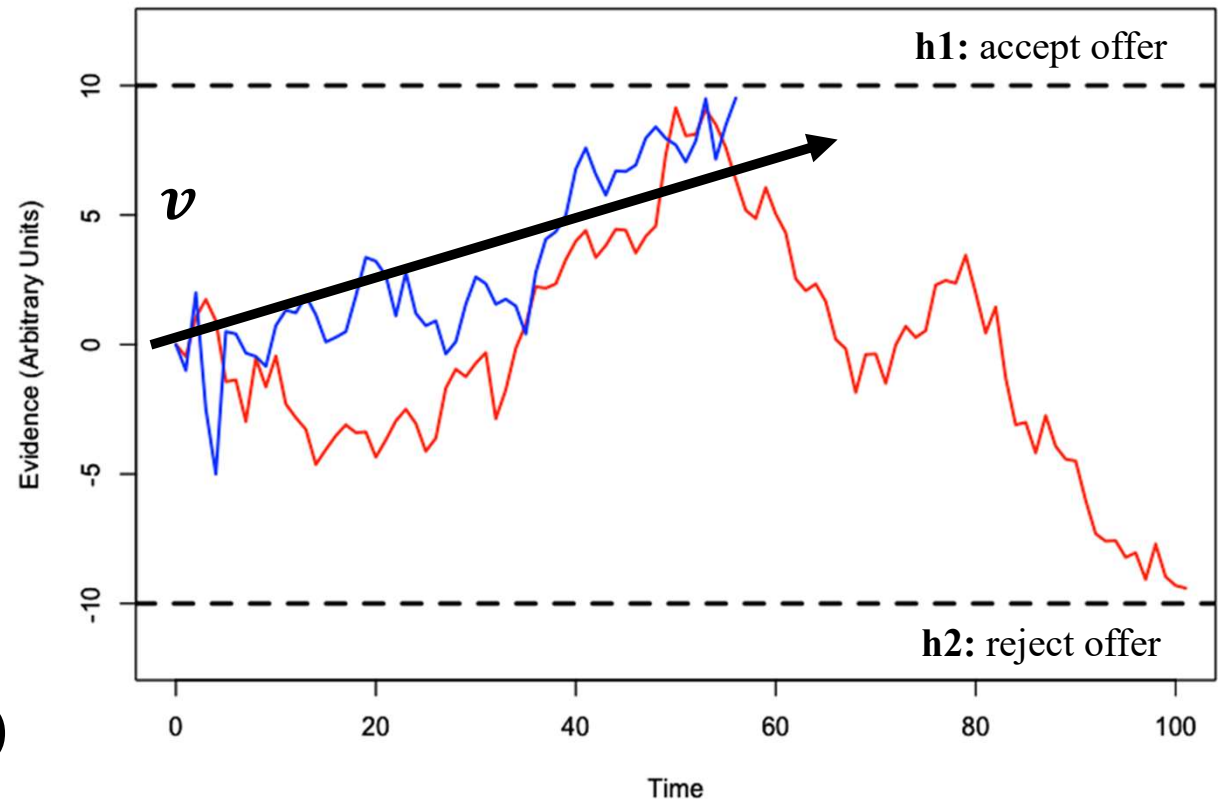
starting point



drift rate

You	Other
\$7	\$13
<input checked="" type="checkbox"/> accept	<input type="checkbox"/> reject

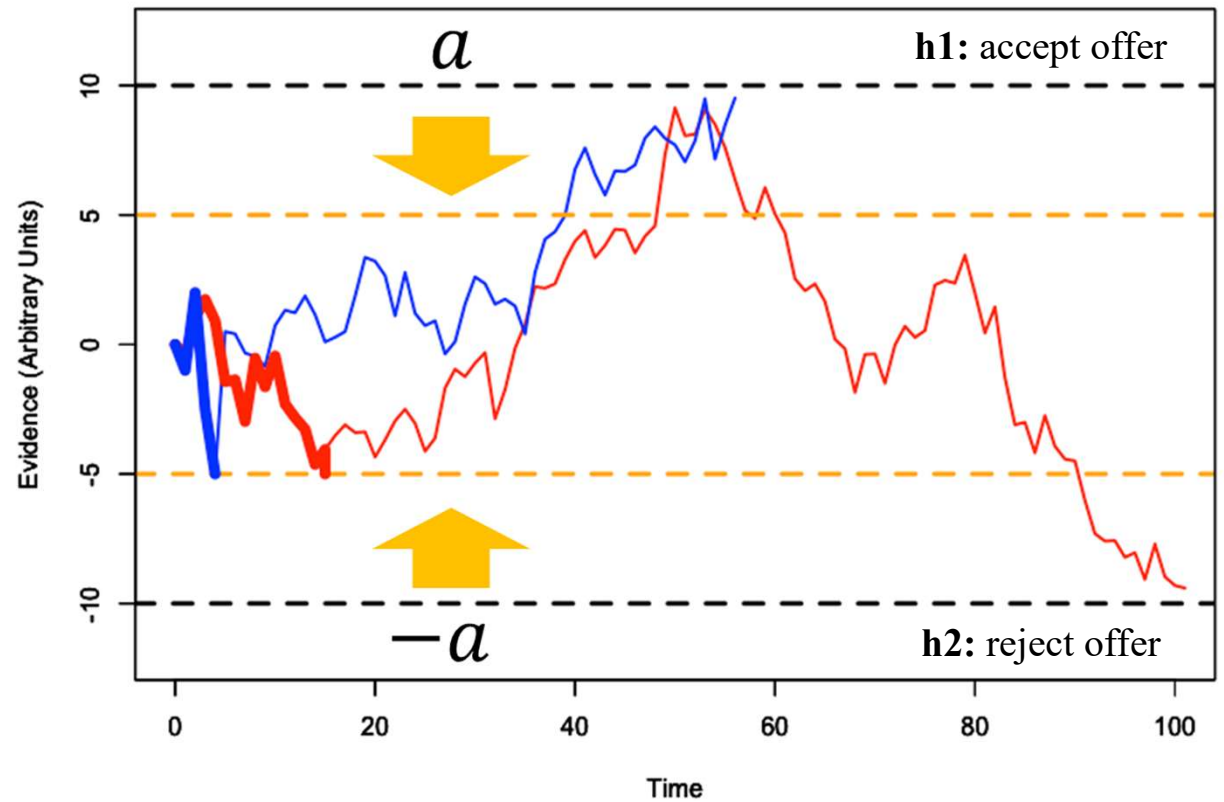
$$\frac{d}{d_i} X_i = \text{Normal}(v, s^2)$$



# speed-accuracy trade-off

go faster!

You	Other
\$7	\$13
<input checked="" type="button" value="accept"/>	reject



# non-decision time

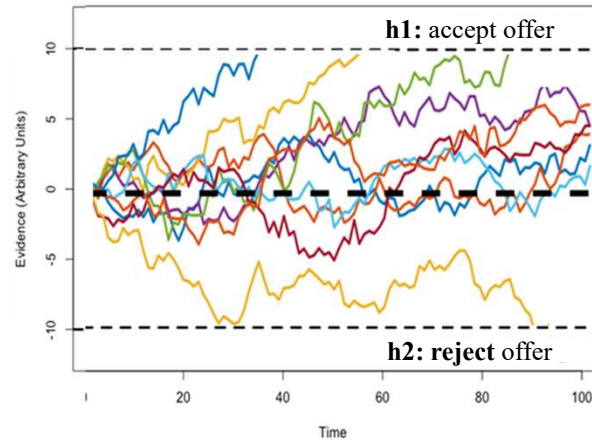
## Decision time ( $DT$ )

Response  
time

=

Sensory  
delay

+



+

Motor  
delay

## Non-decision time ( $T_0$ )





# parameters

- threshold  $a$
- starting point bias  $x_0$
- drift rate (signal-to-noise ratio)  $v$
- non-decision time  $t_0$
- noise  $s$ 
  - In practice, often set to 1 to .1
- variability parameters  $sv, sz, st$ 
  - Across-trial fluctuations stimuli and physiological states



## Attention as a source of variability in decision-making: Accounting for overall-value effects with diffusion models



Blair R.K. Shevlin<sup>a</sup>, Ian Krajbich<sup>a,b</sup>  

# parameters

- threshold  $a$
- starting point bias  $x_0$
- drift rate (signal-to-noise ratio)  $\nu$
- non-decision time  $t_0$
- noise  $s$ 
  - In practice, often set to 1 to .1



## Attention as a source of variability in decision-making: Accounting for overall-value effects with diffusion models

Blair R.K. Shevlin<sup>a</sup>, Ian Krajbich<sup>a,b</sup>  

# when can we use the DDM?

- only **two options**
- task involves **relative evidence**
- there is **perfect inhibition**
- there is no **leakage** of information
- process is **continuous** in time
- process is **single-stage**

otherwise: Leaky Competing Accumulator Model, Gaze-Weighted Accumulator Model, Linear Ballistic Accumulator Model, Piecewise Diffusion Model, Racing Diffusion Model, Circular Diffusion Model, etc.

# Response Times in Model Estimation

# Joint modeling of reaction times and choice improves parameter identifiability in reinforcement learning models

Ian C. Ballard <sup>a b c</sup>  , Samuel M. McClure <sup>c</sup>

## Value Function

$$Q(\text{Option}_{\text{chosen}})^{t+1} = Q(\text{Option}_{\text{chosen}})^t + \alpha (\text{Reward}^t - Q(\text{Option}_{\text{chosen}})^t)$$

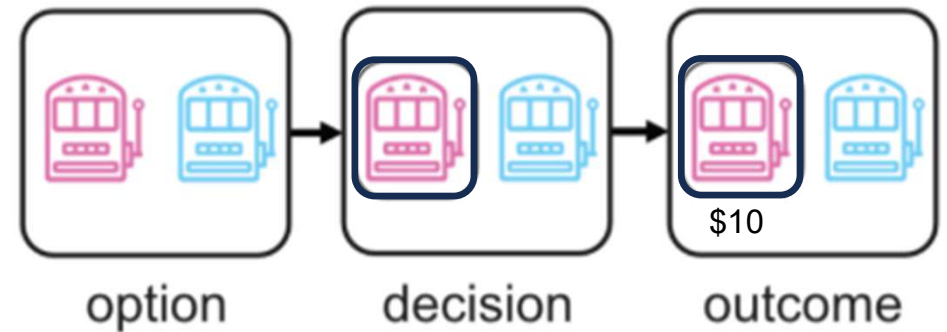
## Softmax Decision Rule

$$p(\text{Choice}^t = \text{Option}_i) = \frac{e^{\beta Q(\text{Option}_i)^t}}{\sum_j e^{\beta Q(\text{Option}_j)^t}}$$

## Parameters

Learning rate:  $\alpha$

Inverse temperature:  $\beta$



# Joint modeling of reaction times and choice improves parameter identifiability in reinforcement learning models

Ian C. Ballard <sup>a b c</sup> ✉, Samuel M. McClure <sup>c</sup>

## Value Function

$$Q(\text{Option}_{\text{ch}})^{t+1} = Q(\text{Option}_{\text{ch}})^t + \alpha (\text{Reward}^t - Q(\text{Option}_{\text{ch}})^t)$$

## Softmax Decision Rule

$$p(\text{Choice}^t = \text{Option}_i) = \frac{e^{\beta Q(\text{Option}_i)^t}}{\sum_j e^{\beta Q(\text{Option}_j)^t}}$$

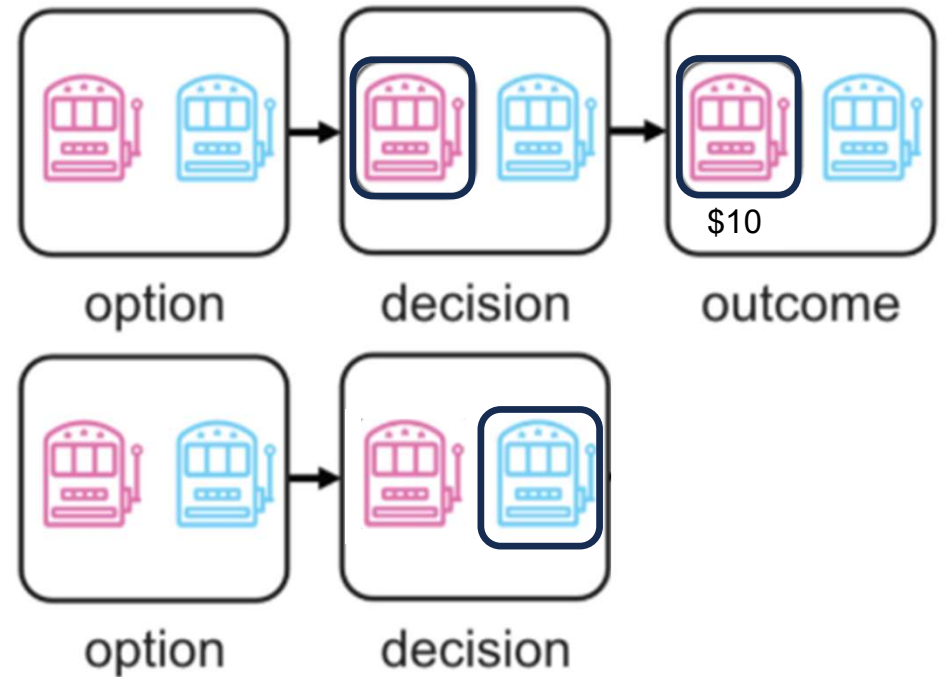
## Parameters

Learning rate:  $\alpha$

Inverse temperature:  $\beta$

## Incorporating Response Times

$$RT^t = -\beta |Q(\text{Option}_1)^t - Q(\text{Option}_2)^t| + \varepsilon$$



- Did the agent fail to learn?
- Did the agent respond noisily?

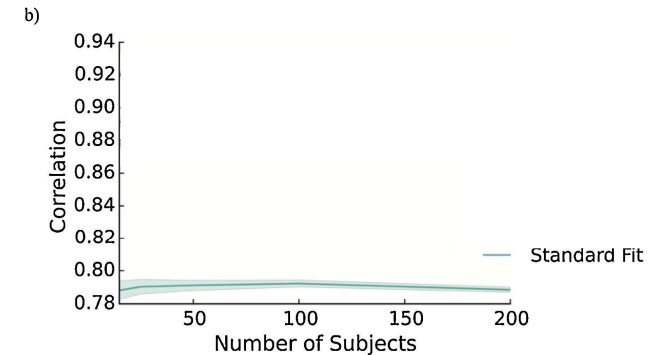
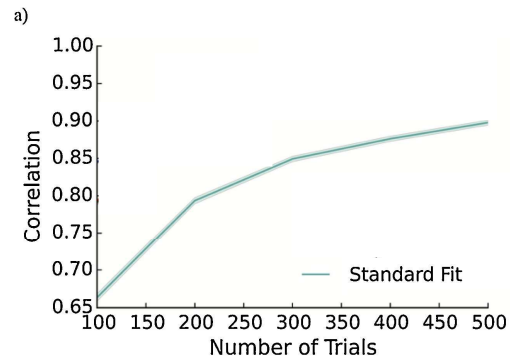


# Joint modeling of reaction times and choice improves parameter identifiability in reinforcement learning models

Ian C. Ballard <sup>a b c</sup>  , Samuel M. McClure <sup>c</sup>

## Simulation Study

1. Generate parameters ( $X_{gen}$ ) from distributions based on prior literature;
2. Simulate choice and RT data for 10-200 subjects on 100-500 trials;
3. Extract fitted parameters ( $X_{fit}$ ) from simulated data using multiple techniques (ML, MAP);
4. Assess correlations between  $X_{gen}$  and  $X_{fit}$



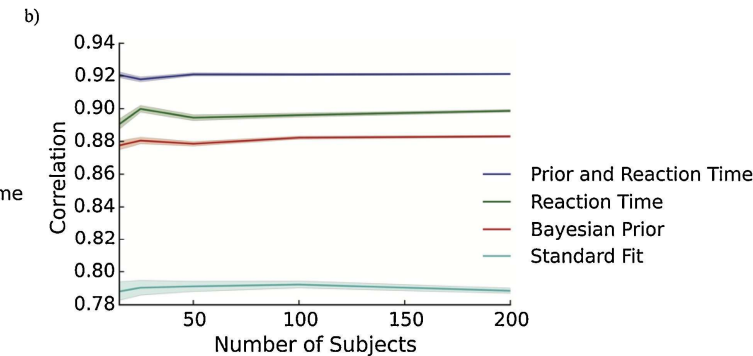
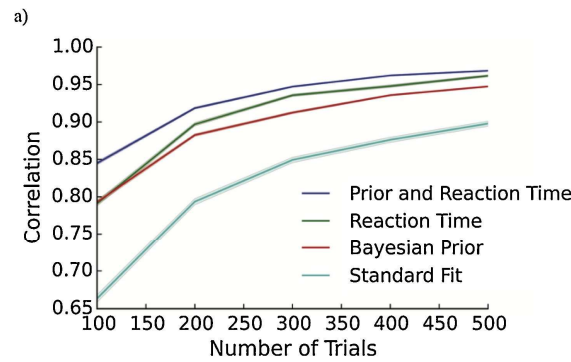


# Joint modeling of reaction times and choice improves parameter identifiability in reinforcement learning models

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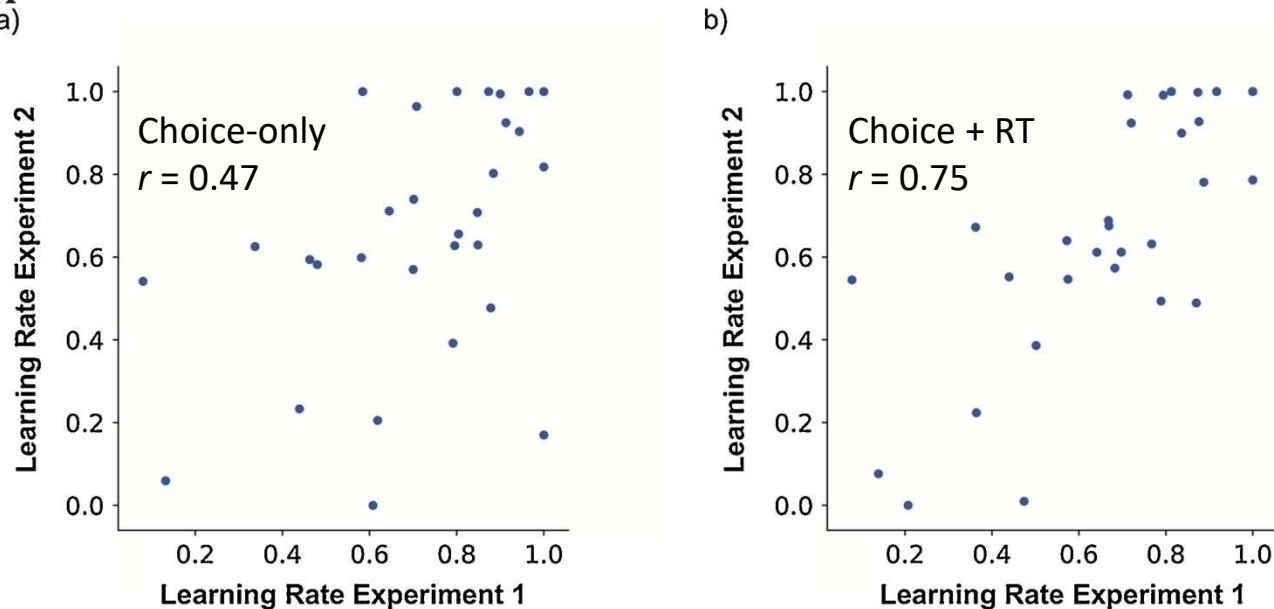


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1. Generate parameters ( $X_{gen}$ ) from distributions based on prior literature;
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**Fig. 4.** Joint modeling of choice and reaction times improves parameter identifiability in real data. **A)** The correlation in estimated learning rate between two runs of a bandit task using a standard RL model. **B)** The correlation in estimated learning rate when estimated using a model of reaction times and choice.

# Improving the reliability of model-based decision-making estimates in the two-stage decision task with reaction-times and drift-diffusion modeling

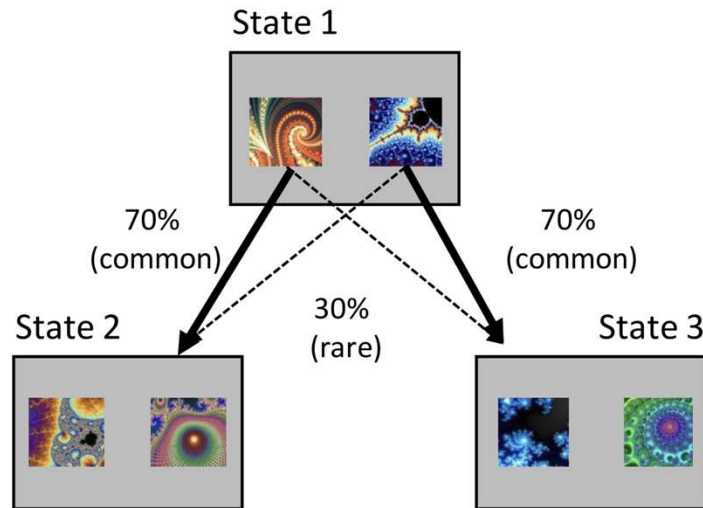
Nitzan Shahar, Tobias U. Hauser, Michael Moutoussis, Rani Moran, Mehdi Keramati, NSPN consortium, Raymond J. Dolan

Version 2 Published: February 13, 2019 • <https://doi.org/10.1371/journal.pcbi.1006803>

**A**

First Stage:

Second Stage:



## Value Functions

$$\begin{aligned}
 Q^{MF}(\text{Action}_2)^{t+1} &= \\
 & Q^{MF}(\text{Action}_2)^t + \\
 & \alpha (\text{Reward}^t - Q^{MF}(\text{Action}_2)^t) \\
 Q^{MF}(\text{Action}_1)^{t+1} &= Q^{MF}(\text{Action}_1)^t + \\
 & \alpha (Q^{MF}(\text{Action}_2)^t - Q^{MF}(\text{Action}_1)^t) + \\
 & \lambda \alpha (\text{Reward}^t - Q^{MF}(\text{Action}_2)^t) \\
 Q^{MB}(\text{Action}_1)^t &= \\
 & P(\text{State}_2 | \text{Action}_1) \times \max(Q^{MF}(\text{State}_2)^t) + \\
 & P(\text{State}_3 | \text{Action}_1) \times \max(Q^{MF}(\text{State}_3)^t) \\
 Q^{Net}(\text{Action}_1)^t &= \\
 & (1 - \omega) Q^{MF}(\text{Action}_1)^t + \\
 & \omega Q^{MB}(\text{Action}_2)^t + \\
 & \gamma \text{Stay}^t
 \end{aligned}$$

## Softmax Decision Rule

$$p(\text{Choice}^t = \text{Action}_i) = \frac{e^{\beta Q^{Net}(\text{Action}_i)^t}}{\sum_j e^{\beta Q^{Net}(\text{Action}_j)^t}}$$

# Improving the reliability of model-based decision-making estimates in the two-stage decision task with reaction-times and drift-diffusion modeling

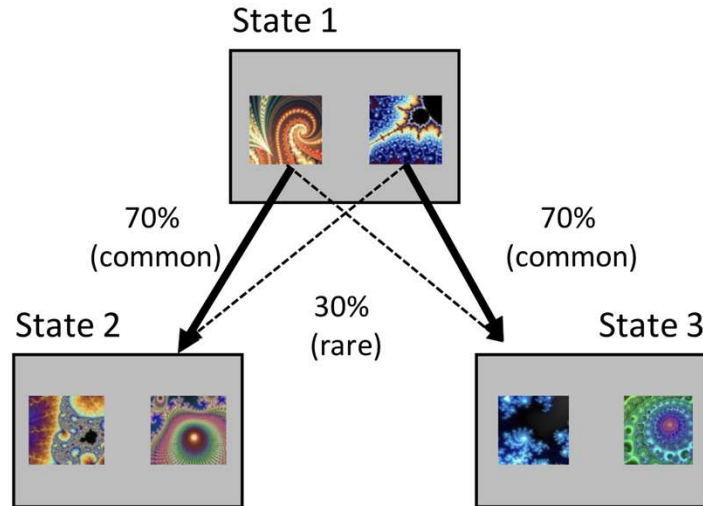
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Version 2 Published: February 13, 2019 • <https://doi.org/10.1371/journal.pcbi.1006803>

**A**

First Stage:

Second Stage:



## Value Functions

$$\begin{aligned}
 Q^{MF}(\text{Action}_2)^{t+1} &= Q^{MF}(\text{Action}_2)^t + \alpha (\text{Reward}^t - Q^{MF}(\text{Action}_2)^t) \\
 Q^{MF}(\text{Action}_1)^{t+1} &= Q^{MF}(\text{Action}_1)^t + \alpha (Q^{MF}(\text{Action}_2)^t - Q^{MF}(\text{Action}_1)^t) + \lambda \alpha (\text{Reward}^t - Q^{MF}(\text{Action}_2)^t) \\
 Q^{MB}(\text{Action}_1)^t &= P(\text{State}_2 | \text{Action}_1) \times \max(Q^{MF}(\text{State}_2)^t) + P(\text{State}_3 | \text{Action}_1) \times \max(Q^{MF}(\text{State}_3)^t) \\
 Q^{Net}(\text{Action}_1)^t &= (1 - \omega) Q^{MF}(\text{Action}_1)^t + \omega Q^{MB}(\text{Action}_2)^t + \gamma \text{Stay}^t
 \end{aligned}$$

## Softmax Decision Rule

$$p(\text{Choice}^t = \text{Action}_i) = \frac{e^{\beta Q^{Net}(\text{Action}_i)^t}}{\sum_j e^{\beta Q^{Net}(\text{Action}_j)^t}}$$

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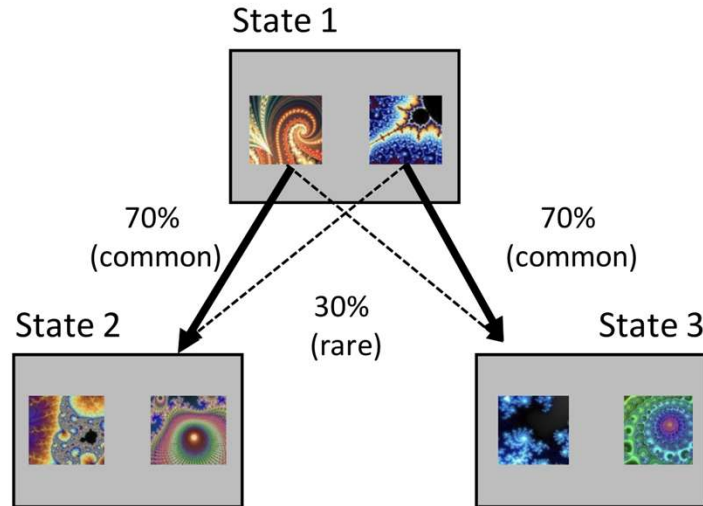
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**A**

First Stage:

Second Stage:



## Value Functions

$$\begin{aligned}
 Q^{MF}(\text{Action}_2)^{t+1} &= \\
 & Q^{MF}(\text{Action}_2)^t + \\
 & \alpha (\text{Reward}^t - Q^{MF}(\text{Action}_2)^t) \\
 Q^{MF}(\text{Action}_1)^{t+1} &= Q^{MF}(\text{Action}_1)^t + \\
 & \alpha (Q^{MF}(\text{Action}_2)^t - Q^{MF}(\text{Action}_1)^t) + \\
 & \lambda \alpha (\text{Reward}^t - Q^{MF}(\text{Action}_2)^t) \\
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 Q^{Net}(\text{Action}_1)^t &= \\
 & (1 - \omega) Q^{MF}(\text{Action}_1)^t + \\
 & \omega Q^{MB}(\text{Action}_2)^t + \\
 & \gamma \text{Stay}^t
 \end{aligned}$$

## DDM Decision Rule

$$\frac{d}{dt} X_i \sim N(v, s^2)$$

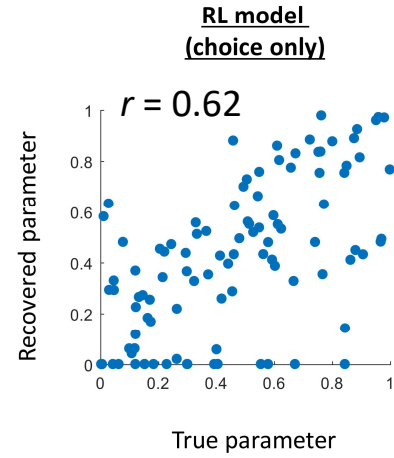
$$v = d(Q^{Net}(\text{Action}_{chosen})^t - Q^{Net}(\text{Action}_{unchosen})^t)$$

# Improving the reliability of model-based decision-making estimates in the two-stage decision task with reaction-times and drift-diffusion modeling

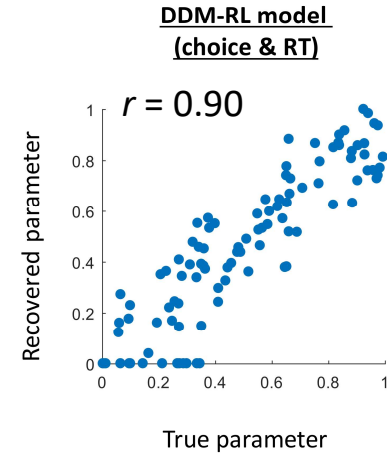
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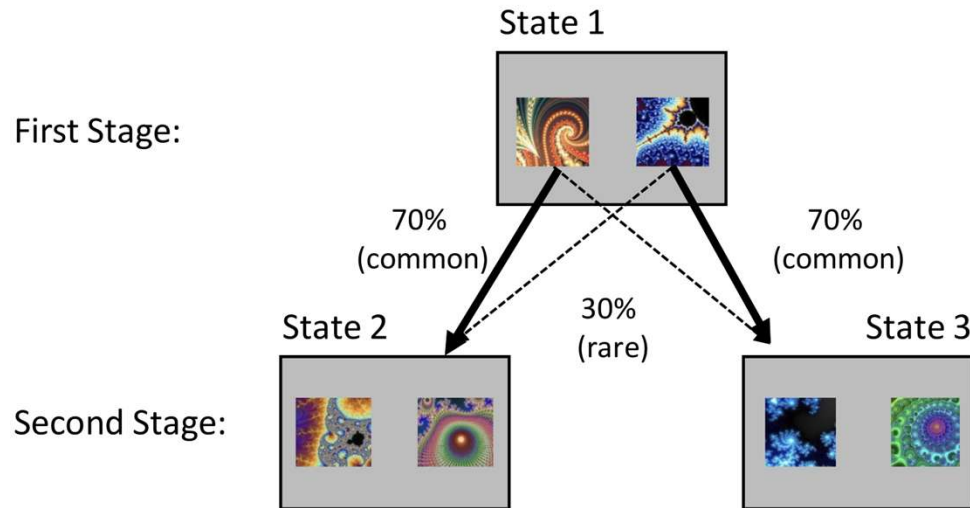
A



B



A



		Trials in the analysis			
		200	500	1000	5000
RL model (choice)	$\alpha_1$	.54	.62	.68	.92
	$\alpha_2$	.95	.98	.99	.99
	$\lambda$	.53	.71	.71	.88
	<b>w</b>	<b>.61</b>	<b>.69</b>	<b>.82</b>	<b>.97</b>
	$p$	.82	.90	.91	.97
	$\beta_1$	.82	.90	.93	.98
DDM-RL model (choice & RT)	$\beta_2$	.89	.96	.98	.99
	$\alpha_1$	.68	.72	.84	.94
	$\alpha_2$	.99	.99	.99	.99
	$\lambda$	.58	.75	.83	.92
	<b>w</b>	<b>.90</b>	<b>.95</b>	<b>.96</b>	<b>.99</b>
	$p$	.91	.94	.97	.99
	$b_1$	.93	.93	.99	.99
	$a_1$	.93	.98	.99	.99
	$\tau_1$	.99	.99	.99	.99
	$b_2$	.99	.99	.99	.99
$a_2$	.97	.99	.99	.99	
$\tau_2$	.99	.99	.99	.99	

# Other relevant literature

Behavior Research Methods (2020) 52:2142–2155  
<https://doi.org/10.3758/s13428-020-01372-w>



© 2018 The Author(s)  
0882-7974/18/\$12.00

Psychology and Aging

2018, Vol. 33, No. 7, 1093–1104  
<http://dx.doi.org/10.1037/pag0000298>

## Quantifying the benefits of using decision models with response time and accuracy data

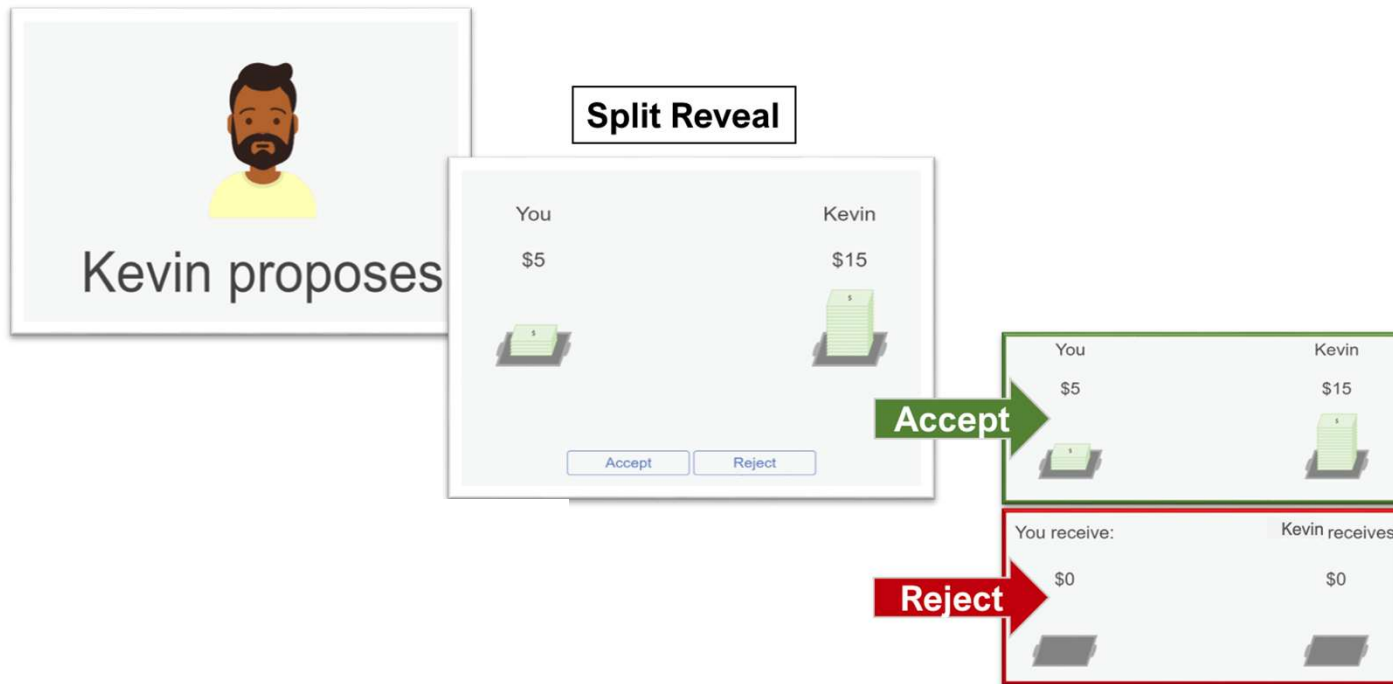
Tom Stafford<sup>1</sup> · Angelo Pirrone<sup>2</sup> · Mike Croucher<sup>3</sup> · Anna Krystalli<sup>4</sup>

Received: 26 August 2019 / Revised: 25 January 2020 / Accepted: 28 January 2020 / Published online: 30 March 2020  
© The Author(s) 2020

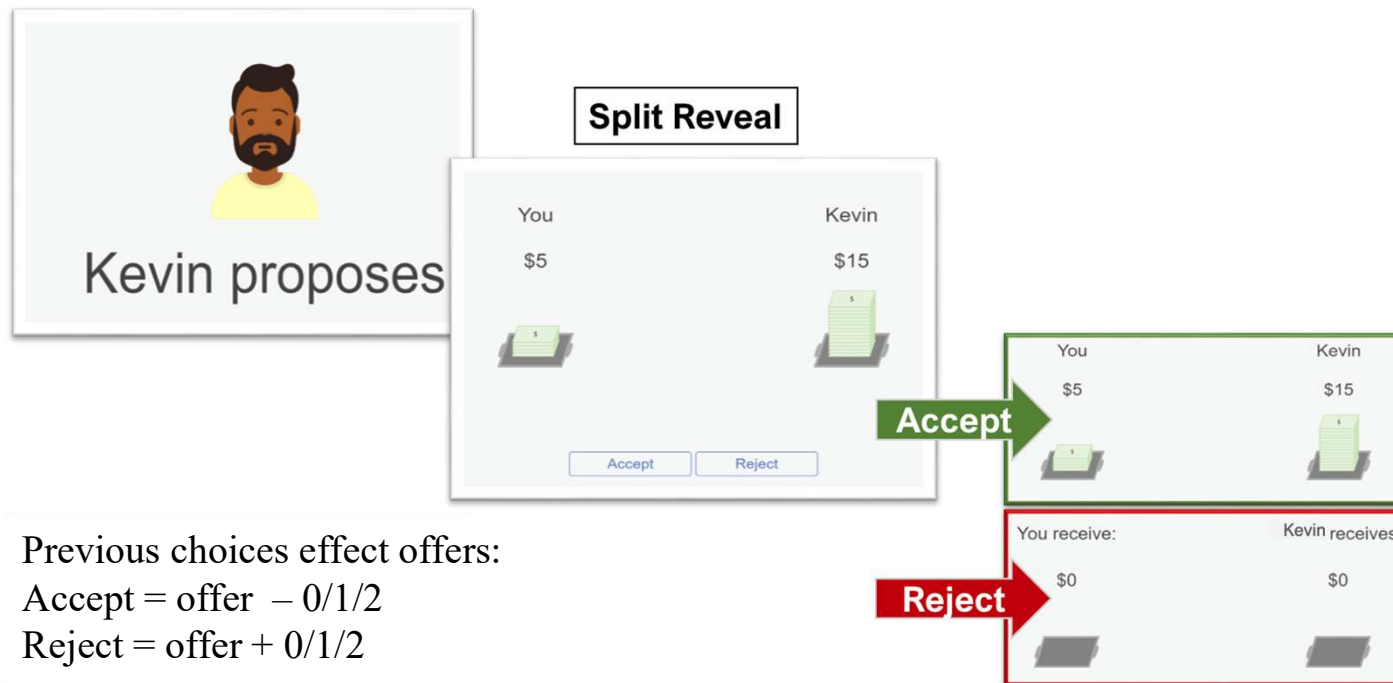
## The Mapping Between Transformed Reaction Time Costs and Models of Processing in Aging and Cognition

Craig Hedge, Georgina Powell, and Petroc Sumner  
Cardiff University

# The Ultimatum Game



# The Ultimatum Game




Previous choices effect offers:

Accept = offer - 0/1/2

Reject = offer + 0/1/2

# Humans use forward thinking to exploit social controllability

Soojung Na, Dongil Chung, Andreas Hula, Ofer Perl, Jennifer Jung, Matthew Heflin, Sylvia Blackmore, Vincenzo G Fiore, Peter Dayan, Xiaosi Gu 

## Forward-Thinking Model

- Initial norms ( $f_0$ )
- Envy ( $\alpha$ )
- Adaptation rate ( $\varepsilon$ )
- Influence ( $\delta$ )
- Inverse temperature ( $\beta$ )

### Norm Learning

$$\text{Norm}^{t=1} = f_0$$

$$\text{Norm}^{t+1} = \text{Norm}^t - \varepsilon(\text{Norm}^t - \text{Offer}^t)$$

### Value Function

$$U(\text{Offer})^t = \text{Offer}^t - \alpha(\text{Norm}^t - \text{Offer}^t)$$

$$\text{Offer}^{t+i} = \begin{cases} \text{Offer}^t + \delta & \text{if Choice} = \text{Reject} \\ \text{Offer}^t - \delta & \text{if Choice} = \text{Accept} \end{cases}$$

$$Q(\text{Accept})^t = U(\text{Offer})^t + U(\text{Offer}|\text{Accept})^{t+1} + U(\text{Offer}|\text{Accept})^{t+2}$$

$$Q(\text{Reject})^t = U(\text{Offer}|\text{Reject})^{t+1} + U(\text{Offer}|\text{Reject})^{t+2}$$

$$Q(\text{Action})^t = Q(\text{Accept})^t - Q(\text{Reject})^t$$

### Softmax Decision Rule

$$p(\text{Choice}^t = \text{Action}_i) = \frac{e^{\beta Q(\text{Action}_i)^t}}{\sum_j e^{\beta Q(\text{Action}_j)^t}}$$

## Recoverability using best fitting parameter values

Adaptation rate

$$r = 0.39$$

Expected influence

$$r = 0.88$$

Sensitivity to norm PE

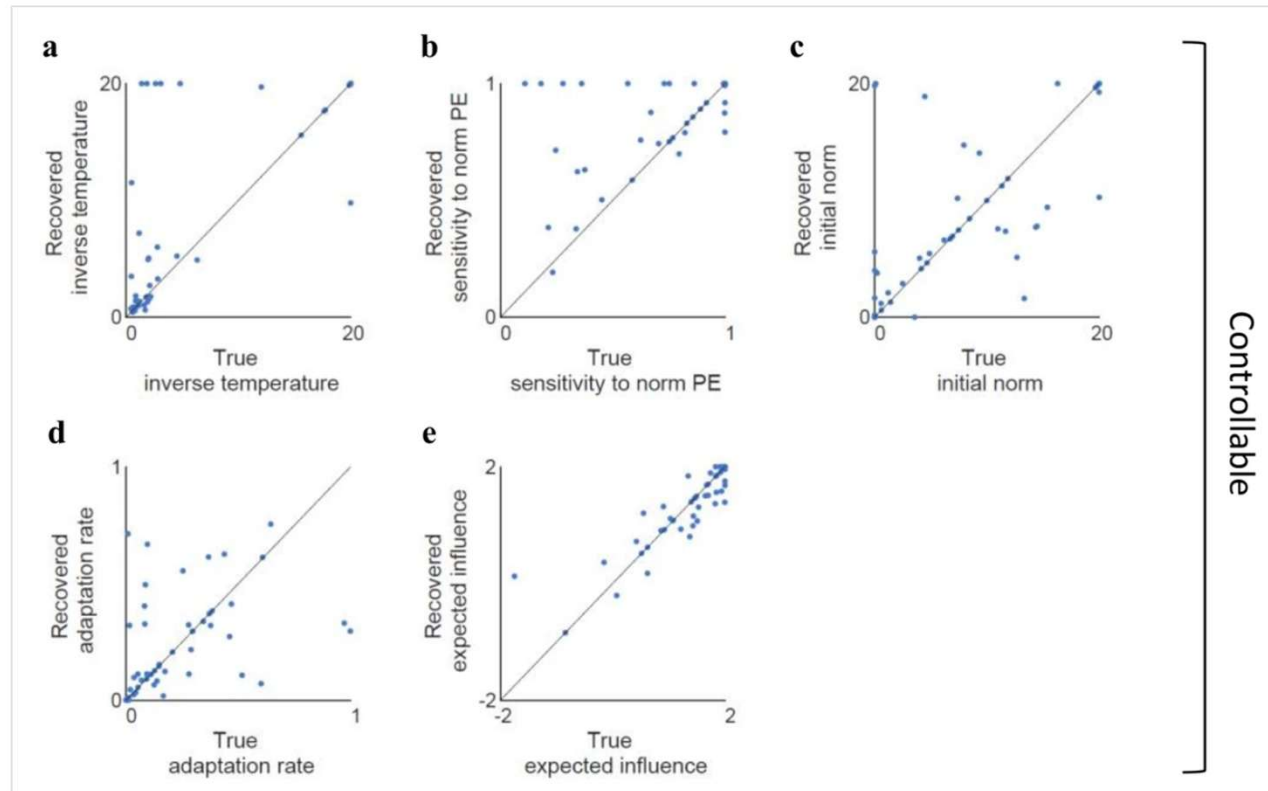
$$r = 0.57$$

Inv temp

$$r = 0.77$$

Initial norm

$$r = 0.66$$



# Recoverability using best fitting parameter values

Adaptation rate

$$r = 0.39$$

Expected influence

$$r = 0.88$$

Sensitivity to norm PE

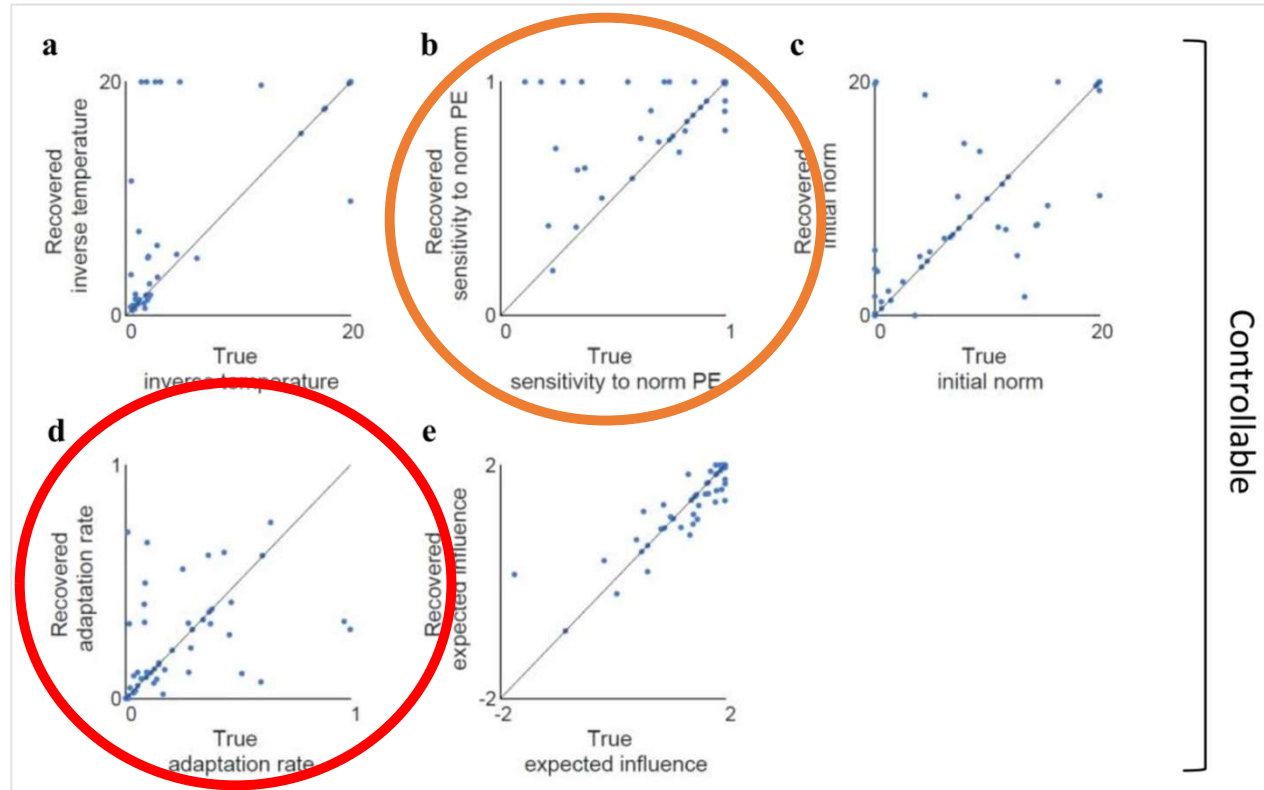
$$r = 0.57$$

Inv temp

$$r = 0.77$$

Initial norm

$$r = 0.66$$



# Replication of parameter recoverability

Recoverability changed

Adaptation rate

$r = 0.39 \rightarrow 0.61$

Expected influence

$r = 0.88 \rightarrow 0.91$

Sensitivity to norm PE

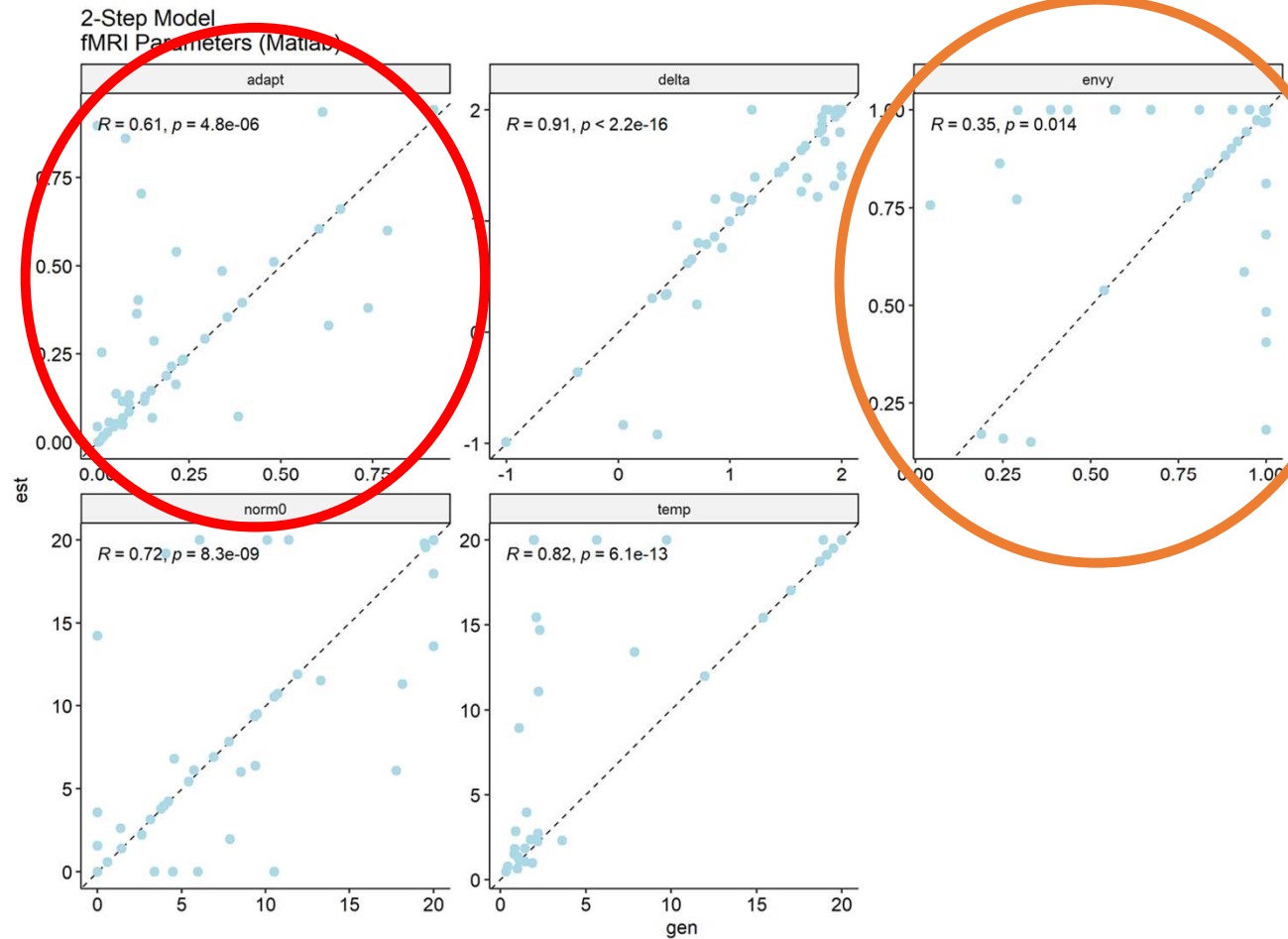
$r = 0.57 \rightarrow 0.35$

Inv temp

$r = 0.77 \rightarrow 0.82$

Initial norm

$r = 0.66 \rightarrow 0.72$



# Recoverability with **random** parameters

Recoverability gets worse!

Adaptation rate

0.39 -> 0.61 -> 0.25

Expected influence

0.88 -> 0.91 -> 0.90

Sensitivity to norm PE

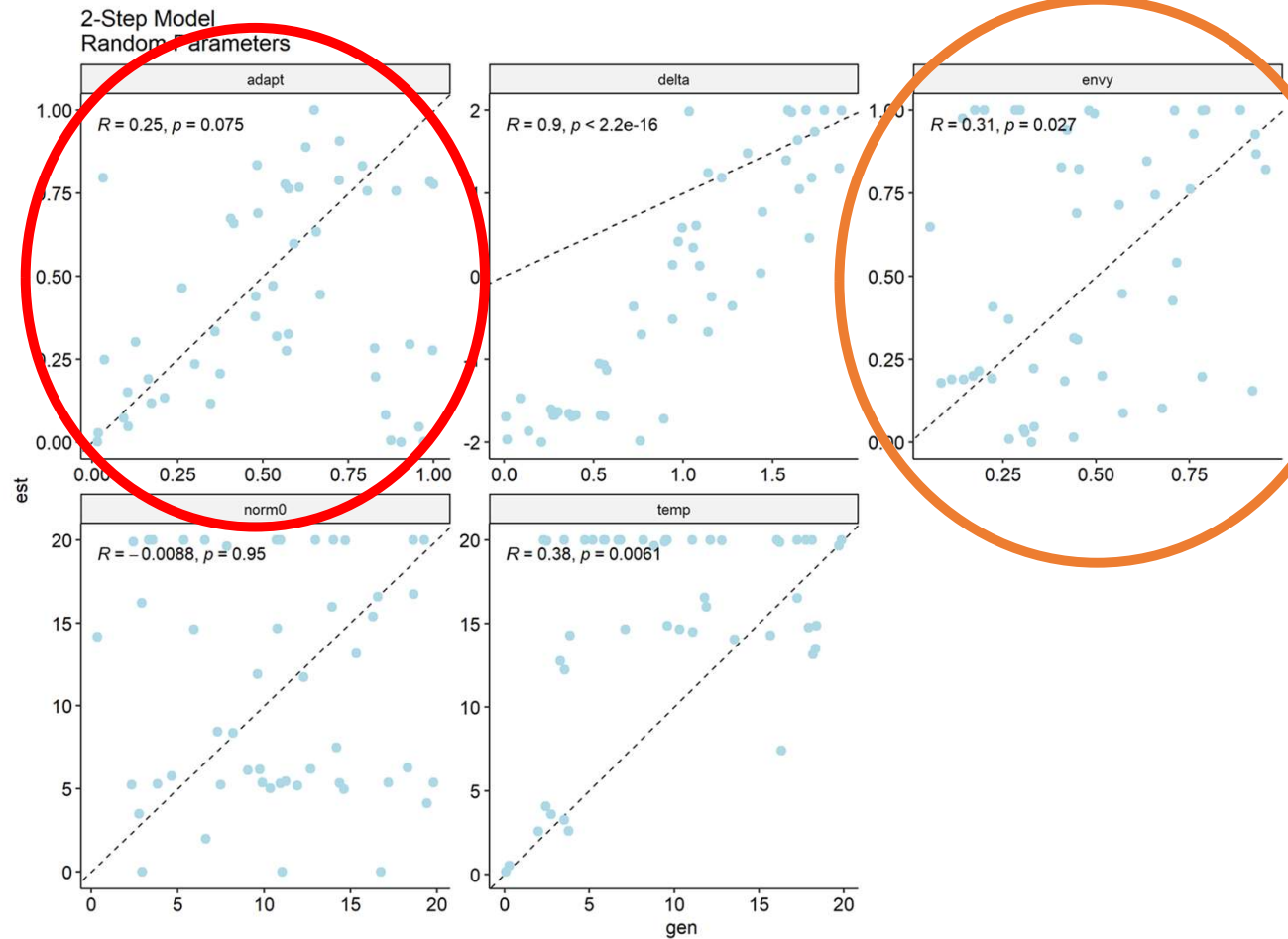
0.57 -> 0.35 -> 0.31

Inv temp

0.77 -> 0.82 -> 0.38

Initial norm

0.66 -> 0.72 -> -0.009



# Recoverability with **random** parameters

Recoverability gets worse!

Adaptation rate

0.39 -> 0.61 -> 0.25

Expected influence

0.88 -> 0.91 -> 0.90

Sensitivity to norm PE

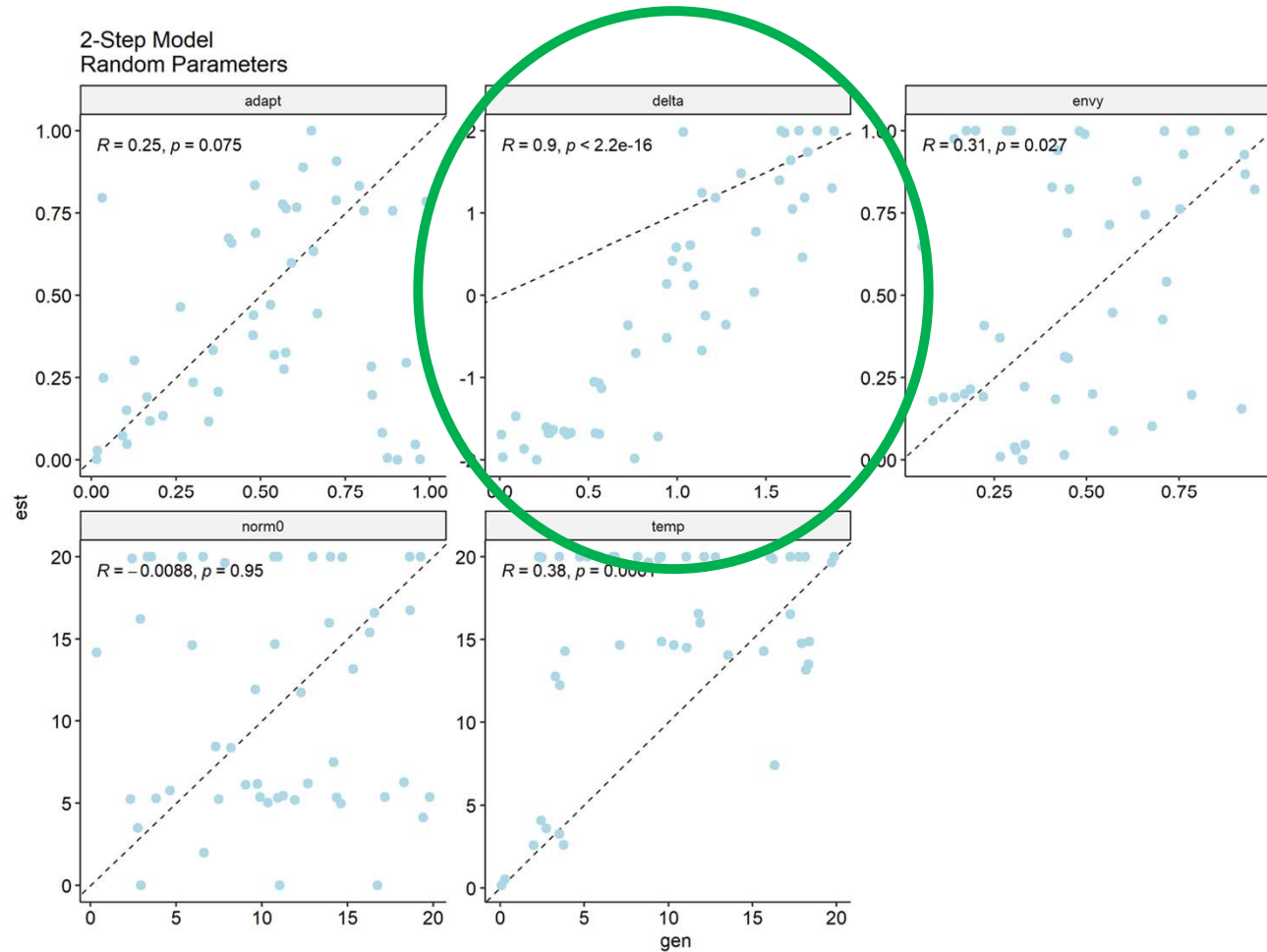
0.57 -> 0.35 -> 0.31

Inv temp

0.77 -> 0.82 -> 0.38

Initial norm

0.66 -> 0.72 -> -0.009



# recoverability with **DDM** decision rule

## Key changes:

- Removed two parameters
  - Initial norm =  $\max(\text{Offer}) \div 2$
  - No controllability
- Added four parameters
  - Boundary separation  
 $r = 0.94$
  - Drift rate  
 $r = 0.98$
  - Starting point  
 $r = 0.71$
  - Non-decision time  
 $r = 0.96$

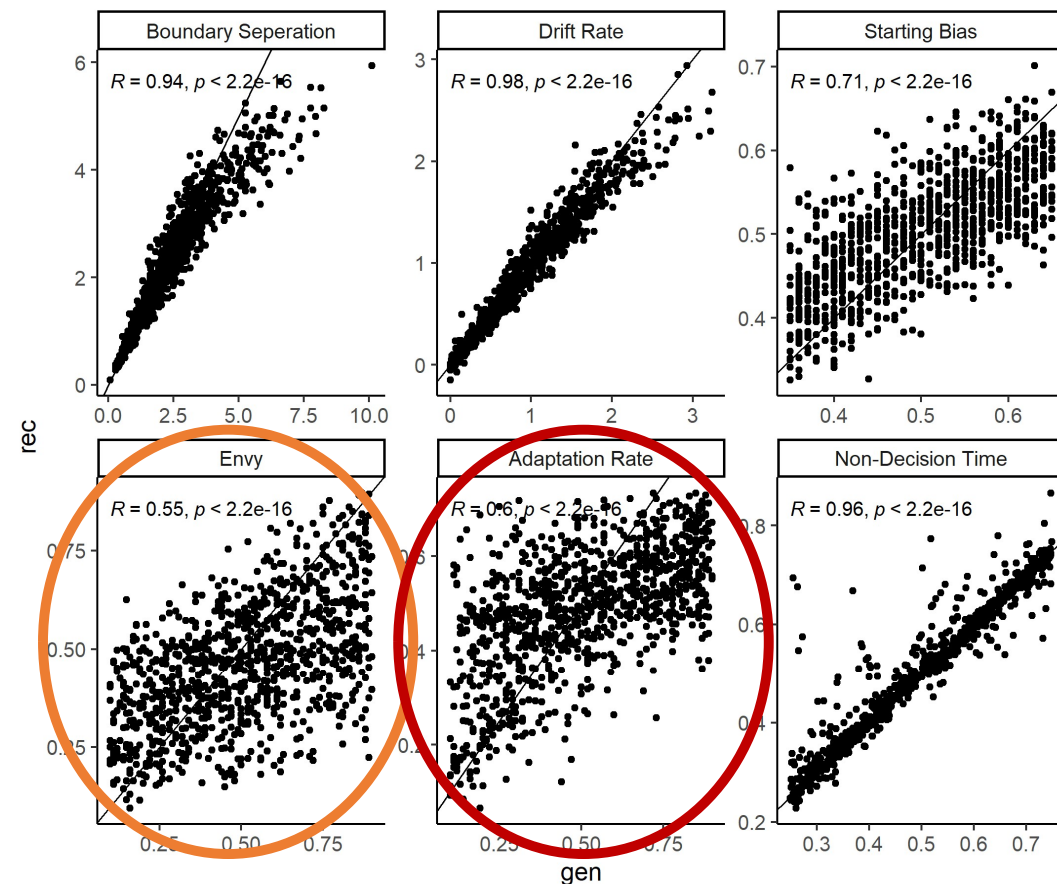
Using **random** parameter values

Adaptation rate

$r = 0.25 \rightarrow 0.6$

Sensitivity to norm PE

$r = 0.31 \rightarrow 0.55$



# recoverability with **DDM** decision rule

## Key changes:

- Removed two parameters
  - Initial norm =  $\max(\text{Offer}) \div 2$
  - No norm learning
- Added four parameters
  - Boundary separation  
 $r = 0.94$
  - Drift rate  
 $r = 0.98$
  - Starting point  
 $r = 0.71$
  - Non-decision time  
 $r = 0.96$

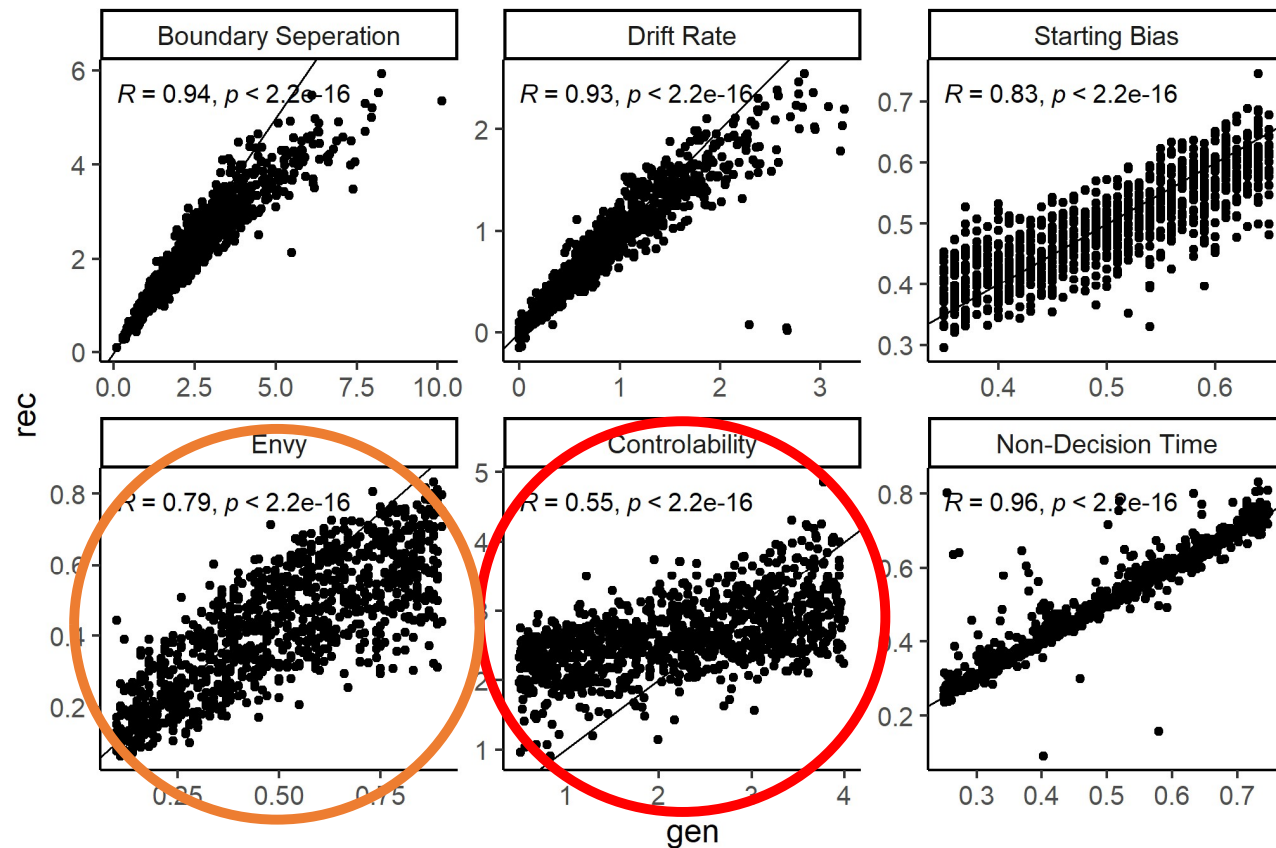
Using **random** parameter values

Controllability

**$r = 0.55$**

Sensitivity to unfairness

**$r = 0.79$**

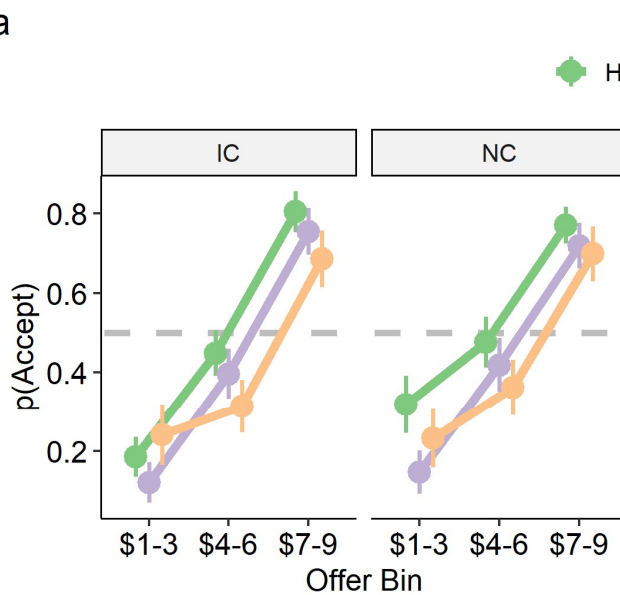


# Application to Empirical Data

- Social Interactions & Borderline Personality Disorder (BPD)
  - BPD characterized by inability to maintain relationships, inflexibility, & need to control others
  - Hyp: BPD patients should show deficits in capacity to negotiate in social exchange task
- Data
  - BPD (n=29), Anxiety (ANX, n=33), healthy controls (HC, n=42)
  - UG task with and without controllability

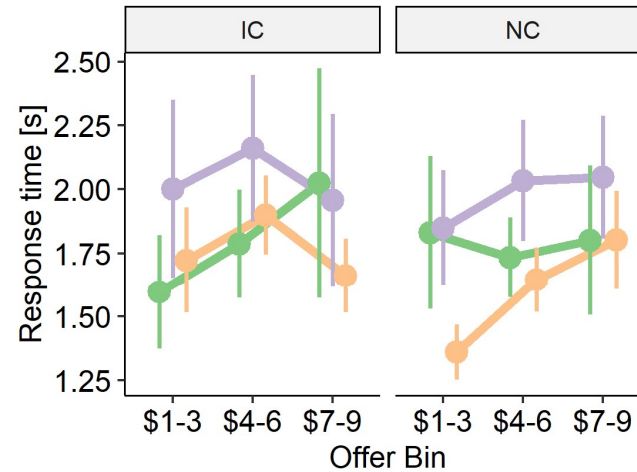
# behavioral analyses

a



b

HC ANX BPD



## Choice

### Main effect of group

- $F(2, 531) = 3.47, P = 0.032$

### Post-hoc analysis

- $BPD - HC = -0.08,$   
 $P_{adj} = 0.065$
- $ANX - HC = -0.08,$   
 $P_{adj} = 0.070$

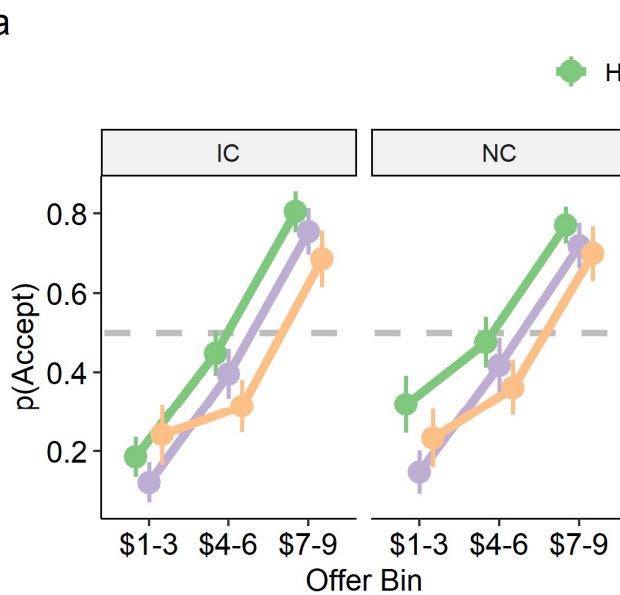
Note:

IC = In-control Condition

NC = No-control Condition

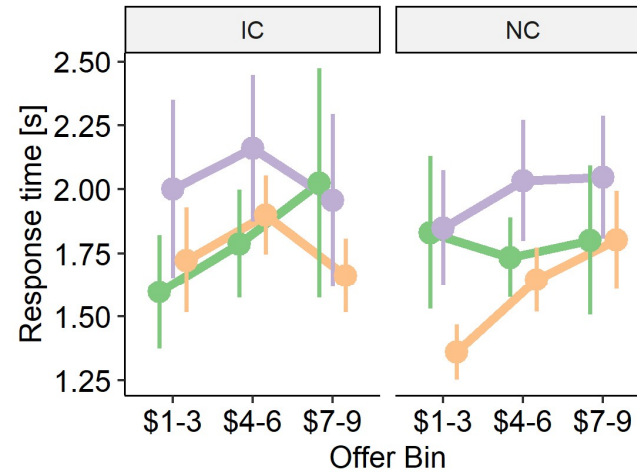
# behavioral analyses

a



b

HC ANX BPD



## Response time

### Main effect of group

- $F(2, 531) = 4.31, P = 0.014$

### Post-hoc analysis

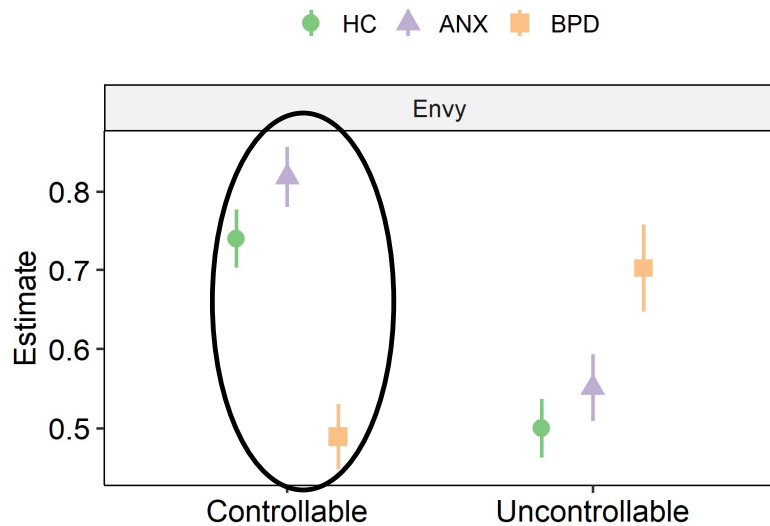
- $ANX - HC = 0.13,$   
 $P_{adj} = 0.018$
- $ANX - BPD = 0.12,$   
 $P_{adj} = 0.057$

Note:

IC = In-control Condition

NC = No-control Condition

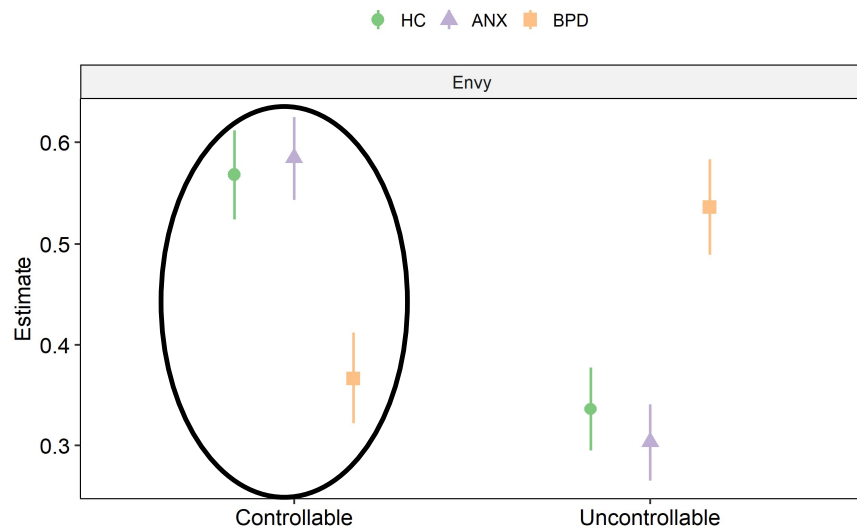
# preliminary modeling results



## Envy

- *How strongly do decision-makers weigh norm violations?*
- Group x Block Interaction
  - $F(2,202) = 19.07, P < 0.001$
- Post-hoc analyses
  - $BPD_{IC} - HC_{IC} = -0.25, P_{adj} < 0.001$
  - $BPD_{IC} - ANX_{IC} = -0.33, P_{adj} < 0.001$

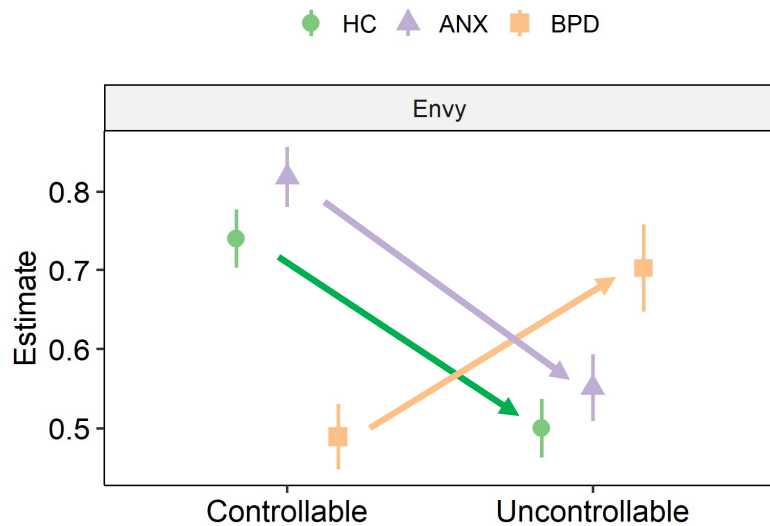
# preliminary modeling results



## Envy

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- Group x Block Interaction
  - $F(2,202) = 19.07, P < 0.001$
- Post-hoc analyses
  - $BPD_{IC} - HC_{IC} = -0.25, P_{adj} < 0.001$
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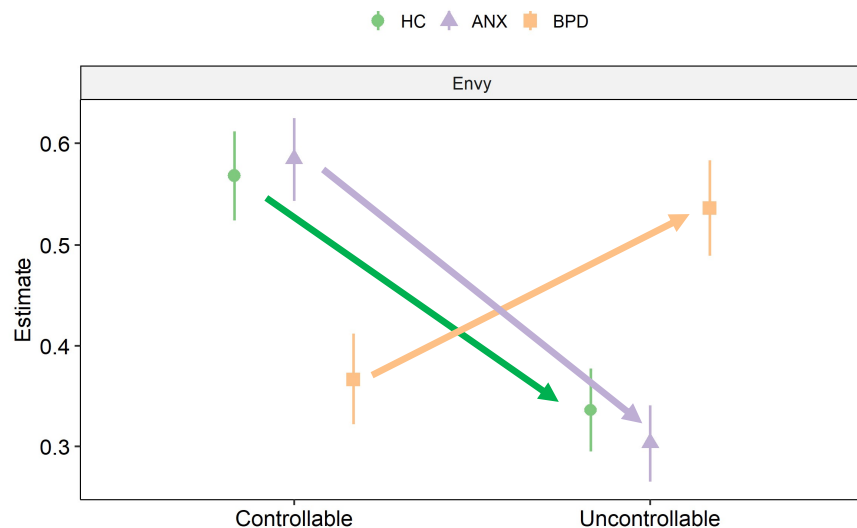
# preliminary modeling results



## Aversion to Norm Violations

- *How strongly do decision-makers weigh norm violations?*
- Group x Block Interaction
  - $F(2,202) = 19.07, P < 0.001$
- Post-hoc analyses
  - $BPD_{IC} - HC_{IC} = -0.25, P_{adj} < 0.001$
  - $BPD_{IC} - ANX_{IC} = -0.33, P_{adj} < 0.001$
- Interpretation
  - When offers were controllable, BPD group weighed norm violations less strongly than both controls and ANX group
  - When offers were *not* controllable, BPD group weighed norm violations more strongly than control group

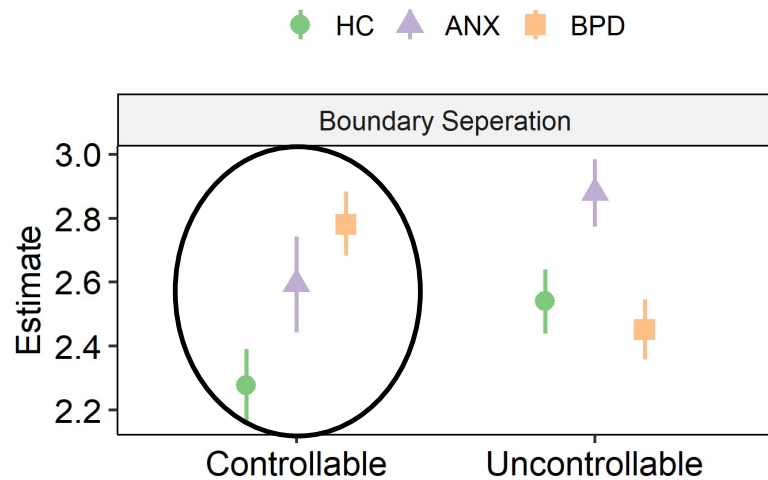
# preliminary modeling results



## Envy

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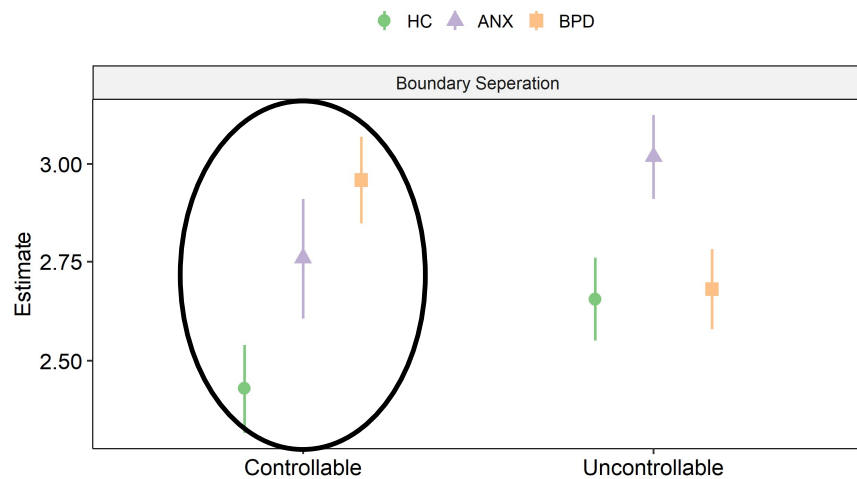
# preliminary modeling results



## Boundary Separation

- *How cautious are decision-makers?*
- Group x Block Interaction
  - $F(2,202) = 4.27, P = 0.015$
- Post-hoc analyses
  - $BPD_{IC} - HC_{IC} = 0.50, P_{adj} = 0.024$
- Interpretation
  - When offers are controllable, BPD patients are more cautious than healthy controls

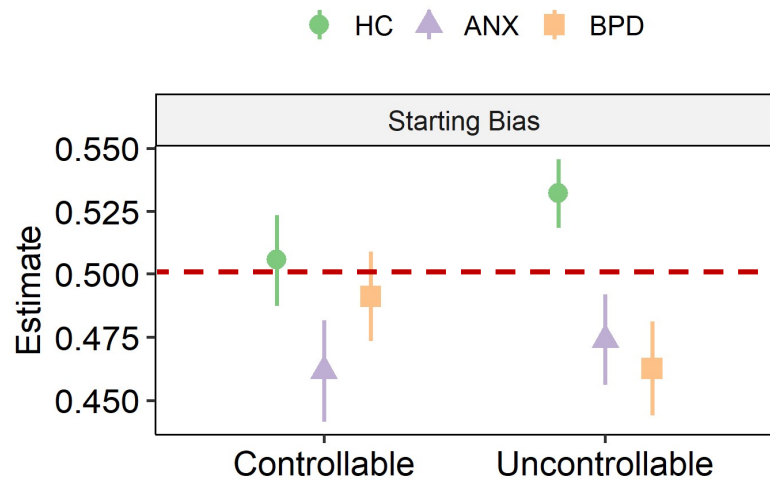
# preliminary modeling results



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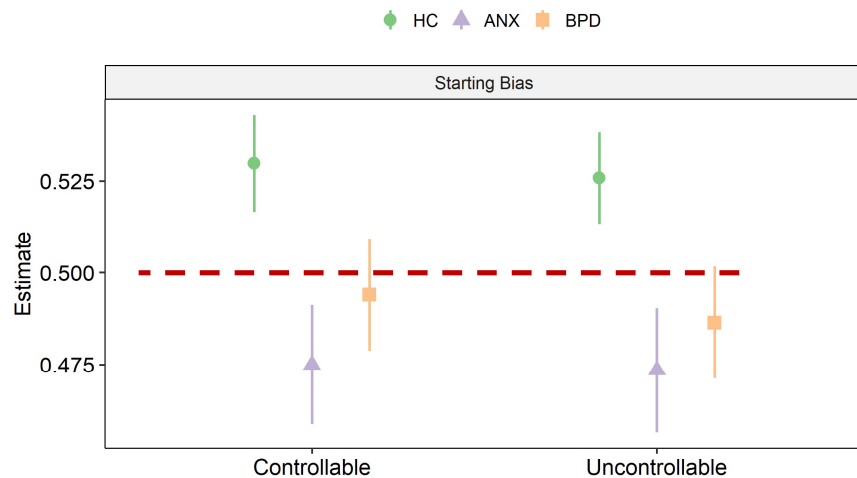
# preliminary modeling results



## Starting Bias

- *How biased are decision-makers towards one type of response?*
- Main effect of Group
  - $F(2,202) = 5.20, P = 0.006$
- Post-hoc analyses
  - $ANX - HC = -0.05, P_{adj} = 0.009$
  - $BPD - HC = -0.04, P_{adj} = 0.049$
- Interpretation
  - Both clinical groups are more likely to punish opponents, regardless of amount

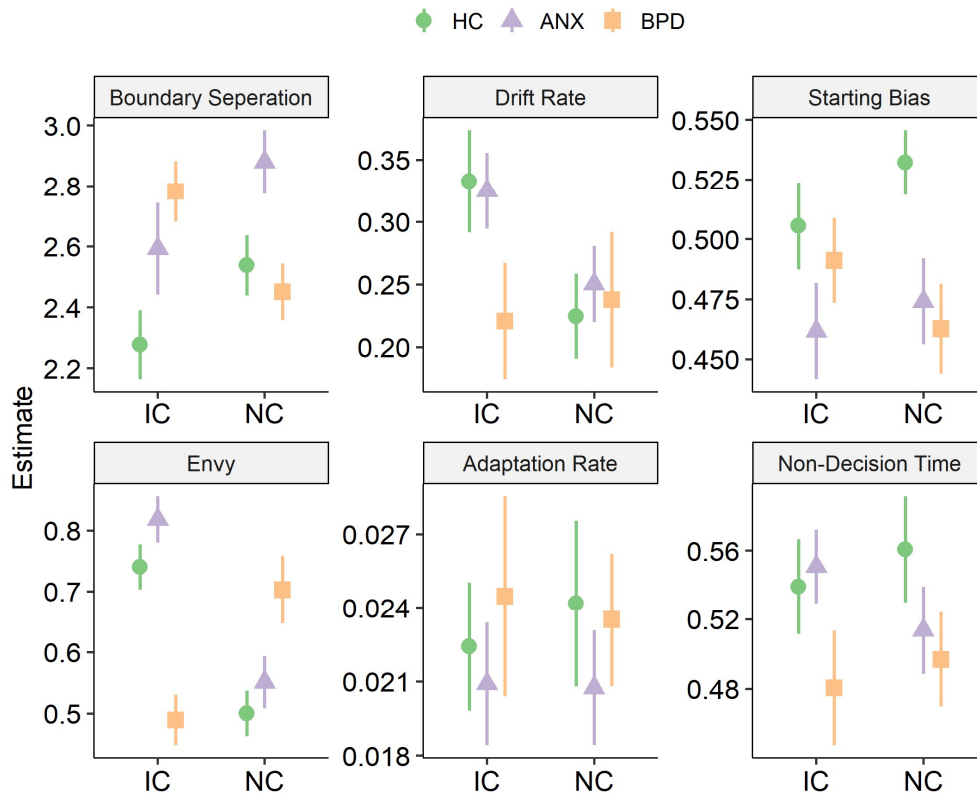
# preliminary modeling results



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# preliminary modeling results

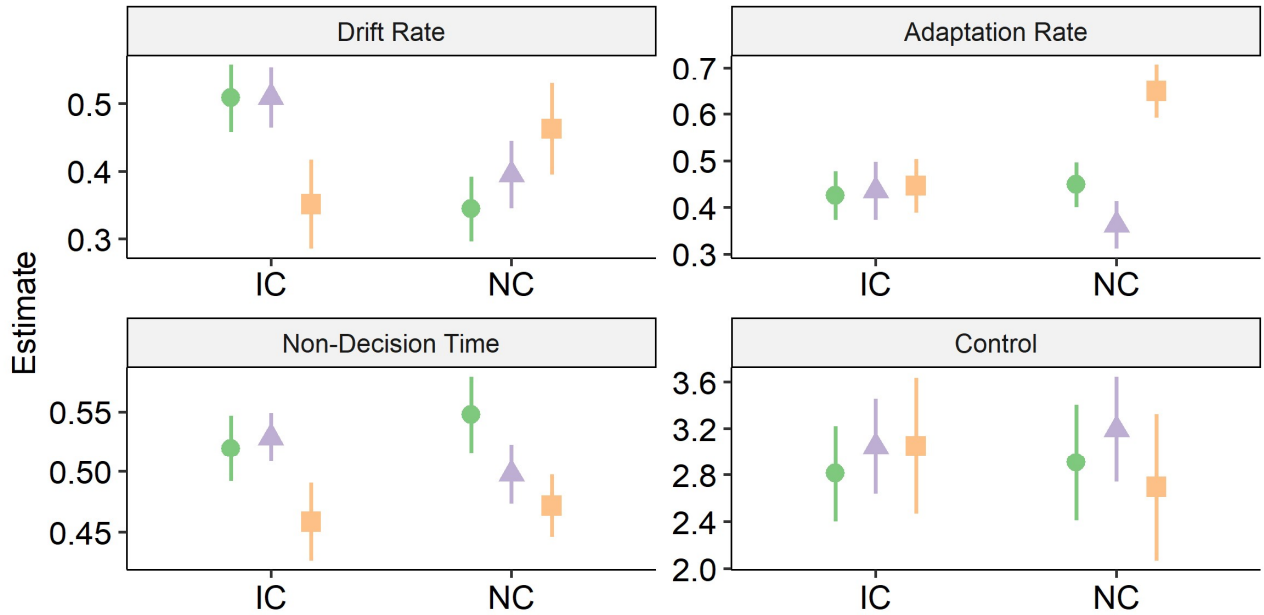


No significant group differences or group-condition interactions for *Drift Rate*, *Adaptation Rate*, or *Non-Decision time*

Note:  
IC = In-control Condition  
NC = No-control Condition

# preliminary modeling results

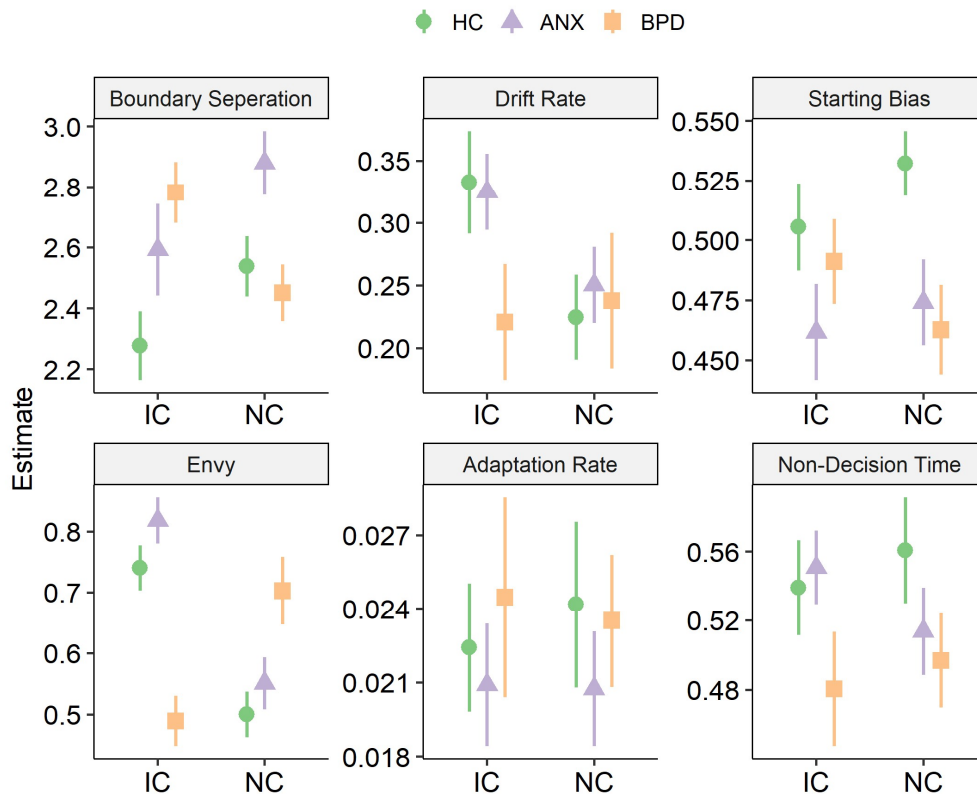
● HC ▲ ANX ■ BPD



No significant group differences or group-condition interactions for *Drift Rate*, *Adaptation Rate*, *Non-Decision time*, or *Control*

Note:  
IC = In-control Condition  
NC = No-control Condition

# preliminary modeling results



## Summary

- Individuals with BPD or Anxiety are more punitive than controls
- Individuals with BPD are generally more cautious than controls when making decisions *when they have control*
- Individuals with BPD use of norm violations differs greatly from both controls and those with anxiety

Note:

IC = In-control Condition

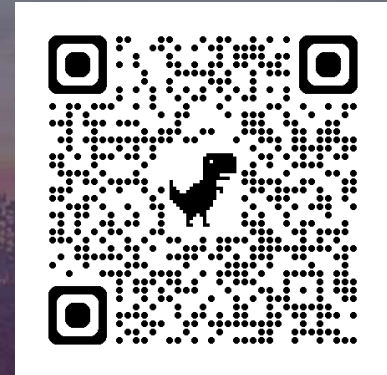
NC = No-control Condition

### take-aways

- Response times are information
- Response times improve reliability and portability of cognitive models

### best practices

- Parameter recovery and model identifiability
- Build your models with your tasks



# NYComputational Psychiatry Workshop

November 10-12, 2025

A specialized workshop bringing together computational methods and clinical expertise to advance understanding of mental health and psychiatric disorders.

## What is NYCPW?

The **New York Computational Psychiatry Workshop** (NYCPW) is an immersive, hands-on three-day workshop designed to provide trainees with the latest tools and knowledge in computational psychiatry. Our aim is to bridge the gap between computational methods and clinical applications.

The workshop will take place at the Mount Sinai **Center for Computational Psychiatry** (55 W 125th St, New York, NY 10027) on **November 10-12, 2025**.

# Thank you

## Acknowledgements

Xiaosi Gu (Yale)  
Laura Berner (ISMMS)  
Kianté Fernandez (UCLA)  
Ian Krajbich (UCLA)  
Roger Ratcliff (OSU)  
Laura Fontanesi (Basel)  
Robert (Bob) Wilson (Arizona, Georgia Tech)

# The Forward Thinking Model

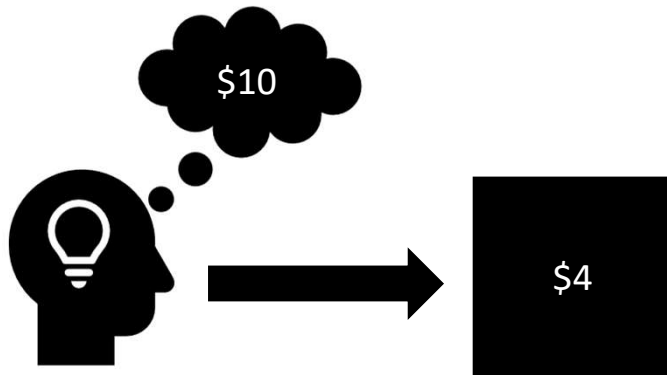
- Five parameter model:
  - Initial norms ( $f_0$ )
  - Envy ( $\alpha$ )
  - Adaptation rate ( $\varepsilon$ )
  - Influence ( $\delta$ )
  - Inverse temperature ( $\beta$ )

# The Forward Thinking Model

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  - Initial norms ( $f_0$ )
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What is fair?

Expectation at start of trial



# The Forward Thinking Model

- Five parameter model:
    - Initial norms ( $f_0$ )
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    - Influence ( $\delta$ )
    - Inverse temperature ( $\beta$ )
- Desire for fairness  
Aversion for inequality



# The Forward Thinking Model

- Five parameter model:
  - Initial norms ( $f_0$ )
  - Envy ( $\alpha$ )
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  - Influence ( $\delta$ )
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Desire for fairness

Aversion for inequality

$$u(\text{Offer}|a) = \text{Offer} - a(\text{Norm} - \text{Offer})$$

$$u(\$4|a) = \$4 - \alpha(\$10 - \$4) = \$4 - \alpha(\$6)$$

$$u(\$4|0.4) = \$4 - (0.4)(\$6) = \$4 - \$2.4 = \$1.60$$



# The Forward Thinking Model

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  - Initial norms ( $f_0$ )
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Rate of updating norms

Influence of new information

$$\text{pr}(\text{Norm}_{t+1}|\varepsilon) = \text{Norm}_t + \varepsilon(\text{Offer} - \text{Norm}_t)$$

$$\text{pr}(\text{Norm}_{t+1}|\varepsilon) = 10 + \varepsilon(4 - 10) = 10 + \varepsilon(-6)$$

$$\text{pr}(\text{Norm}_{t+1}|.65) = 10 + (0.65)(-6) = 6.10$$



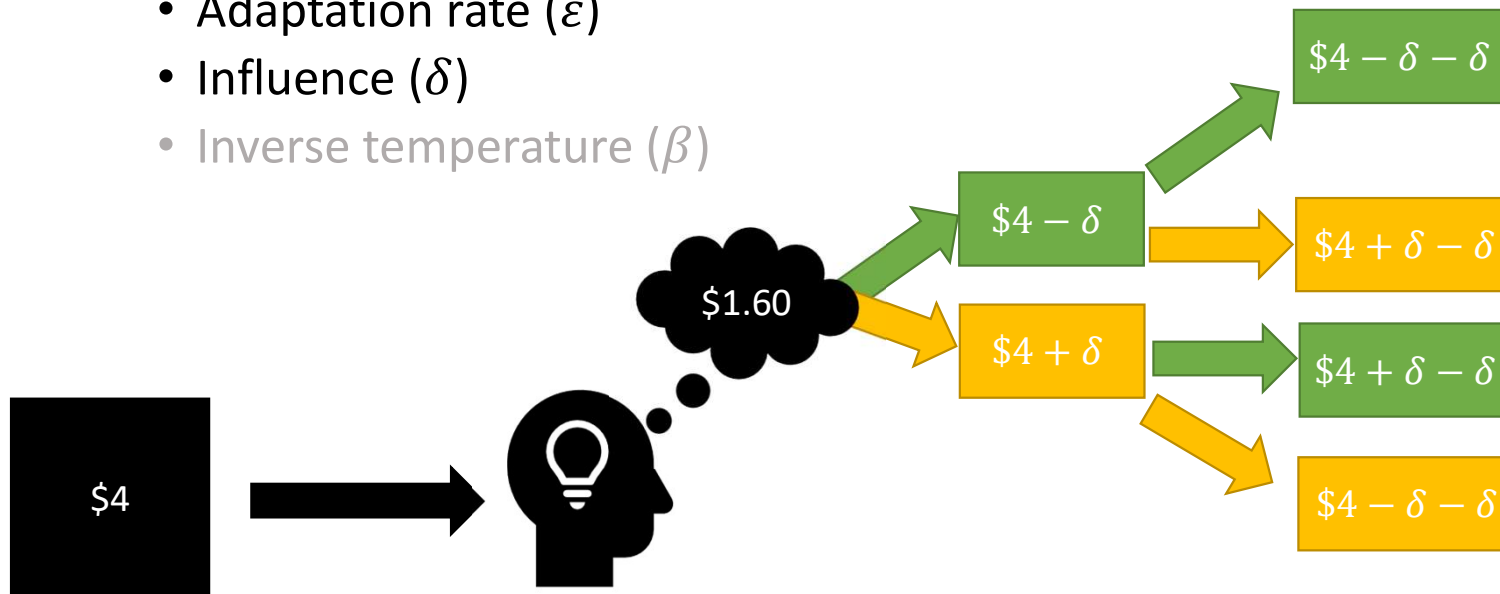
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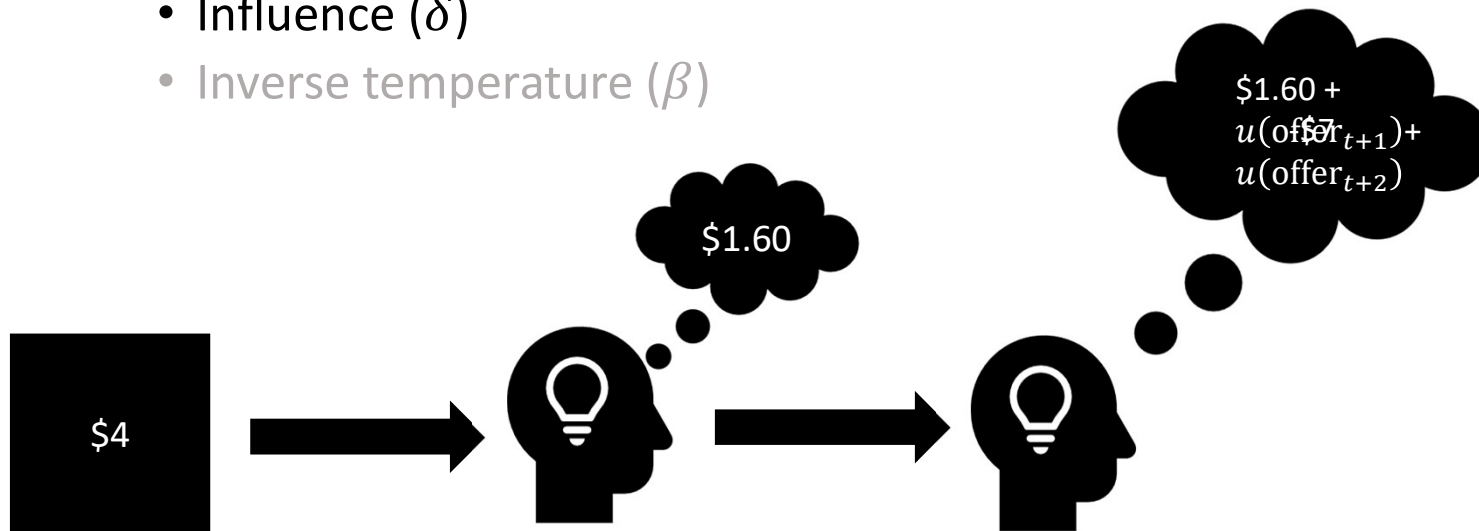
Influence on new offers

How much change is possible



# The Forward Thinking Model

- Five parameter model:
    - Initial norms ( $f_0$ )
    - Envy ( $\alpha$ )
    - Adaptation rate ( $\varepsilon$ )
    - Influence ( $\delta$ )
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# The Forward Thinking Model

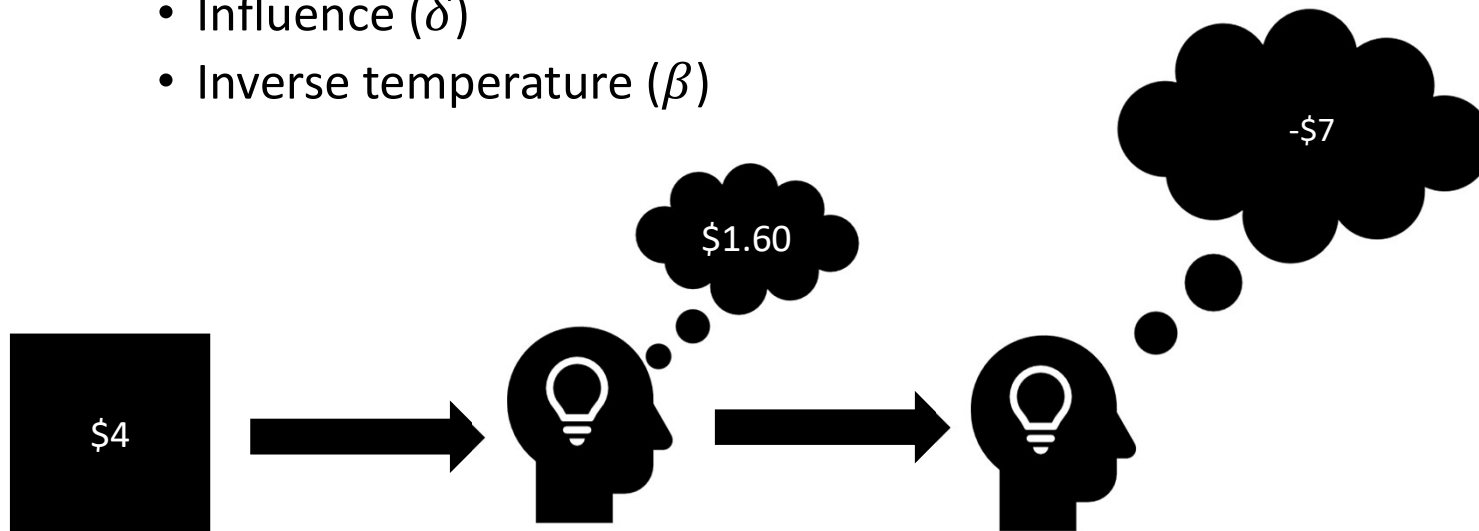
- Five parameter model:

- Initial norms ( $f_0$ )
- Envy ( $\alpha$ )
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- Inverse temperature ( $\beta$ )

How much value influences choice

Choice consistency

$$P(\text{Accept}) = \frac{1}{(1 + e^{-\beta \cdot U})}$$



# The Forward Thinking Model

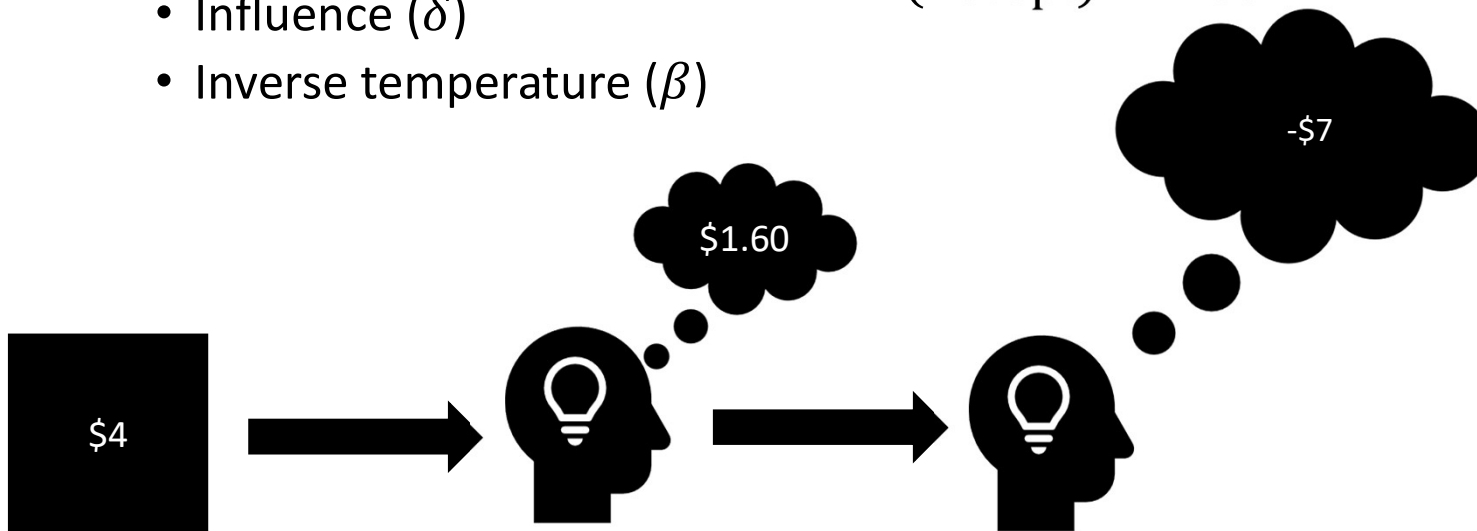
- Five parameter model:

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- Adaptation rate ( $\varepsilon$ )
- Influence ( $\delta$ )
- Inverse temperature ( $\beta$ )

How much value influences choice

Choice consistency

$$P(\text{Accept}) = \frac{1}{(1+e^{-\beta \cdot U})} = \frac{1}{(1+e^{-\beta \cdot -7})} = \frac{1}{(1+e^{-(1.5) \cdot -7})}$$
$$P(\text{Accept}) = 2.8e^{-5}$$



# Estimation Procedure



{ 5, 4, 3, ..., 9  
1, 1, 0, ..., 1

- Quasi-Grid Search
  - Grid of 256 value combinations
  - Initial values [-1,0,1]
- Optimizer
  - BFGS Quasi-Newtonian method
  - Cubic line search

$\{f_0, \alpha, \varepsilon, \delta, \beta\}$

$\{0, 1, 1, 1, 1\} \rightarrow \{9, 0.65, 0.13, 1.5, 7.2\} \rightarrow LL$

# Estimation Procedure



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$\{f_0, \alpha, \varepsilon, \delta, \beta\}$

$\{0, 1, 1, 1, 1\} \rightarrow \{9, 0.65, 0.13, 1.5, 7.2\} \rightarrow -13$

$\{0, 0, 1, 1, 1\} \rightarrow \{8, 0.99, 0.21, 1.7, 7.3\} \rightarrow -11$

$\{0, 0, 0, 1, 1\} \rightarrow \{8, 0.01, 0.11, 1.3, 2.2\} \rightarrow -19$

...

$\{1, 1, 1, 1, 1\} \rightarrow \{10, 0.75, 0.45, 0.6, 1.1\} \rightarrow -14$

# Parameter Recoverability

- Procedure
  - Take a set of parameters  $X_{gen}$
  - Generate simulated data using  $X_{gen}$
  - Fit model to simulated data to estimate  $X_{est}$
  - Assess correlations between  $X_{gen}$  and  $X_{est}$
- Parameter sets
  - Study 1: estimates from fMRI data ( $N = 48$ ;  $t=30$ ; Na et al., 2020, *eLife*)
  - Study 2: estimates from Prolific data ( $N = 711$ ;  $t=20$ ; Na et al., 2020, *eLife*)
  - Study 3: random parameters ( $N = 50$ ;  $t=100$ )

# Baseline Recoverability

Research Article  
Neuroscience

## Humans use forward thinking to exploit social controllability

Soojung Na, Dongil Chung, Andreas Hula, Ofer Perl, Jennifer Jung, Matthew Heflin, Sylvia Blackmore, Vincenzo G Fiore, Peter Dayan, Xiaosi Gu

Recoverability with 30 trial  
(out of 40, fMRI sample)

Adaptation rate

0.39

Delta

0.88

Envy

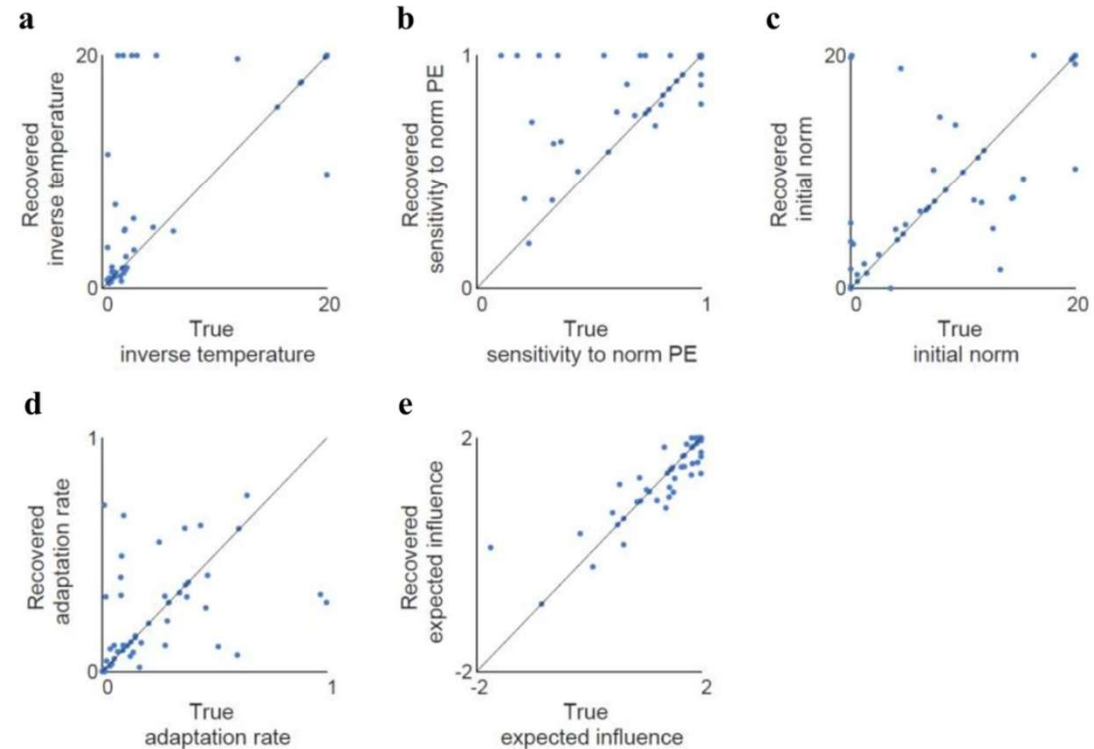
0.57

Temp

0.77

Initial norm

0.66



Controllable

# Baseline Recoverability

Recoverability with 30 trial  
(out of 40, fMRI sample)

Adaptation rate

0.39

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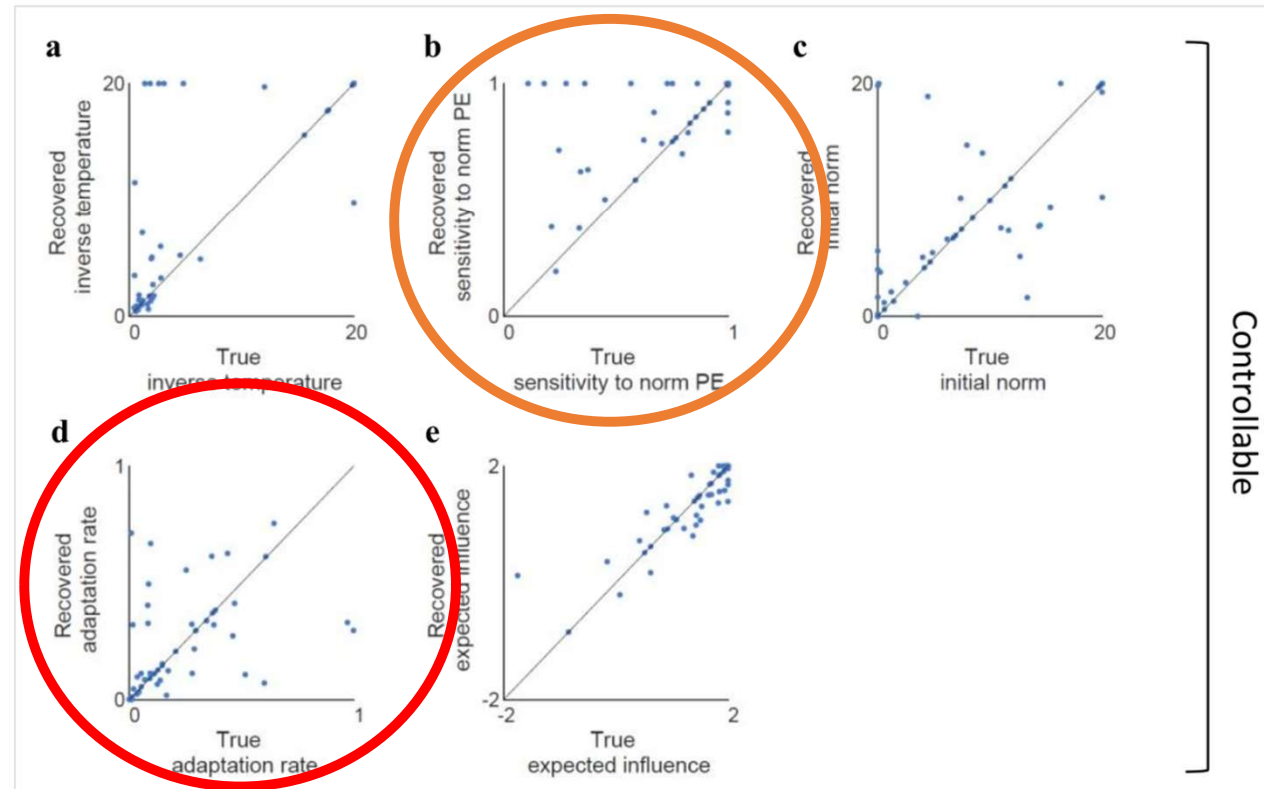
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# Replication of parameter recoverability

Recoverability changed

Adaptation rate

0.39 -> 0.61

Delta

0.88 -> 0.91

Envy

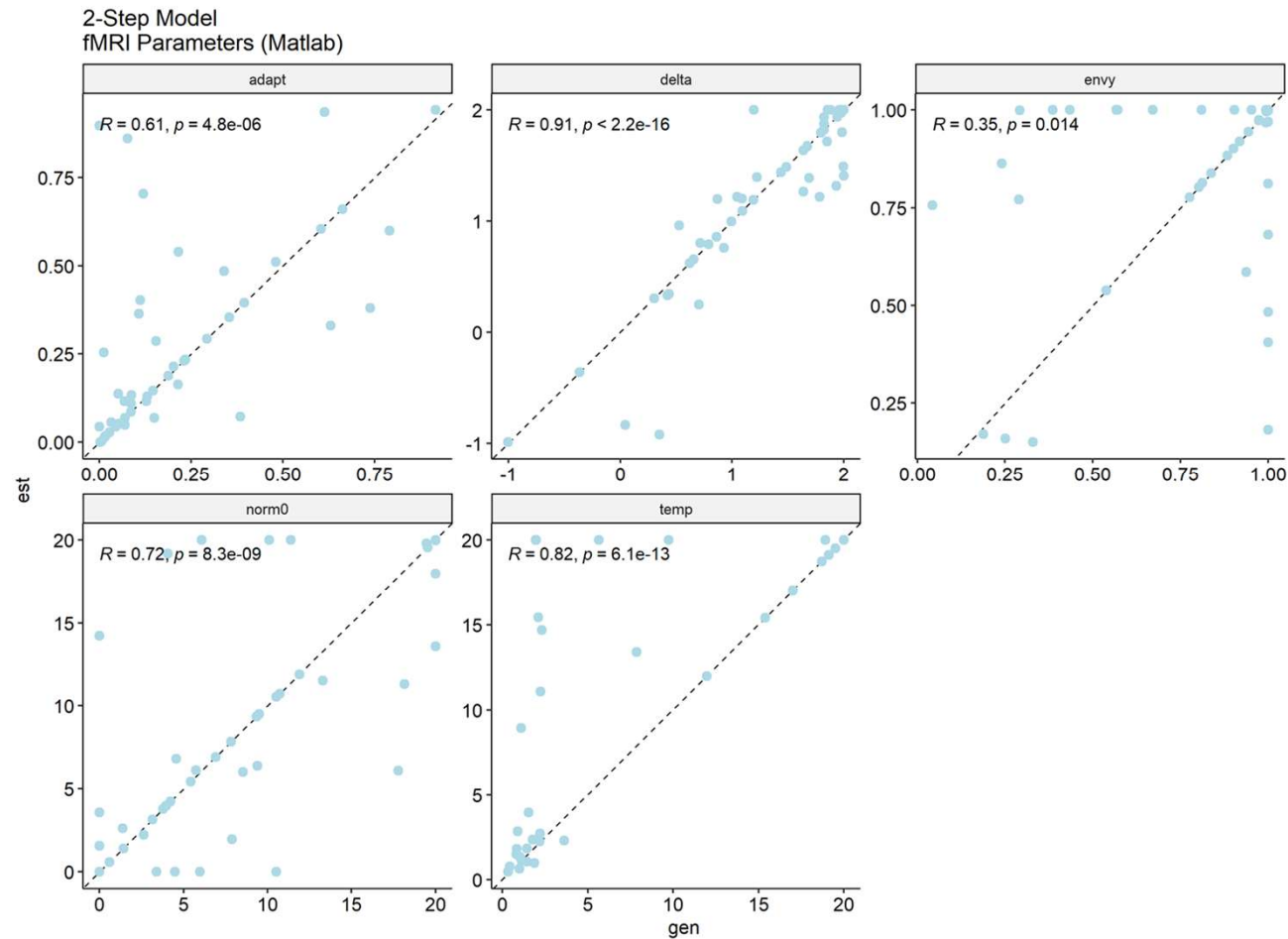
0.57 -> 0.35

Temp

0.77 -> 0.82

Initial norm

0.66 -> 0.72



# Parameter recoverability using fewer trials

Recoverability got worse!

Adaptation rate

0.39 -> 0.61 -> 0.56

Delta

0.88 -> 0.91 -> 0.73

Envy

0.57 -> 0.35 -> 0.28

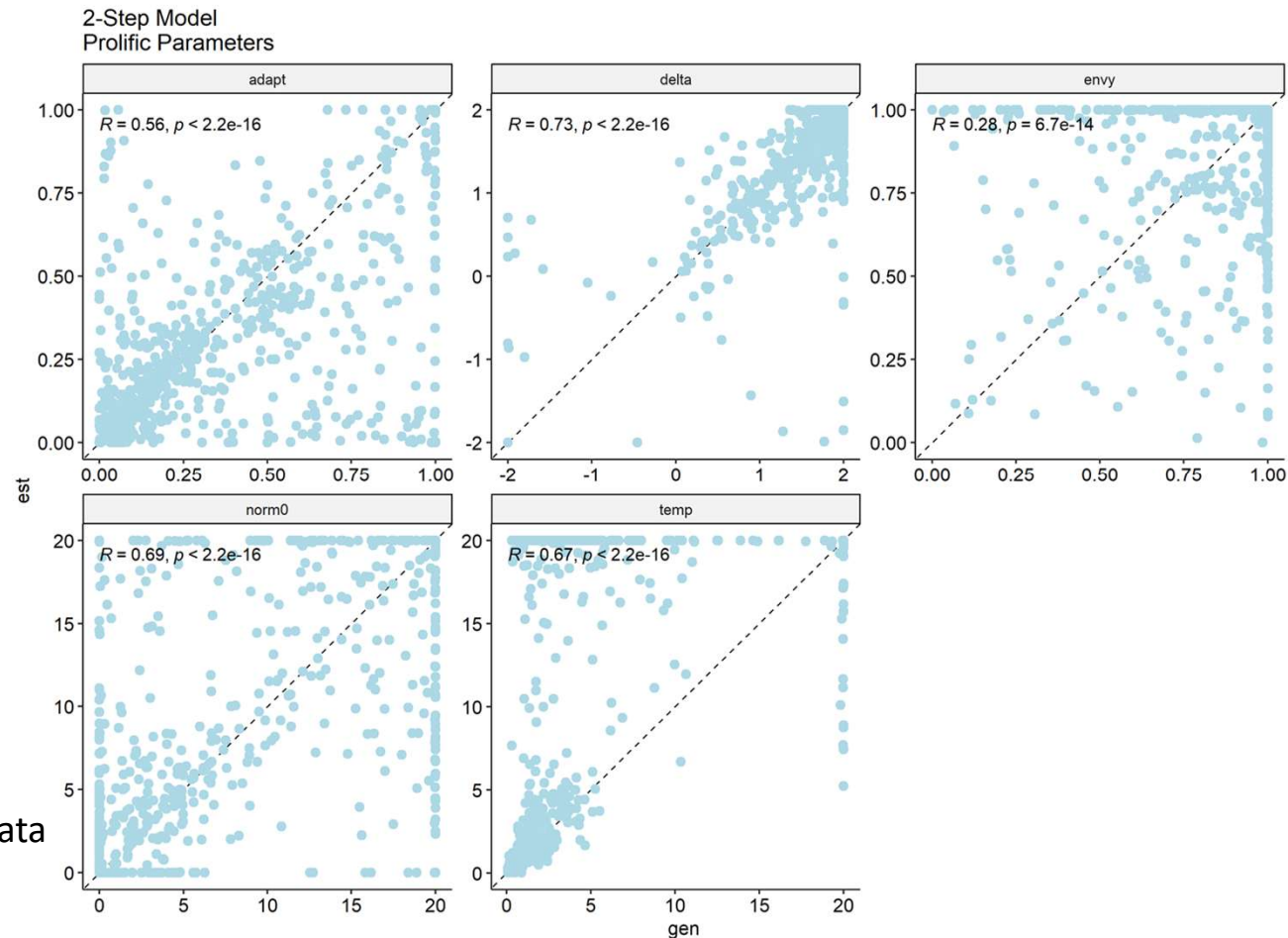
Temp

0.77 -> 0.82 -> 0.67

Initial norm

0.66 -> 0.72 -> 0.69

Original (fMRI) -> Replication (fMRI) -> Online Data



# Parameter recoverability using random parameters

Recoverability got *much* worse!

Adaptation rate

0.39 -> 0.61 -> 0.25

Delta

0.88 -> 0.91 -> 0.90

Envy

0.57 -> 0.35 -> 0.31

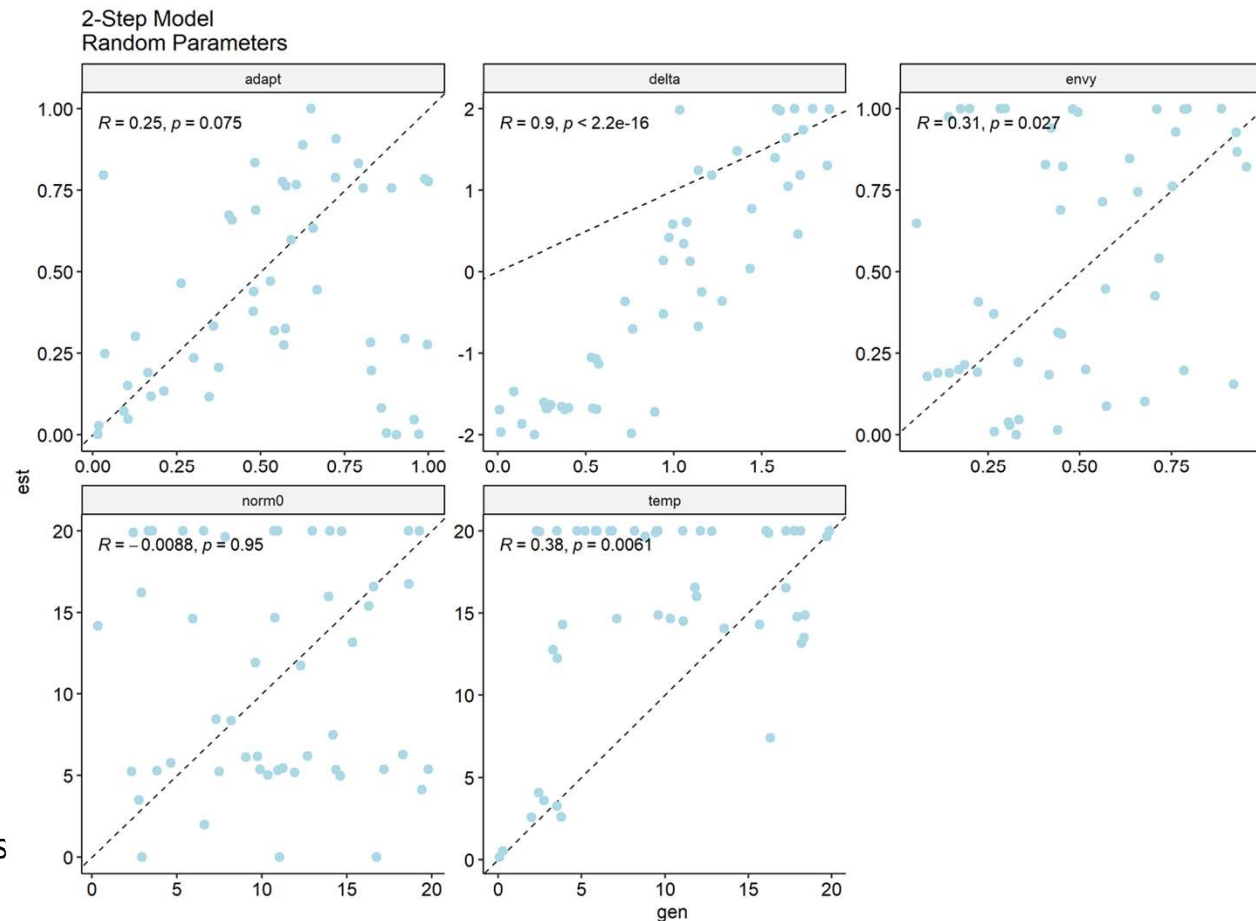
Temp

0.77 -> 0.82 -> 0.38

Initial norm

0.66 -> 0.72 -> -0.009

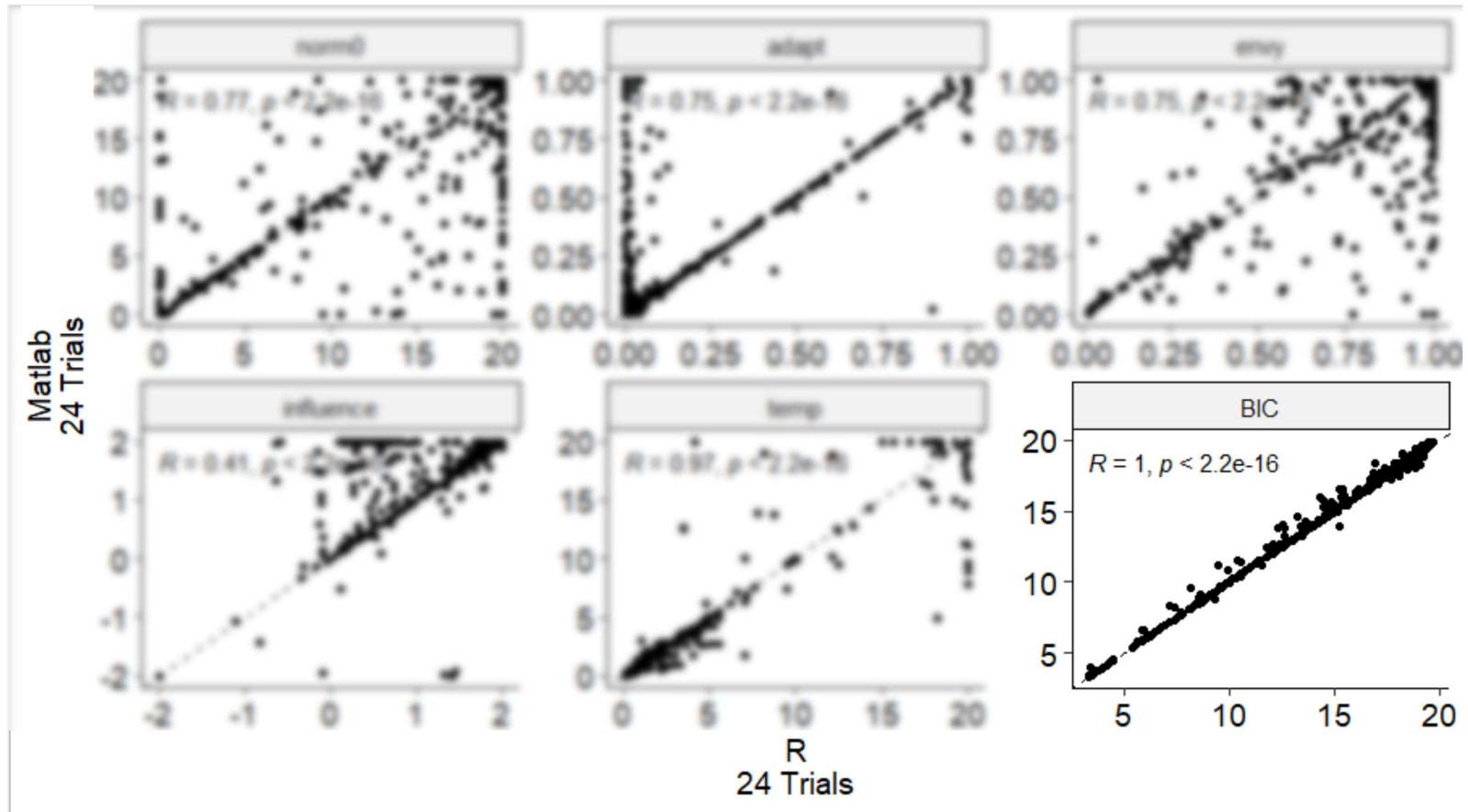
Original (fMRI) -> Replication (fMRI) -> Simulations



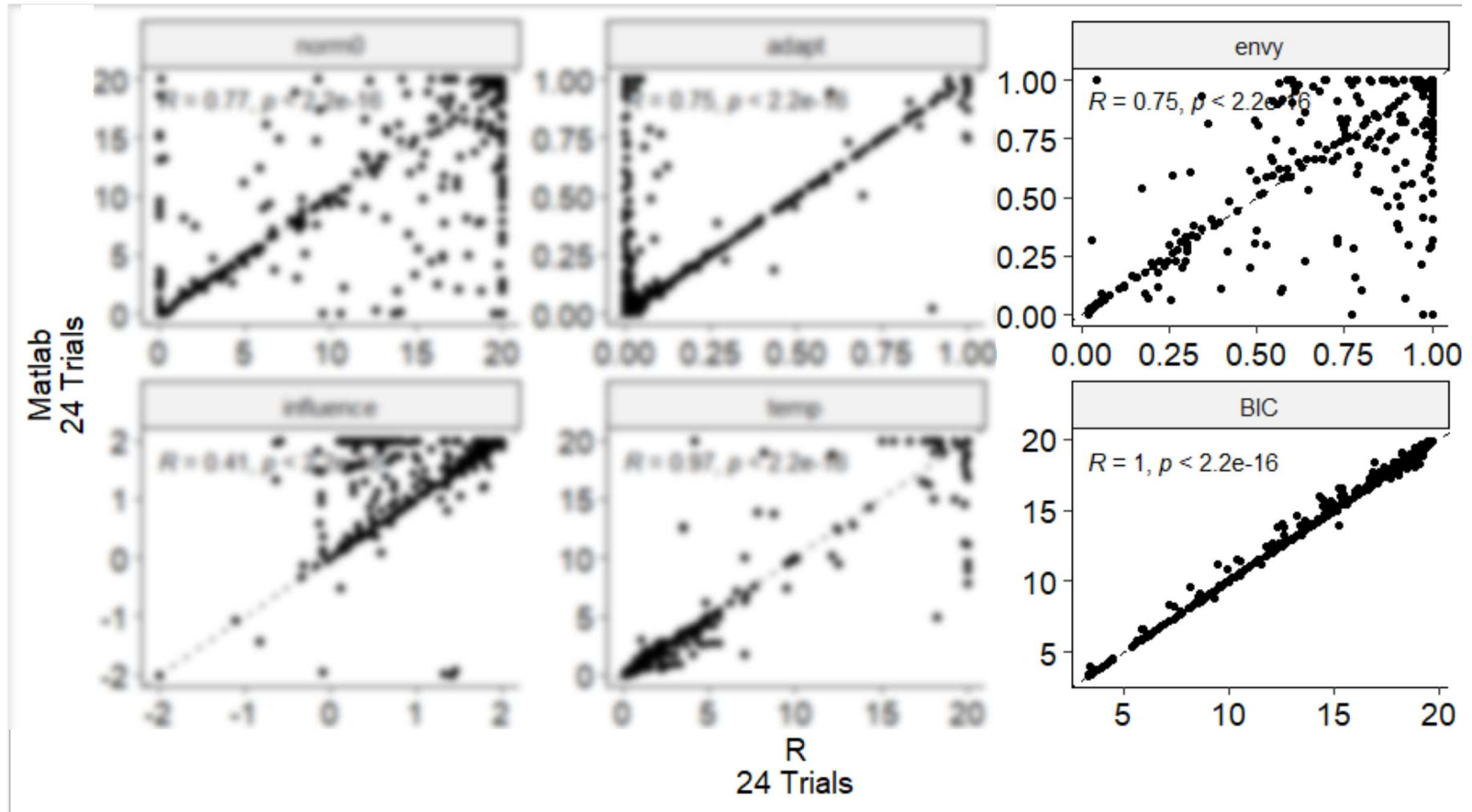
# Comparing Programming Languages

- Goal: Compare parameter estimation and recoverability using Matlab and R
  - Matlab: Original code
  - R: More flexible
- Dataset
  - Round 1 of Prolific UG2 data ( $N = 711$ )
  - Controllability condition
  - 24 trials (out of 30)

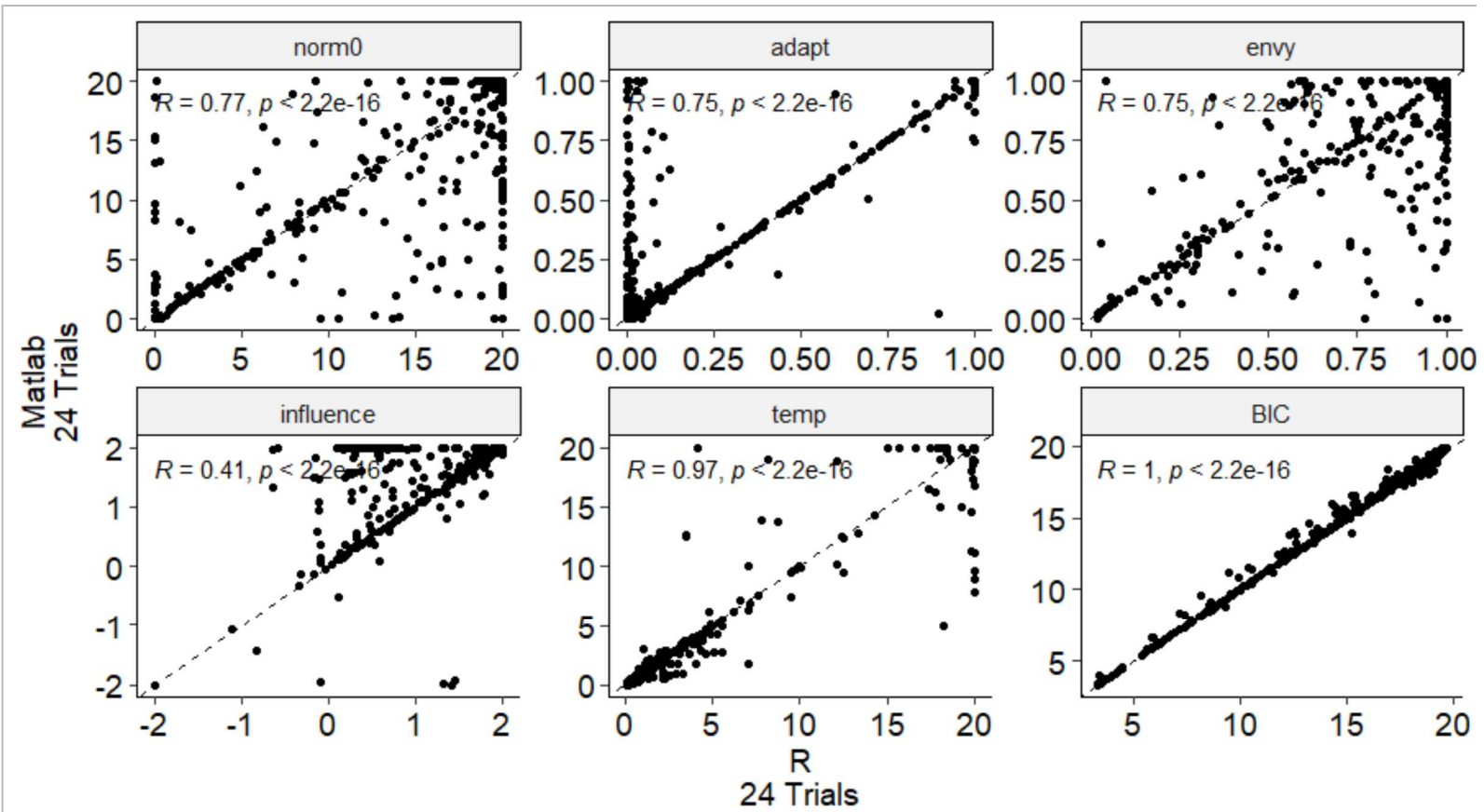
R > Matlab ????



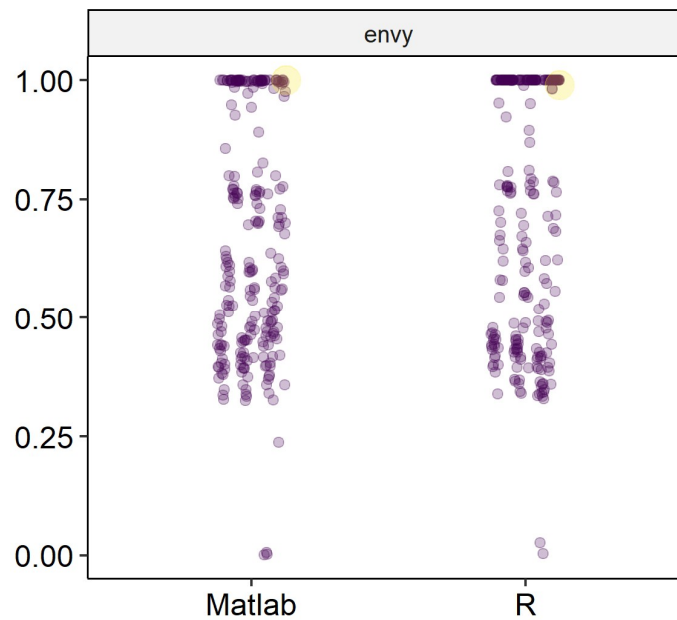
R > Matlab ????



R > Matlab ????

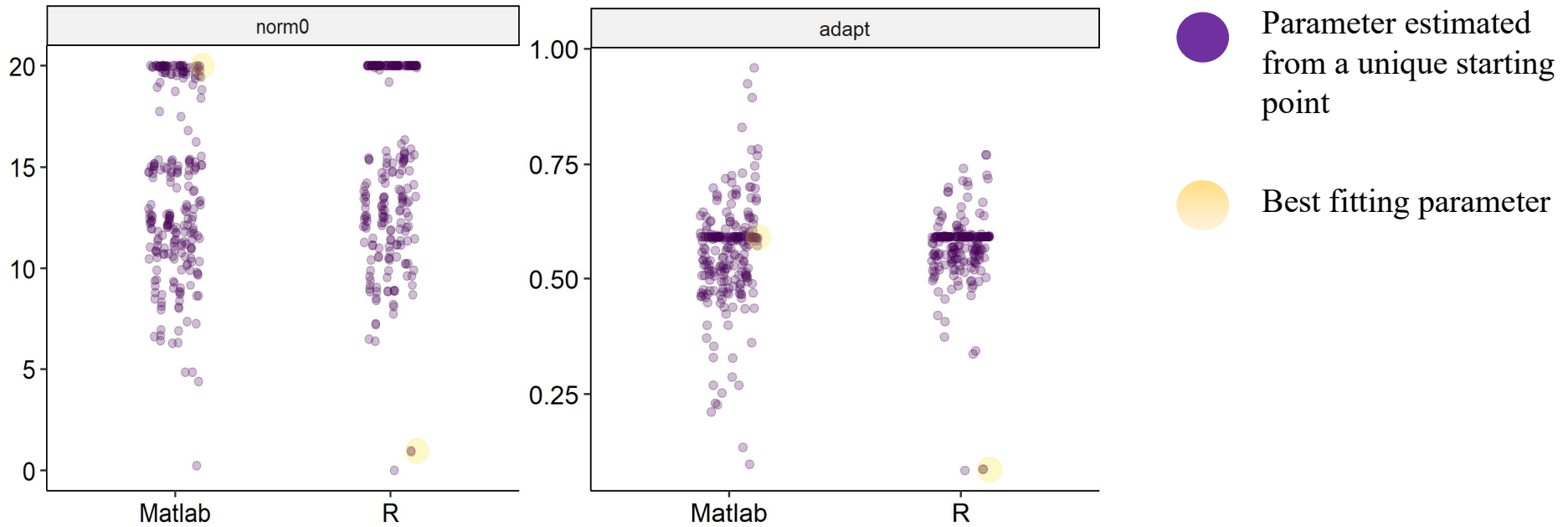


# Sensitivity to starting values



- Parameter estimated from a unique starting point
- Best fitting parameter

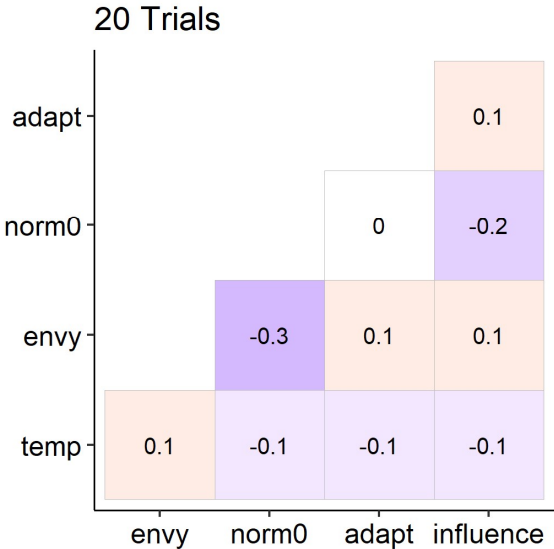
# Sensitivity to starting values



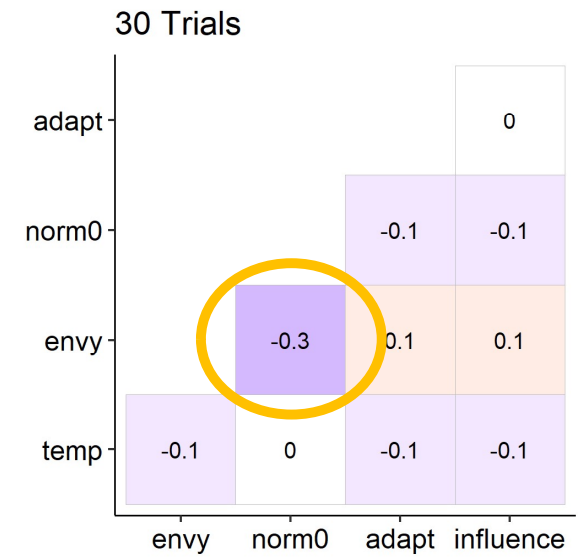
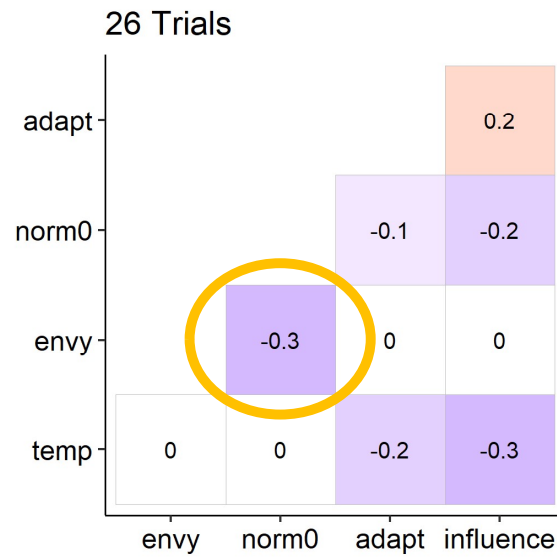
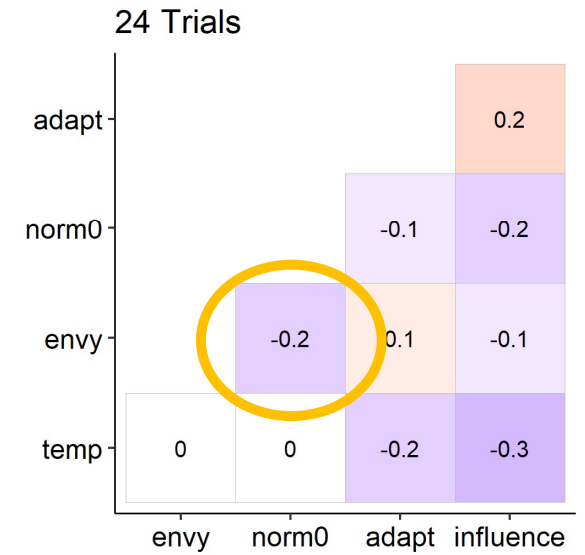
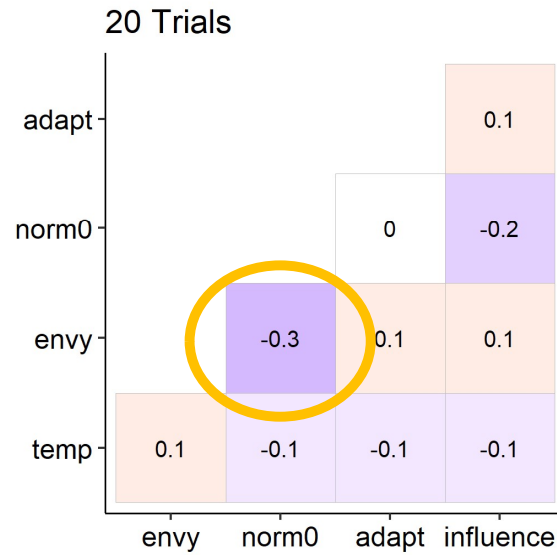
# Trial Number

- In original paper, only used 20/30 possible trials
  - Exclude early, exploratory behavior (i.e., trials 1-5)
  - Avoid late, “cashing out” behavior (i.e., trials 25-30)
- In recent projects: 24 trials to increase information
  - Exclude first and last 3 trials
- What happens when we change the number of trials?

# Parameter correlations

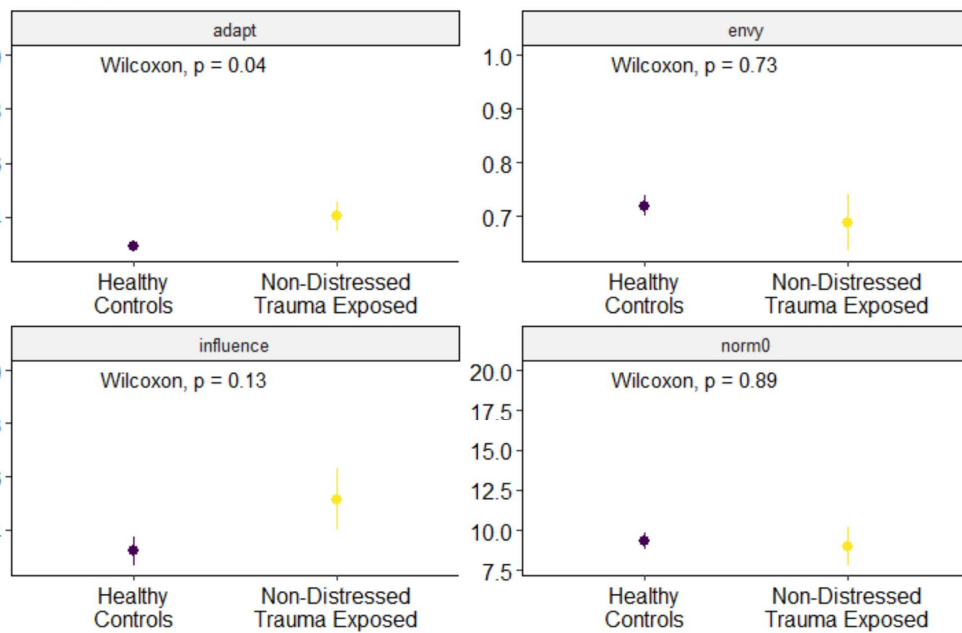


# Parameter correlations

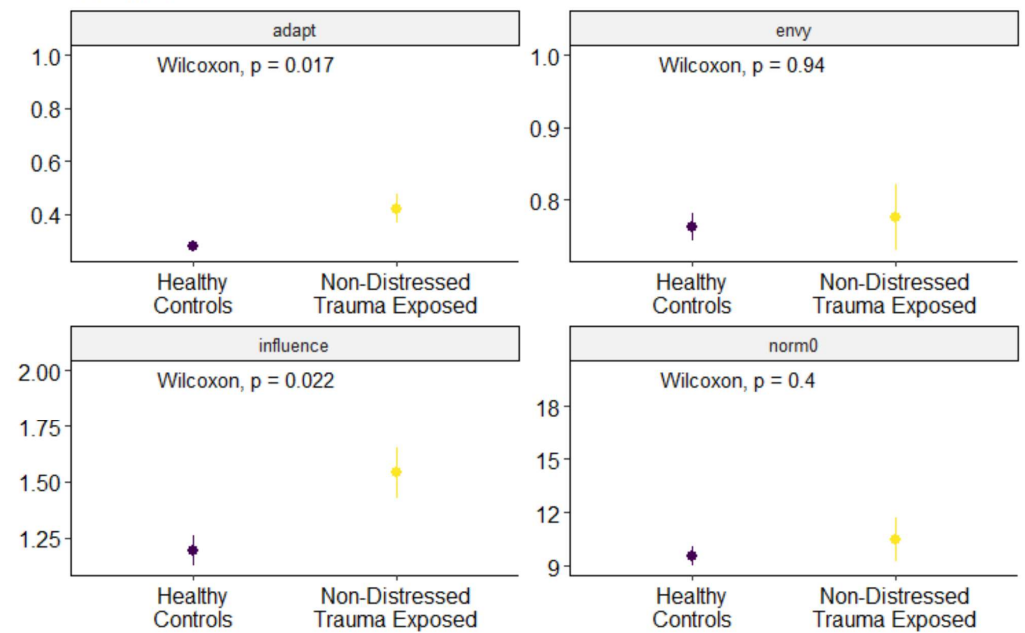


# Comparing trial numbers 20 versus 24

20 Trials

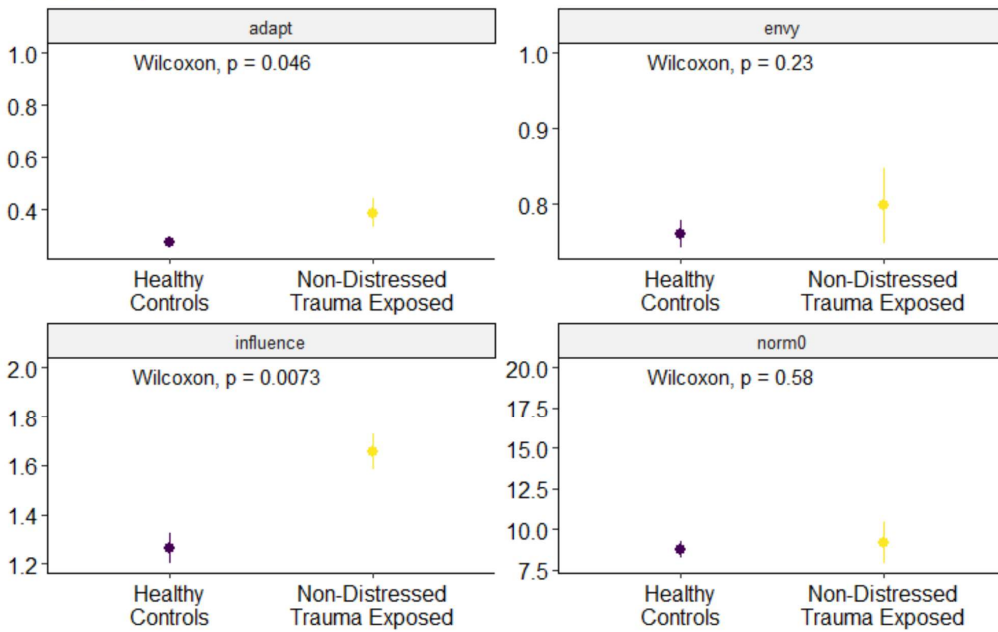


24 Trials



# Comparing trial numbers 26 versus 30

26 Trials



30 Trials



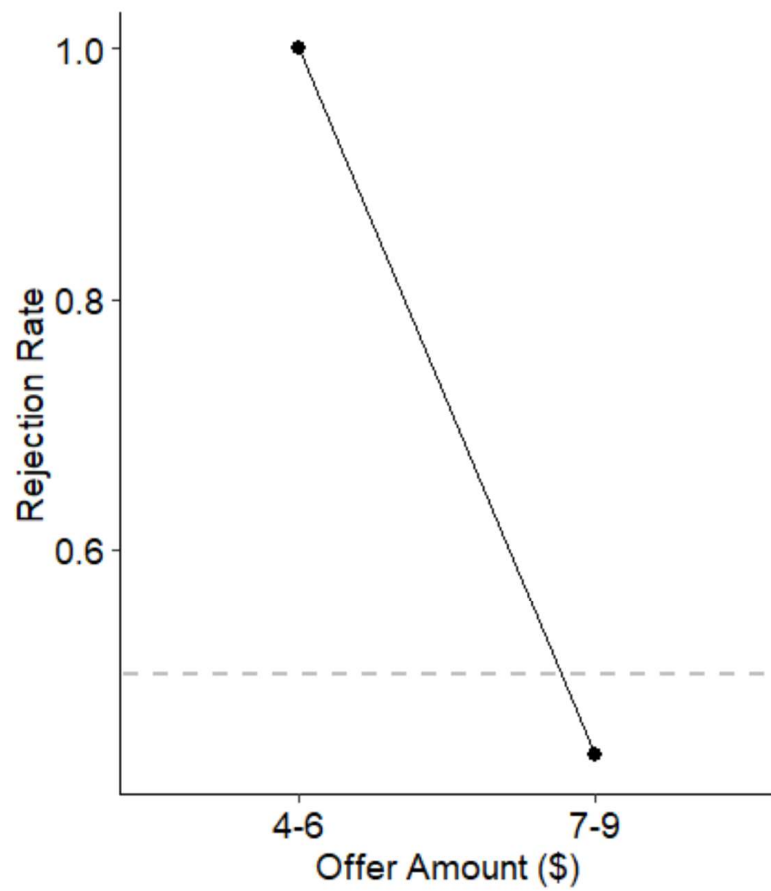
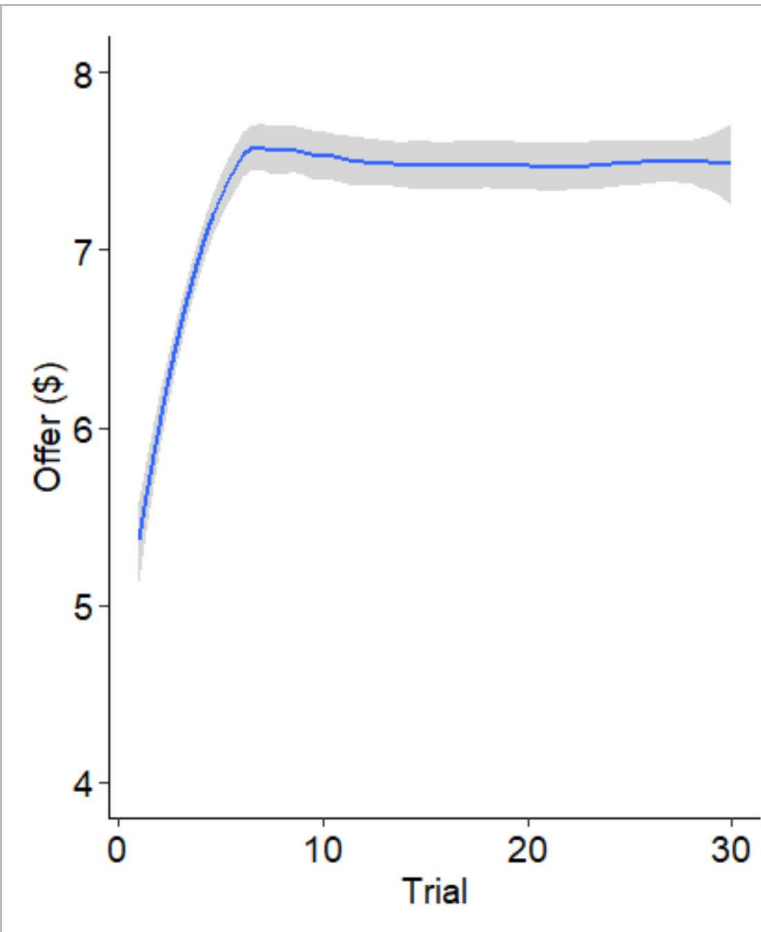
# What are parameters good for?

- Parameters should reflect distinct behavioral patterns
- Simulations can illustrate parameter utility
- Exercise
  - Create baseline data
    - Simulate 1000 subjects
    - Each parameter based on empirical distributions
  - Generate manipulated data
    - Same baseline parameters
    - Iteratively change one parameter at a time
  - Plot behavioral predictions

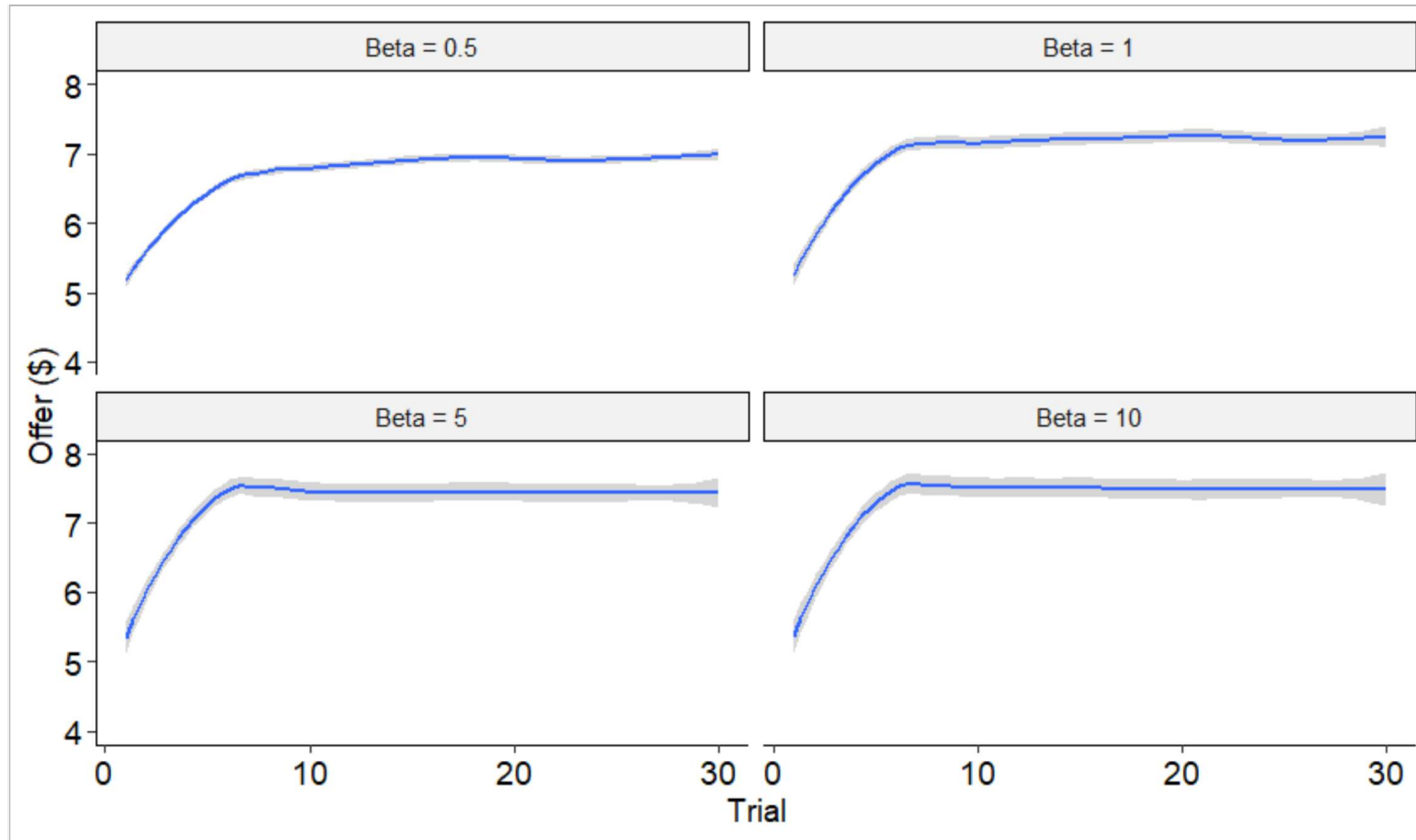
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    - Iteratively change one parameter at a time
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    - Offers over time
    - Rejections by offer amount

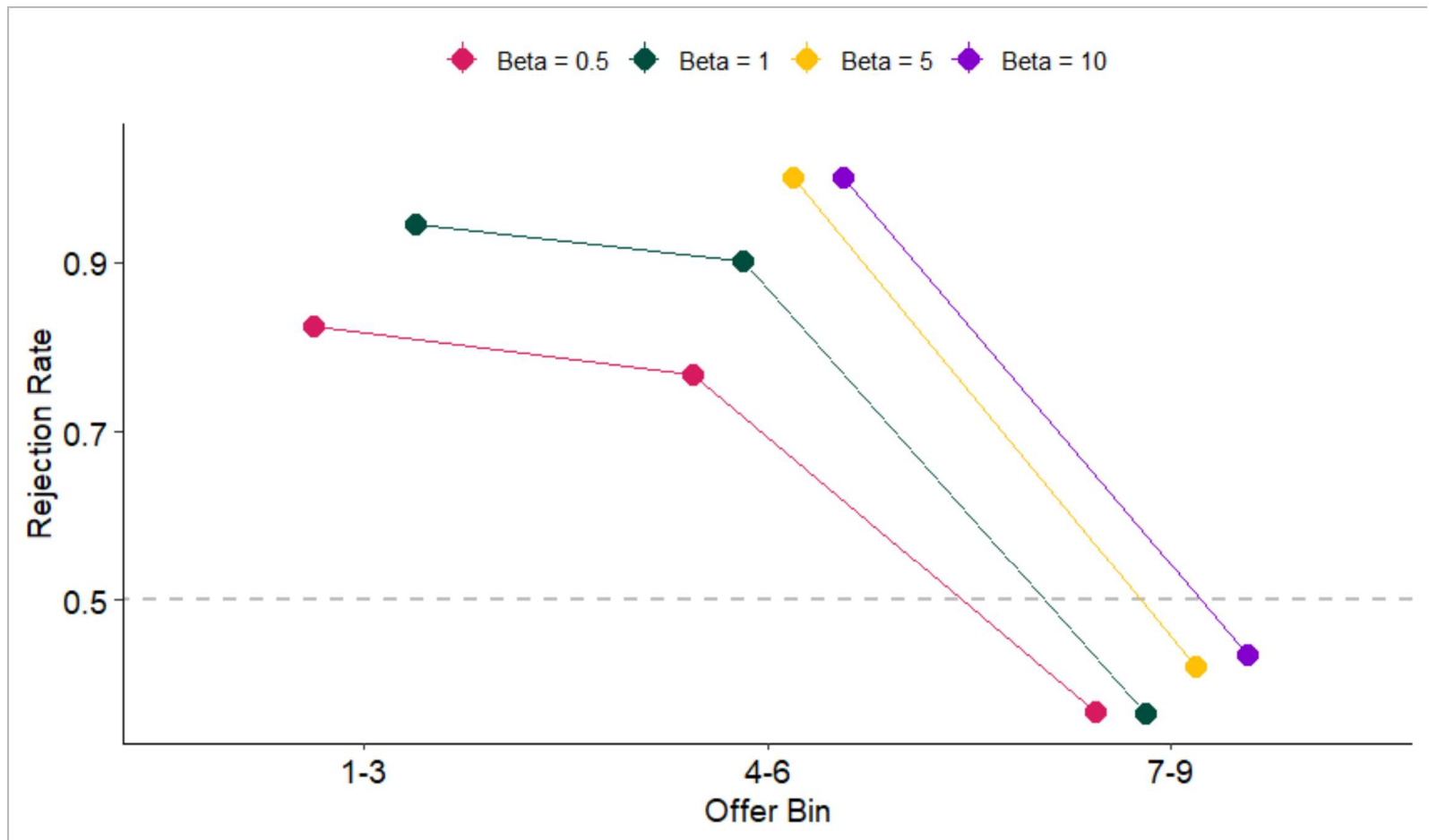
# Baseline Simulations



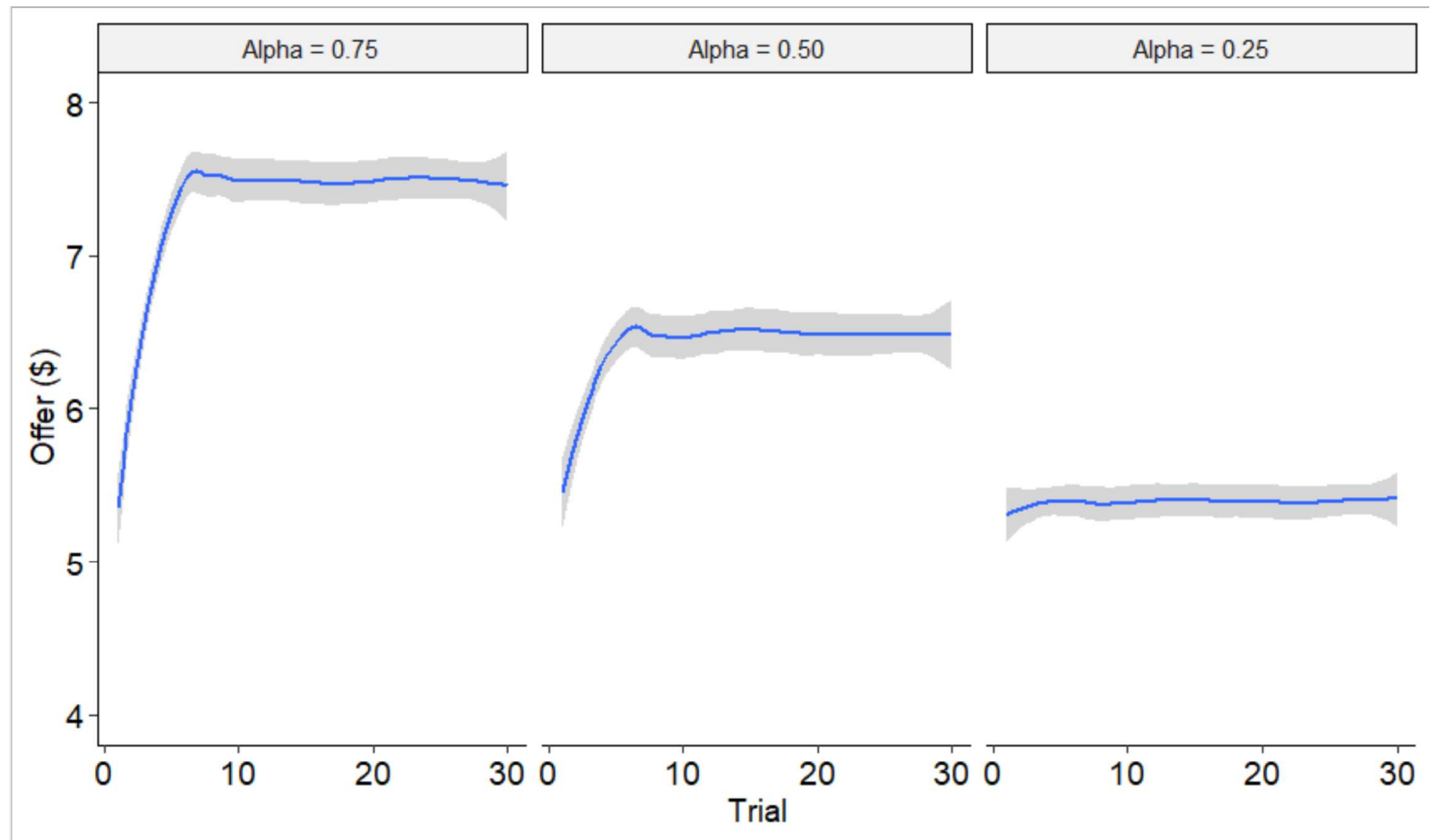
# Varying Beta (Inverse Temp.)



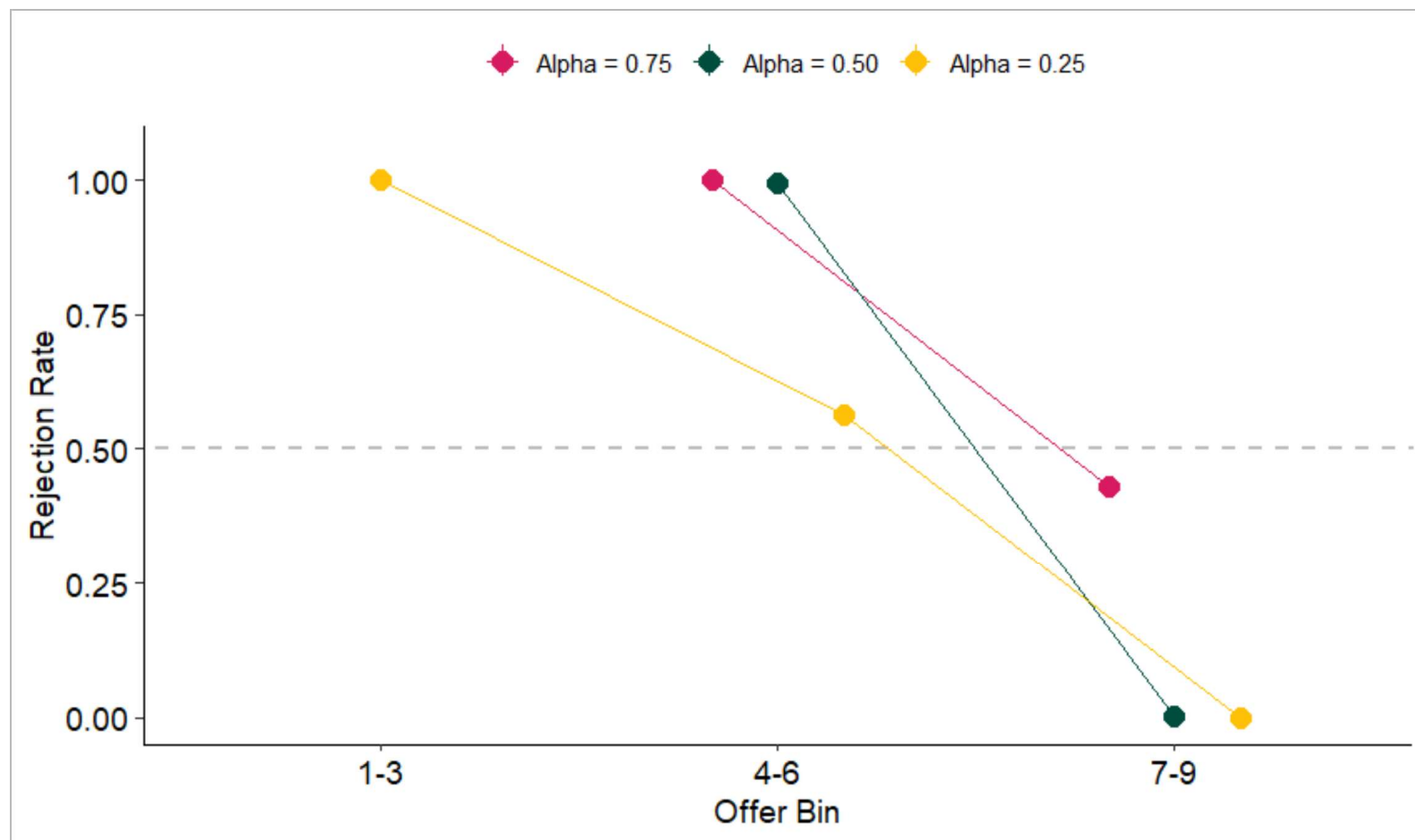
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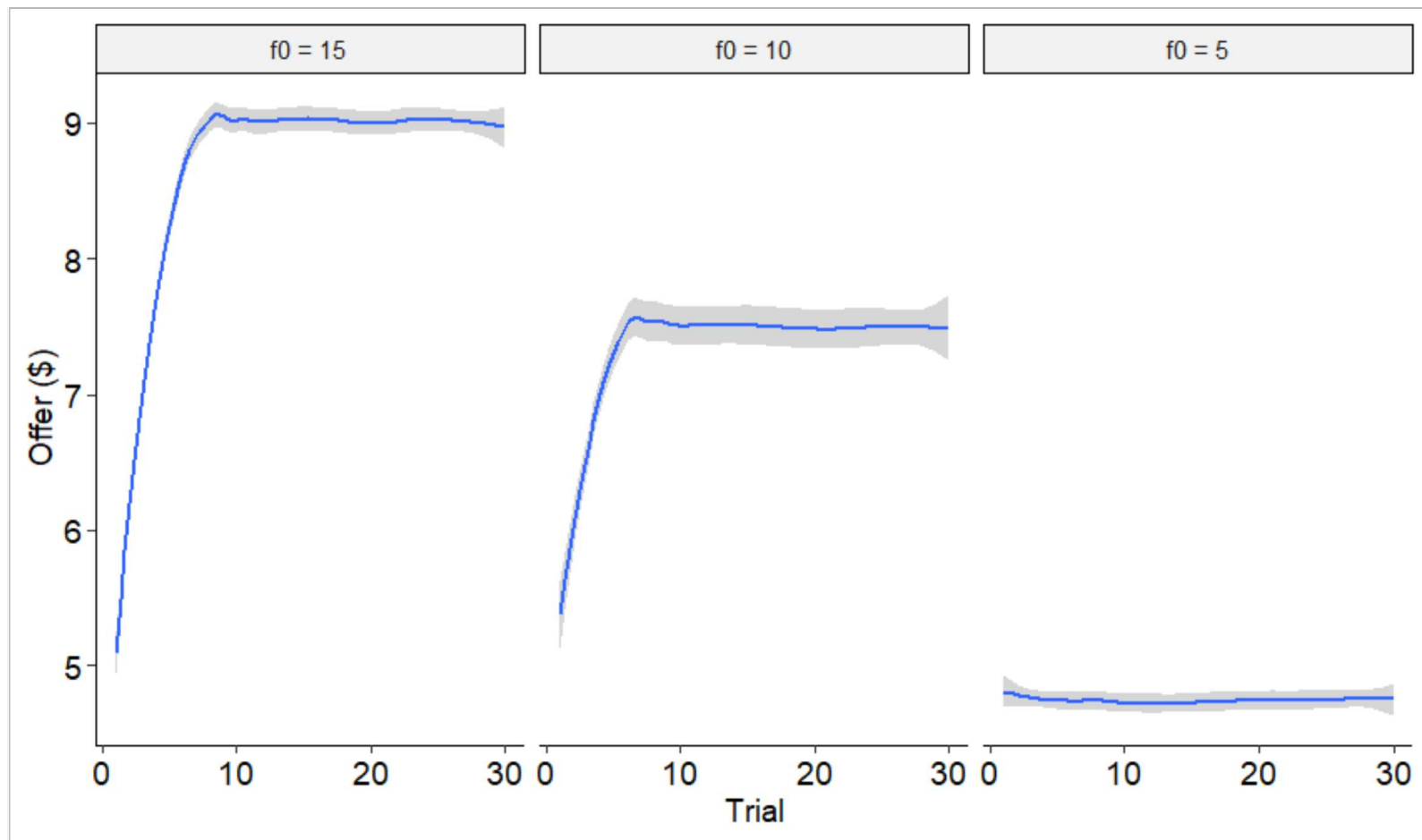
# Varying Alpha (Envy)



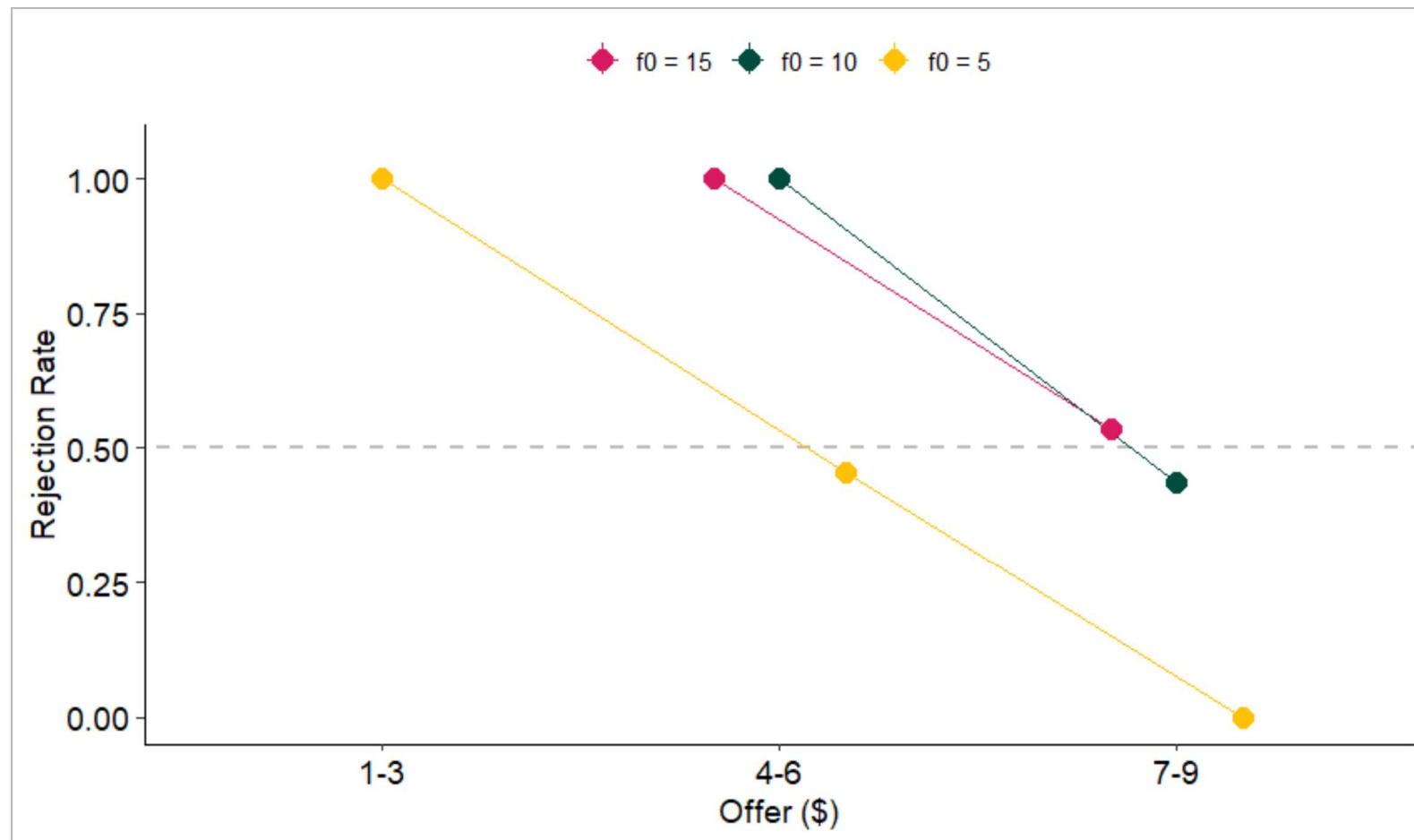
# Varying Alpha (Envy)



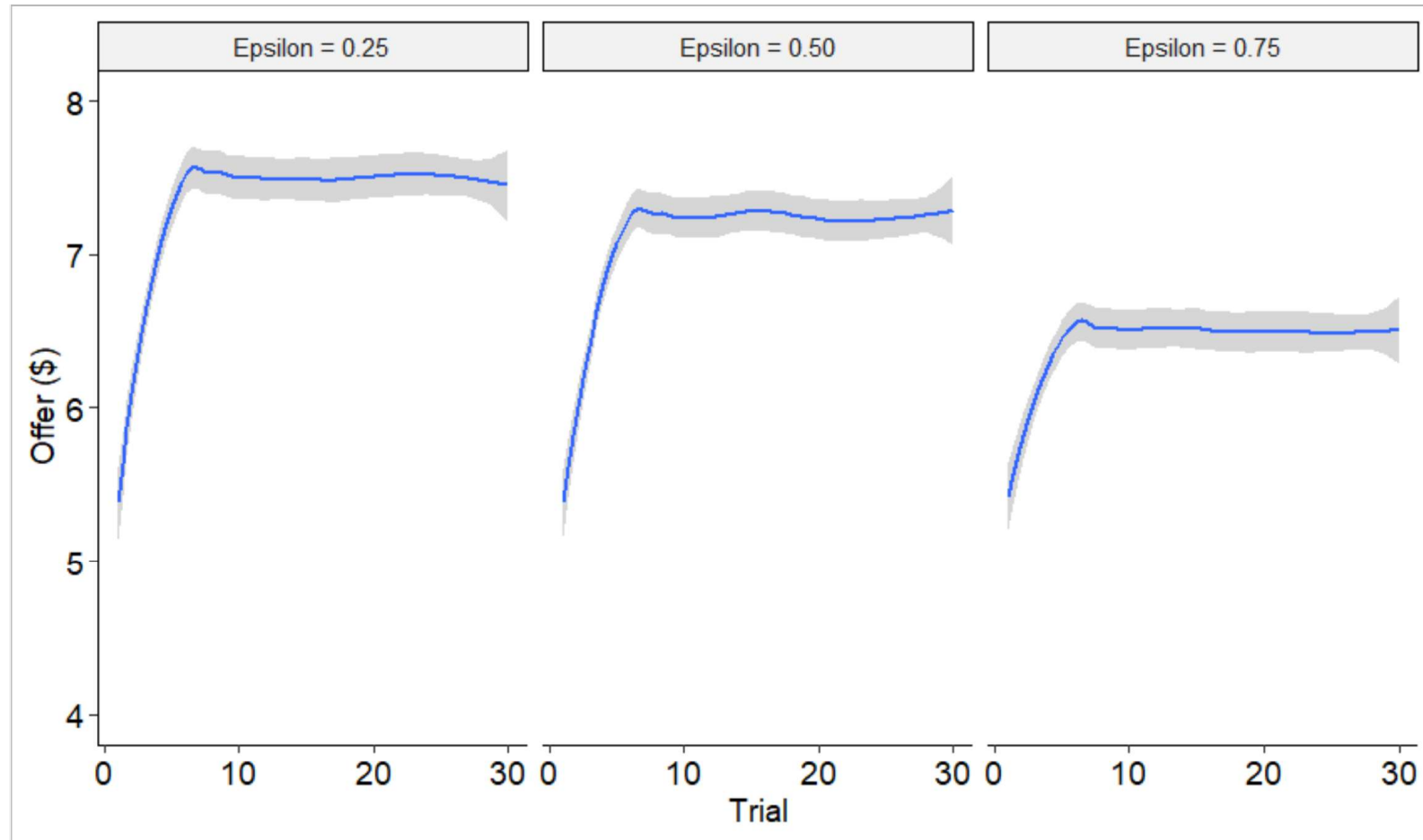
Varying  $f_0$   
(Initial  
norm)



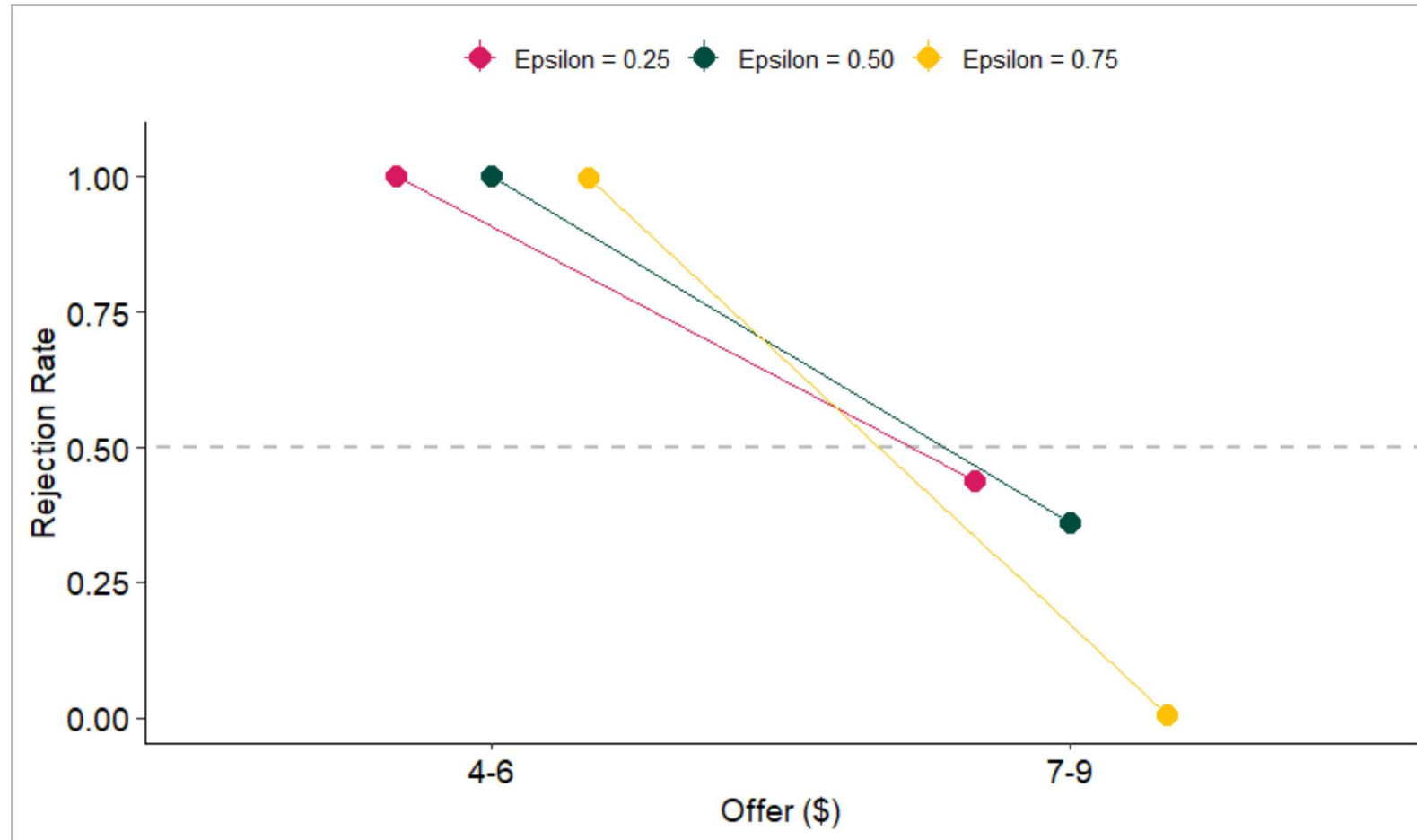
Varying  $f_0$   
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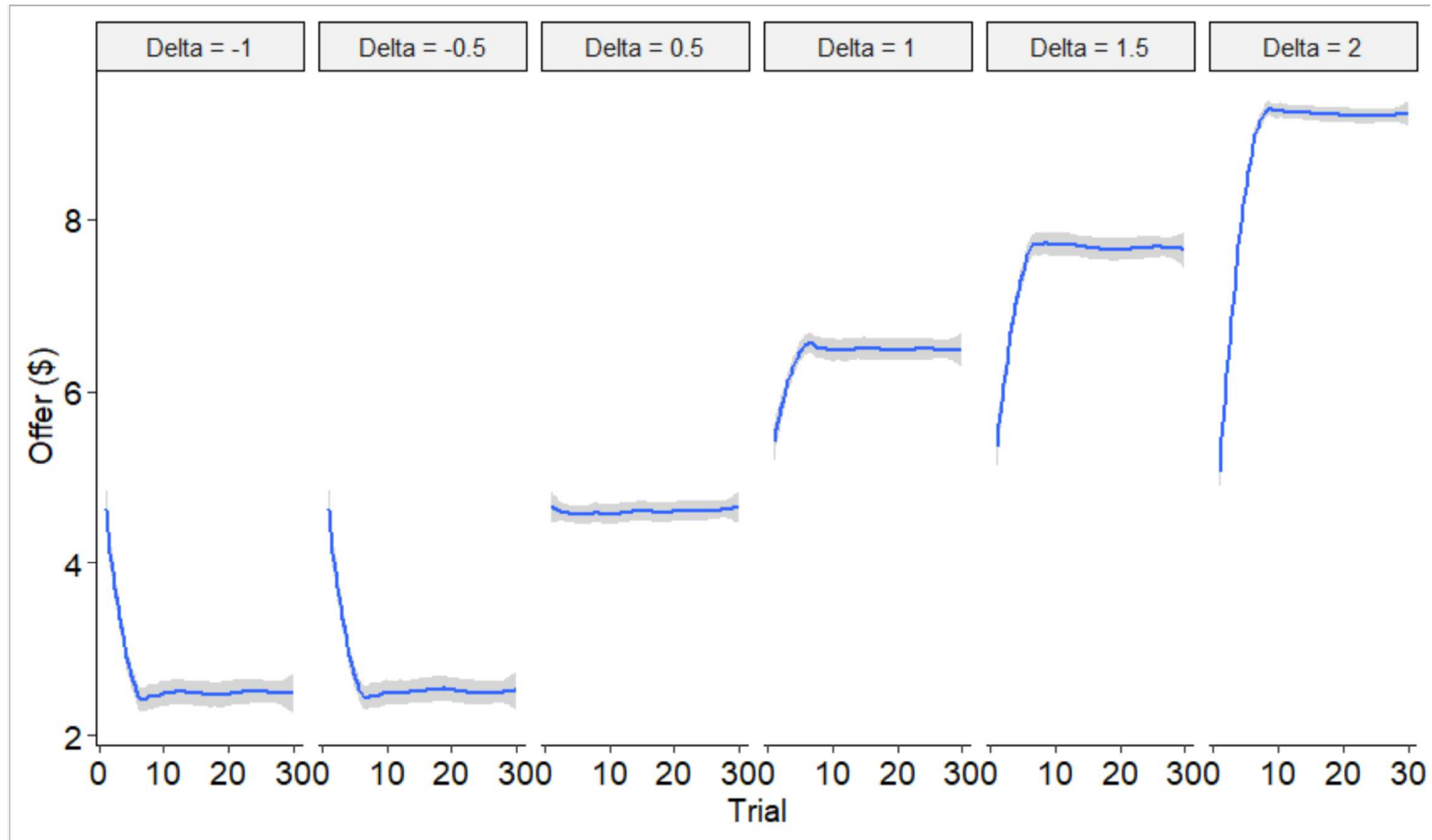
Varying  
epsilon  
(adaptation  
rate)



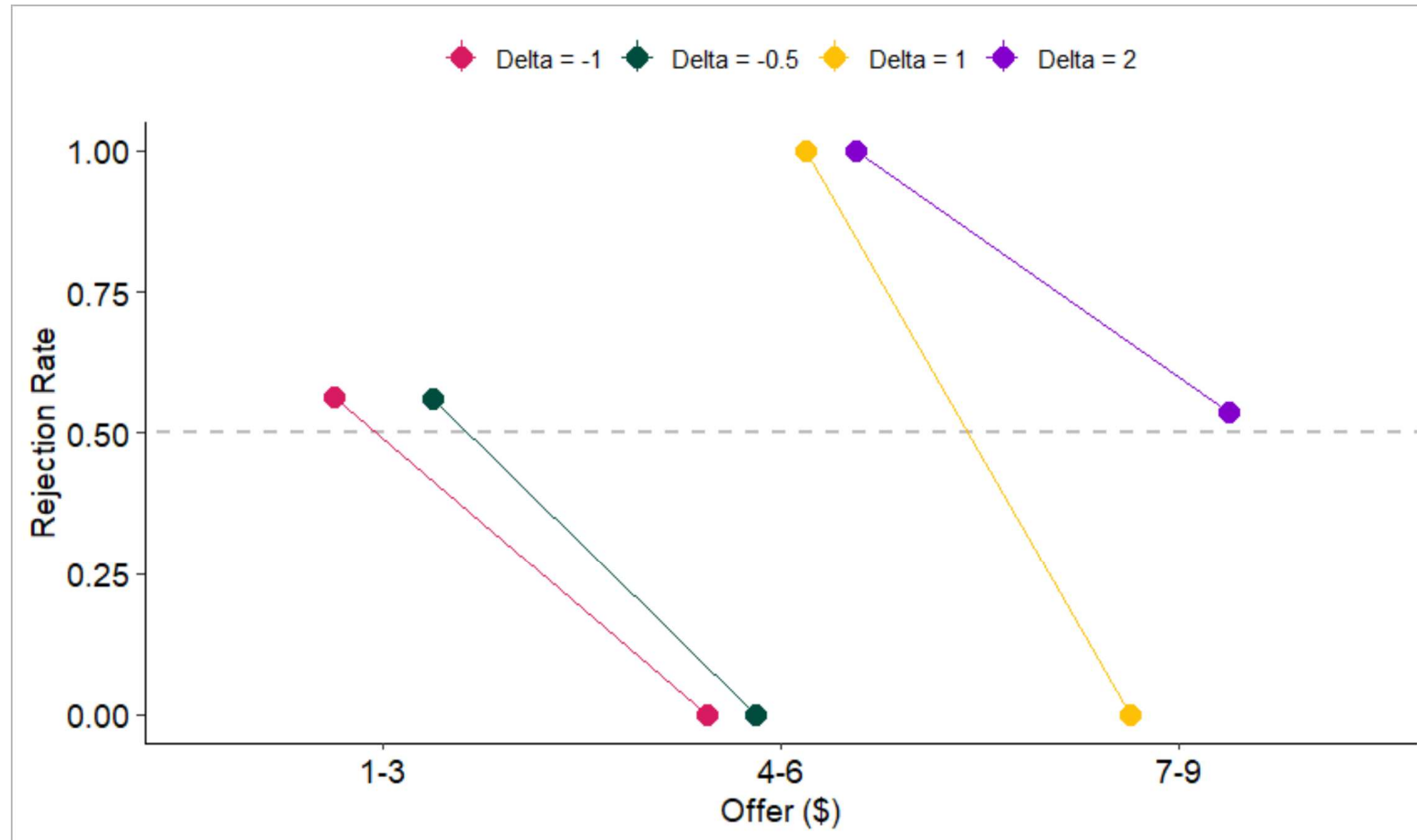
Varying  
epsilon  
(adaptation  
rate)



# Varying delta (influence)



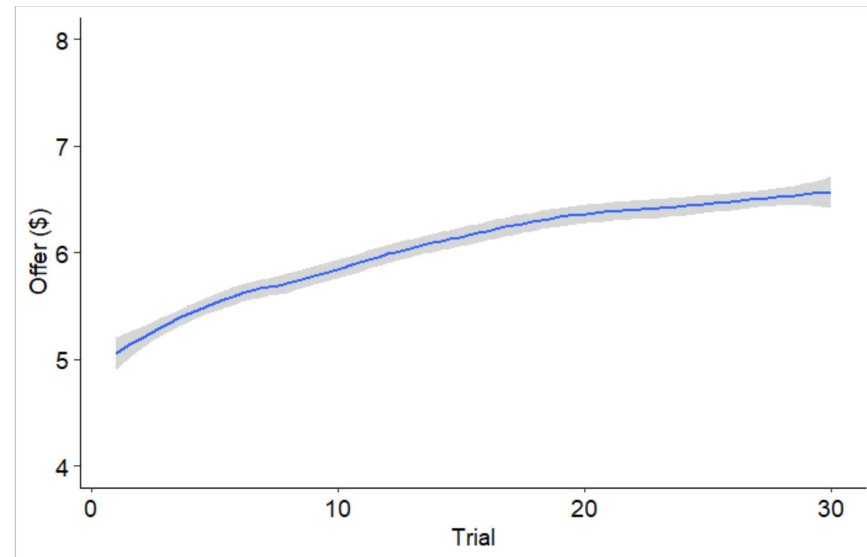
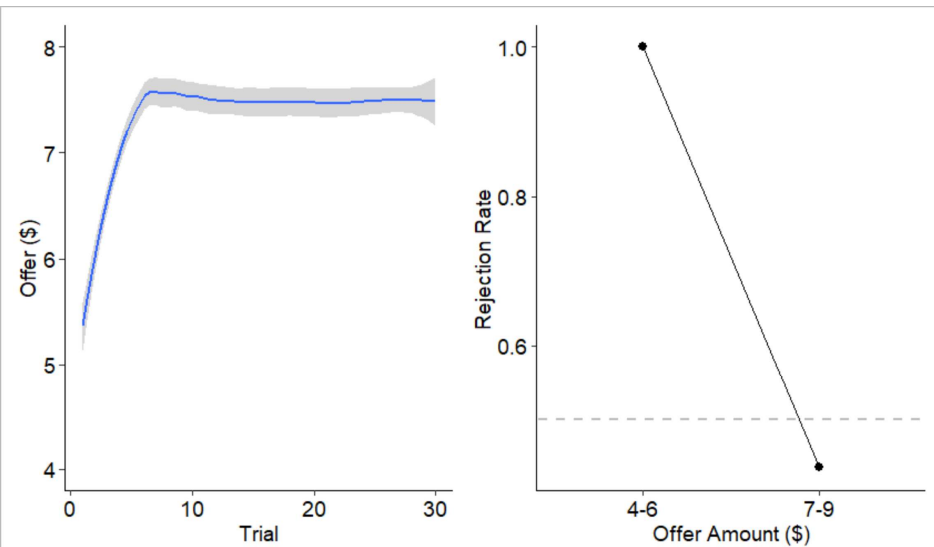
# Varying delta (influence)



# Connecting behavior to parameters

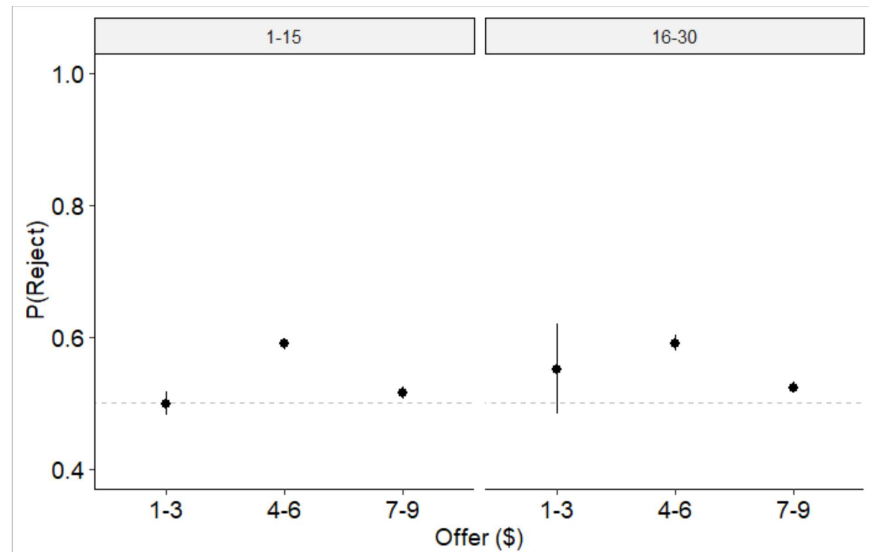
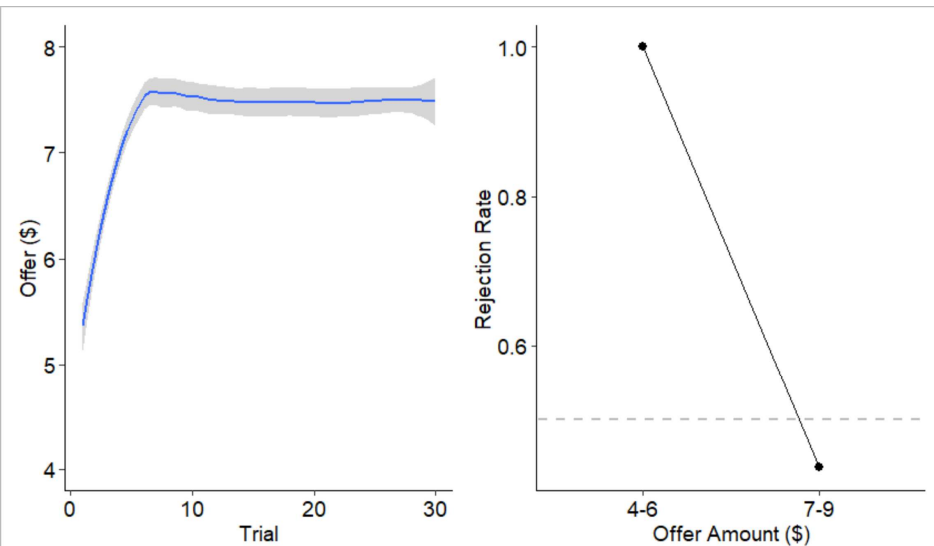
- Flat offer rate
  - Low initial norm
  - Low envy
  - Low, positive influence
- Steep offer rate
  - High envy
  - High initial norm
  - Low adaptation
  - High, positive influence
- Accept all high-offers
  - Moderate-to-low envy
  - Low initial norm
  - High learning rate
  - Moderate, positive influence

# Digression: How do model predictions stack up to human behavior?



# Digression:

## How do model predictions stack up to human behavior?



# application to value-based decisions

- Value-based decisions: decisions where **each option** have **different values**
- Two-alternative case:
  - Choose between option 1 with value  $R_1$  and option 2 with value  $R_2$
  - Connect to DDM by setting the drift rate proportional to difference in value

$$v = d(R_1 - R_2) + \varepsilon = d\Delta R + \varepsilon$$

# application to value-based decisions

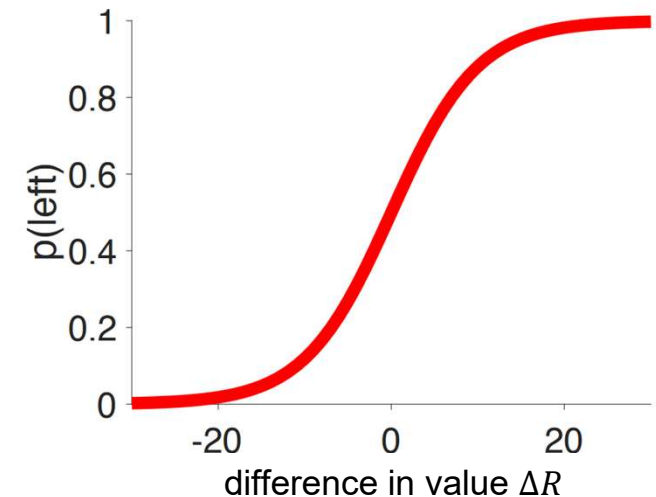
- Choice probabilities

$$p(\text{left}) = \frac{1}{1 + \exp(2ad\Delta R)} - \frac{1 - \exp(-2x_0d\Delta R)}{\exp(2ad\Delta R) - \exp(-2ad\Delta R)}$$

- Special case with unbiased starting point ( $x_0 = 0$ )

$$p(\text{left}) = \frac{1}{1 + \exp(2ad\Delta R)}$$

- This is the **softmax probability function!**



# softmax :: DDM connection

- Compare the two:

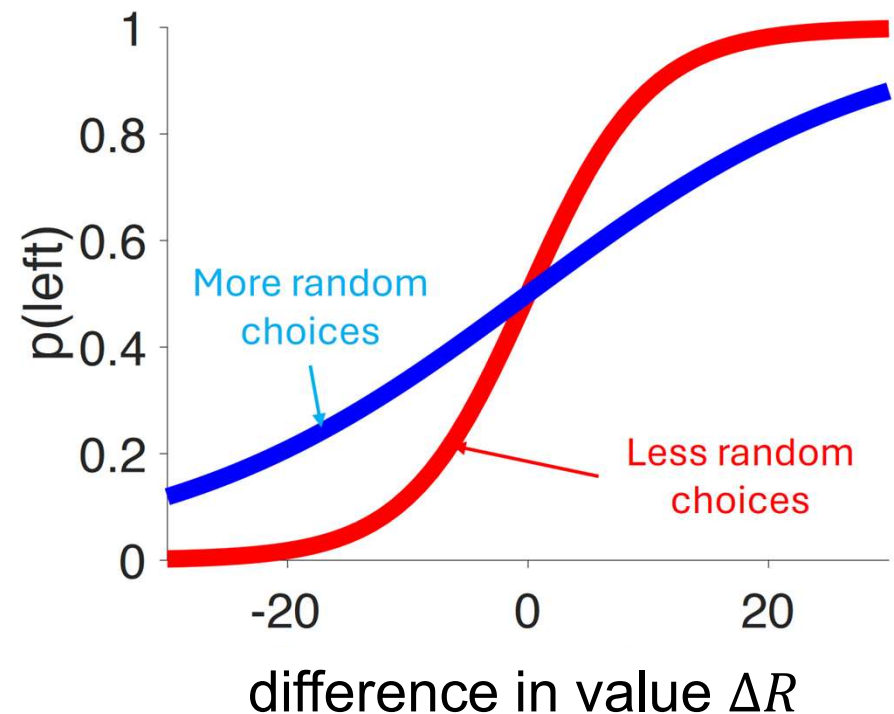
$$\text{DDM: } p(\text{left}) = \frac{1}{1 + \exp(2ad\Delta R)} \quad \text{softmax: } p(\text{left}) = \frac{1}{1 + \exp(\beta\Delta R)}$$

- Softmax's inverse temperature parameter ( $\beta$ ) is controlled by two DDM parameters: threshold ( $a$ ) and signal-to-noise ratio ( $d$ )

$$\beta = 2ad$$

# softmax :: DDM connection

- In the DDM, stochasticity in choice can be generated by:
  - Reduced signal-to-noise ratio ( $d$ )
  - Lower threshold ( $a$ )
- Different mechanisms cannot be distinguished by choices alone



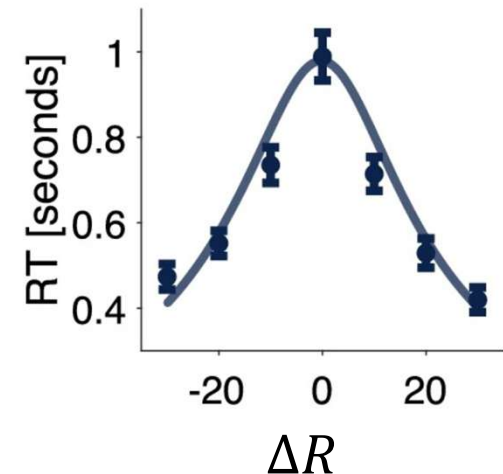
# Response times in the value-based DDM

- Response time formula

$$RT = t_0 + \frac{a}{\Delta R} \tanh(a\Delta R) + \frac{a}{\Delta R} \times \frac{2(1 - \exp(-2x_0\Delta R))}{\exp(2a\Delta R) - \exp(-2a\Delta R)} - x_0\Delta R$$

- Special case with unbiased starting bias  $x_0 = 0$

$$RT = t_0 + \frac{a}{\Delta R} \tanh(a\Delta R)$$



# Response times in the value-based DDM

- Changes to drift rate and threshold have **opposite** effects on response times